

1

2 Sources of CAM3 temperature bias during northern winter 3 from diagnostic study of the temperature bias equation

4 Lin-Lin Pan · Richard Grotjahn · Joe Tribbia

5 Received: 24 March 2009 / Accepted: 9 June 2009
6 © The Author(s) 2009. This article is published with open access at Springerlink.com

7 **Abstract** The Community Atmosphere Model version 3
8 (CAM3) temperature simulation bias is examined in this
9 paper. We compare CAM3 output with European Centre
10 for Medium-Range Weather Forecasts (ECMWF) 40 year
11 reanalysis (ERA-40) data. We formulate a time mean
12 temperature bias equation then evaluate each term in the
13 equation. Our focus is on the Northern Hemisphere winter
14 time. We group the temperature equation terms into these
15 categories: linear advection terms, nonlinear advection
16 terms, transient eddy terms and diabatic heating, and find
17 that linear advection and diabatic bias are the largest. The
18 nonlinear terms (velocity bias advection of temperature
19 bias) are much smaller than each of the other groups of
20 terms at all levels except near the surface. Linear advection
21 terms have dipolar pattern in the Atlantic (negative NW of
22 positive) which reflects the shift of the CAM3 model North
23 Atlantic storm track (NAST) into Europe, especially in the
24 upper troposphere; opposite sign dipolar structure occurs
25 over Alaska (positive) and the north Pacific storm track
26 (negative). The transient advection terms in middle lati-
27 tudes are larger in the upper troposphere and generally
28 positive along the Atlantic storm track. Along the north
29 Pacific storm track (NPST), the transient terms are negative
30 in the mid and lower troposphere over much of the NPST
31 (positive in upper troposphere). The diabatic heating bias
32 has large values in the tropics along the Intertropical
33 Convergence Zone (ICZ) and along the midlatitude storm

tracks. During this time of year the ICZ is mainly in the 34
Southern Hemisphere, but CAM3 emphasizes an ICZ-like 35
heating in the northern hemisphere of the Atlantic and 36
Pacific Oceans. CAM3 tends to have a weaker ICZ, espe- 37
cially in the Atlantic. In midlatitudes, we find large bias in 38
heating by precipitation and vertically averaged net radia- 39
tion over the NAST, Europe, and the Middle East. 40

41
42 **Keywords** CAM3 · Temperature bias · Diabatic heating ·
43 Northern hemisphere storm tracks · Arctic
44

45 1 Introduction

46 Global climate system models are used to simulate past, 46
present and future climate. The Community Climate Sys- 47
tem Model version 3 (CCSM3; Collins et al. 2004, 2006a, 48
b; Hurrell et al. 2006) is such a climate model developed at 49
National Center for Atmospheric Research (NCAR). 50
Community Atmosphere Model version 3 (CAM3) is the 51
atmospheric part of CCSM3. CAM3 was developed from 52
previous versions (Kiehl et al. 1998a, b), and has many 53
improvements to the parameterized physics packages. 54
Several improvements were made in the representation of 55
cloud and precipitation processes (Boville et al. 2006), 56
which include separation of liquid and frozen precipitation, 57
and different treatments of liquid and ice condensate; 58
advection, detrainment, and sedimentation of cloud con- 59
densate. The improvements in treatments of aerosols 60
include stratospheric volcanic aerosols, a prescribed dis- 61
tribution of sulfate, soil dust, carbonaceous species, and sea 62
salt, and the option of prognostic sulfur cycle (e.g., Rasch 63
et al. 2006). The improvements in parameterizations of 64
radiation include new parameterizations for the longwave 65
and shortwave interactions with water vapor, and a 66

A1 L.-L. Pan (✉) · R. Grotjahn
A2 Department of Land, Air and Water Resources,
A3 University of California, Davis, CA 95616, USA
A4 e-mail: llpan@ucdavis.edu

A5 J. Tribbia
A6 National Center for Atmospheric Research, Boulder, CO, USA

67 generalized treatment of cloud geometrical overlap (e.g.,
68 Briegleb and Bromwich 1998a, b). The dynamical cores of
69 CAM3 include the spectral core; the semi-Lagrangian core
70 (Williamson and Olson 1994); and the finite volume core
71 (Lin 2004). The spectral core is used for this study. Sen-
72 sitivity studies tell us that the dominant features (e.g.,
73 pattern of temperature field) are similar when different
74 schemes are used. For details of the physics and dynamics
75 of CAM3 the reader is referred to Collins et al. (2004,
76 2006b).

77 Compared with observed climate fields (e.g., sea level
78 pressure, wind), simulation bias (error) still exists in
79 CAM3, though many improvements have been made upon
80 earlier versions of the model. Hurrell et al. (2006) found
81 higher than observed sea level pressure (SLP) in the sub-
82 tropics and lower than observed SLP in polar and subpolar
83 latitudes during both winter and summer. They also show
84 that easterly trade winds and low-latitude surface wind
85 stress are too strong in CAM3 simulations. Also, a westerly
86 bias in the middle latitude winds exists in both hemispheres
87 throughout the year. Further study revealed that the simu-
88 lation errors in winds, pressure fields and the transient
89 momentum fluxes are related to each other (e.g., Hurrell
90 et al. 2006).

91 Simulation bias may vary with model resolutions. The
92 horizontal resolutions T42 and T85 are often used in
93 CAM3 simulations, and several studies (e.g., Hack et al.
94 2006a) have investigated the differences in the simulation
95 results between these two horizontal spectral truncations.
96 DeWeaver and Bitz (2006) showed that the simulation of
97 Arctic sea ice, air temperature and hydrology in some
98 regions are improved in the higher-resolution atmosphere.
99 On the other hand, the boreal winter warm bias at high
100 latitudes is stronger in the T85 simulation than that at lower
101 resolution throughout troposphere (Hack et al. 2006a).
102 Therefore, Hack et al. (2006a) conclude that the high-res-
103 olution version of the CAM3, especially the coupled model
104 (CCSM3) has uneven improvement. Thus the simulation
105 bias of the model cannot be solved by using a higher-
106 resolution. In particular, higher-resolution still does not
107 solve the simulation problems in the position and strength
108 of the Beaufort high, surface wind and sea ice thickness in
109 the Arctic region. Consequently, this report shall further
110 examine the source of simulation bias in CAM3, with focus
111 on the middle and high latitudes (e.g., Arctic region). In
112 addition, some results from the tropics shall also be shown.

113 We shall investigate the forcing field associated with
114 model-simulated temperature bias and study the contribu-
115 tion of each term to the simulated bias of CAM3 by parsing
116 the temperature equation. The model bias is defined by
117 subtracting the observed value from the model-simulated
118 value for that variable then averaging over a suitable time
119 (e.g., a seasonal average).

120 The outline of the paper is as follows: The primary
121 diagnostic, the temperature bias equation used in this study
122 is briefly derived in the next section. Bias in the diabatic
123 field at various levels is discussed in Sect. 3. Also in
124 Sect. 3, a proxy variable is used to identify the NH storm
125 tracks because some terms in the temperature bias equation
126 are often large along those tracks. The contributions by
127 surface sensible heat flux, precipitation, and net radiation to
128 the vertically integrated diabatic heating bias are discussed
129 in Sect. 4. Analyses of the bias in temperature from linear
130 terms, nonlinear terms, and transient contributions to the
131 time mean are given in Sect. 5. The link between precipi-
132 tation bias near the western European coast and sea level
133 pressure in the Arctic is briefly explored in Sect. 6. The
134 paper concludes with a summary discussion.

2 Method used in diagnostic study

135
136 Bias of any variable refers to: model data minus corre-
137 sponding observational data averaged over time. A primary
138 diagnostic used here is the temperature bias equation. The
139 equation is formed by evaluating the time mean tempera-
140 ture equation using model data and then subtracting the
141 same equation constructed using observational data.

142 The CAM3 data used here are obtained by running a
143 20 year atmospheric model intercomparison project
144 (AMIP) type simulation from 1979 to 1998. The model was
145 run with 26 levels in the vertical and horizontal resolution
146 truncated triangularly at 42 wavenumbers (T42). CAM3
147 output was saved four times daily. Only the Northern
148 Hemisphere winter months: December, January, and Feb-
149 ruary are studied here.

150 The observational data used here are European Centre
151 for Medium-Range Weather Forecasts (ECMWF) 40 year
152 reanalysis, ERA-40 (Uppala et al. 2005). We use 4× daily
153 ERA-40 data from 1979 to 1998. The variables used here
154 include zonal wind, meridional wind, temperature, and
155 vertical velocity in p coordinate.

156 The temperature (T) equation in pressure (p) coordinates is:

$$\frac{\partial T}{\partial t} + \vec{V} \cdot \nabla T + \omega \left(\frac{\partial T}{\partial p} - \frac{\alpha}{C_p} \right) = Q, \quad (1)$$

157 where \vec{V} , ω , α , C_p , and Q denote wind velocity, vertical
158 velocity in p coordinates, specific volume, specific heat at
159 constant pressure, and diabatic heating, respectively. We
160 evaluate the thermodynamic energy equation in pressure
161 coordinates since ERA-40 and CAM data are available at
162 many such levels. We define time averaging with an
163 overbar and use a prime for the deviation from that
164 average. Subscript "C" denotes CAM3 data; subscript "E"
165 denotes ERA-40 data. Using the time mean of the CAM3
166 model output, Eq. 1 becomes: 167

$$\begin{aligned} \bar{V}_C \cdot \nabla \bar{T}_C + \bar{\omega}_C \left(\frac{\partial \bar{T}_C}{\partial p} - \frac{\alpha_C}{C_p} \right) \\ = -\overline{V'_C \cdot \nabla T'_C} - \omega'_C \frac{\partial T'_C}{\partial p} + \bar{Q}_C. \end{aligned} \quad (2)$$

169 The time mean of Eq. 1 using ERA-40 data becomes:

$$\begin{aligned} \bar{V}_E \cdot \nabla \bar{T}_E + \bar{\omega}_E \left(\frac{\partial \bar{T}_E}{\partial p} - \frac{\alpha_E}{C_p} \right) \\ = -\overline{V'_E \cdot \nabla T'_E} - \omega'_E \frac{\partial T'_E}{\partial p} + \bar{Q}_E. \end{aligned} \quad (3)$$

171 We define a $\hat{\cdot}$ notation for the bias, for example:
 172 $\bar{T}_C - \bar{T}_E = \hat{T}$. Subtracting Eqs. 2 – 3 yields our
 173 primary diagnostic, the temperature bias equation:

$$\begin{aligned} \hat{V} \cdot \nabla \bar{T}_E + \bar{V}_E \cdot \nabla \hat{T} + \hat{\omega} \left(\frac{\partial \bar{T}_E}{\partial p} - \frac{\alpha_E}{C_p} \right) + \bar{\omega}_E \left(\frac{\partial \hat{T}}{\partial p} - \frac{\hat{\alpha}}{C_p} \right) \\ \underbrace{\hspace{10em}}_{\text{Linear Group}} \\ = -\hat{V} \cdot \nabla \hat{T} - \hat{\omega} \left(\frac{\partial \hat{T}}{\partial p} - \frac{\hat{\alpha}}{C_p} \right) \\ \underbrace{\hspace{10em}}_{\text{Nonlinear Group}} \\ - \underbrace{\overline{V'_C \cdot \nabla T'_C} + \overline{V'_E \cdot \nabla T'_E} - \overline{\omega'_C \frac{\partial T'_C}{\partial p}} + \overline{\omega'_E \frac{\partial T'_E}{\partial p}}}_{\text{Transient Group}} + \hat{Q}. \end{aligned} \quad (4)$$

175 The terms at the left hand side are all terms that are linear
 176 in the bias; the aggregate of these terms is referred to as the
 177 Linear Group. The terms in the Linear Group are similar to
 178 a linear stationary wave model (hereafter, LSW) such as
 179 the model described in Branstator (1990) (see also Pan
 180 et al. 2006; Pan and Li 2008). A secondary goal of this
 181 paper is to show that the temperature equation part of the
 182 LSW would be valid for studying the CAM3 bias. How-
 183 ever, assessing whether the other parts of the LSW could be
 184 used to study the bias is outside the scope of this paper. The
 185 first two terms on the right hand side (labeled Nonlinear
 186 Group) are all nonlinear combinations of the bias. The
 187 group of terms labeled Transient Group has the time mean
 188 contributions to the bias by transient heat advection.
 189 Finally, \hat{Q} is the bias in diabatic heating.

190 The CAM3 and ERA-40 diabatic heating are each cal-
 191 culated as a residual from a potential temperature equation
 192 (Hoskins et al. 1989):

$$\begin{aligned} \bar{Q} = \bar{V} \cdot \nabla \bar{T} + (p/p_0)^{\frac{k}{c_p}} \bar{\omega} \frac{\partial \bar{\theta}}{\partial p} \\ + (p/p_0) \left[\nabla \cdot \bar{V}'\theta' + \overline{\partial(\omega'\theta')/\partial p} \right], \end{aligned} \quad (5)$$

194 where R , and θ are the gas constant for dry air and potential
 195 temperature, respectively. p_0 is a reference pressure
 196 (1,000 hPa). The relationship $(p/p_0)^{\frac{k}{c_p}} \bar{\omega} \frac{\partial \bar{\theta}}{\partial p} = \partial T / \partial p -$
 197 α / C_p is used. In practice the θ form, Eq. 5 has smaller
 198 calculation error than a corresponding formulation using
 199 $\partial T / \partial p - \alpha / C_p$.

3 Bias in diabatic heating fields

The long term means of wind, temperature, vertical
 201 velocity and potential temperature were used in Eq. 5 to
 202 obtain diabatic heating in the CAM3 and ERA-40 data.
 203 Figure 1 shows the diabatic heating fields and bias at
 204 $\sigma = 0.3$ (Fig. 1a–c), 0.5 (Fig. 1d–f), and 0.85 (Fig. 1g–i).
 205 Both ERA-40 and CAM3 simulation data have large dia-
 206 batic heating mainly along the ICZ and Northern Hemi-
 207 sphere storm tracks. The diabatic heating fields are
 208 consistent with other published work (e.g., DeWeaver and
 209 Bitz 2004). The diabatic heating is consistent between
 210 levels and broadly similar between CAM3 and ERA-40.
 211 Differences (biases) are mainly associated with the ICZ
 212 and the Northern Hemisphere storm tracks.

The CAM3 and ERA-40 diabatic heating fields have
 214 large and interesting differences in the tropics. In the upper
 215 troposphere (Fig. 1a–c) the bias is strongly negative over
 216 the oceanic ICZ of the Atlantic, Indian, and western Pacific
 217 Oceans. Over Africa, northern Australia, and the northern
 218 Indian Ocean the bias is positive. The pattern is similar and
 219 stronger in the middle troposphere (Fig. 1d–f) but less
 220 strong in the lower troposphere. At $\sigma = 0.85$ (Fig. 1g–i)
 221 the heating over the tropical continents is much less while
 222 the cooling over the tropical and subtropical oceans is
 223 strengthened; though the bias is smaller than other levels.
 224 This bias along the ICZ is consistent with the precipitation
 225 bias as indicated by satellite estimates along the equator
 226 (e.g., Hack et al. 1998; Hurrell et al. 2006). Often, such
 227 elongated dipolar bias structures are indicative of a shift in
 228 the location of a maximum and that is the case over the
 229 Indian Ocean (CAM3 has the ICZ much too far north).
 230 However, a similar elongated dipolar bias in the western
 231 Pacific is not due to a shift of the ICZ so much as CAM3
 232 emphasizes the northern ICZ while ERA-40 emphasizes a
 233 parallel southern ICZ (commonly referred to as the equa-
 234 torial part of the South Pacific Convergence Zone, SPCZ;
 235 Vincent 1994). The Atlantic ICZ is largely missing in
 236 CAM3 at all levels, a result that differs from ERA-40 much
 237 like the NCEP/DOE AMIP-II reanalysis (Kanamitsu et al.
 238 2002) differs from ERA-40 (see discussion in Grotjahn
 239 2008). While the Atlantic ICZ is missing in CAM3, ICZ-
 240 like heating in the far eastern Pacific is stronger (and
 241 opposite sign at mid and upper levels) in CAM3 than in
 242 ERA-40.

In the Northern Hemisphere middle latitudes the stron-
 244 ger diabatic heating is associated with the two oceanic
 245 storm tracks. A proxy measure of the midlatitude storm
 246 tracks is band passed (2–8 days passed) transient heat
 247 transport ($v'T'$). The maximum centers in the Pacific and
 248 Atlantic (Fig. 2) gives the position of the Pacific and
 249 Atlantic storm track. The NAST (North Atlantic storm
 250 track) is narrower in latitude and the bias shows the
 251

252 narrowness is due to much less heat flux over northern
 253 North America. The NAST is more zonal in CAM3 and
 254 extends into western Europe (instead of further north)
 255 leading to a dipolar bias, with stronger positive west of
 256 France. The zonal wind bias (Hurrell et al. 2006) has
 257 similar pattern as the heat fluxes; the subtropical jet across
 258 the north Atlantic is stronger, narrower, and more zonally
 259 elongated in CAM3. The NPST (North Pacific storm track)
 260 extends further across the Pacific and is also latitudinally
 261 narrower in CAM3. The bias field along the NPST shows
 262 weaker heat flux at the start and a dipolar pattern (reversed
 263 from the NAST) where the heat flux is stronger much
 264 further downstream and to the north. The zonal wind bias
 265 again finds a stronger subtropical jet stream across the
 266 north Pacific in CAM3.

267 The NAST has positive heating in middle and lower
 268 tropospheric levels of both ERA-40 (Fig. 1d, g) and CAM3
 269 (Fig. 1e, h). At these levels CAM3 has stronger heating
 270 along the middle and downstream end of the NAST leading
 271 to positive bias there. In contrast, the upper level bias is
 272 negative over the upstream half of the NAST. The opposite
 273 is true for the NPST off the east coast of Asia: low level
 274 diabatic heating bias is strongly negative along the initial
 275 portion of the NPST.

276 The horizontal plots in Fig. 1 primarily give the geo-
 277 graphic distribution of the heating and bias. They also give
 278 some indication of the vertical structure of the diabatic
 279 heating and its bias. However, longitudinal cross sections of
 280 average values within carefully chosen latitude bands
 281 are more effective for showing the vertical structure.
 282 Figure 3a–c show longitudinal cross sections of heating and
 283 bias over the longitudinal belt from 10°S to the equator,
 284 roughly along the bands of mid and upper level negative
 285 diabatic heating bias seen in Fig 1c, f. The diabatic heating
 286 in ERA-40 (Fig. 3a) generally reaches peak values in mid-
 287 troposphere as does CAM3 (Fig. 3b). The models have
 288 similar vertical structure for the heating (comparing Fig. 3a,
 289 b). Consequently, the bias has largest values in the middle
 290 and upper troposphere for this longitudinal belt.

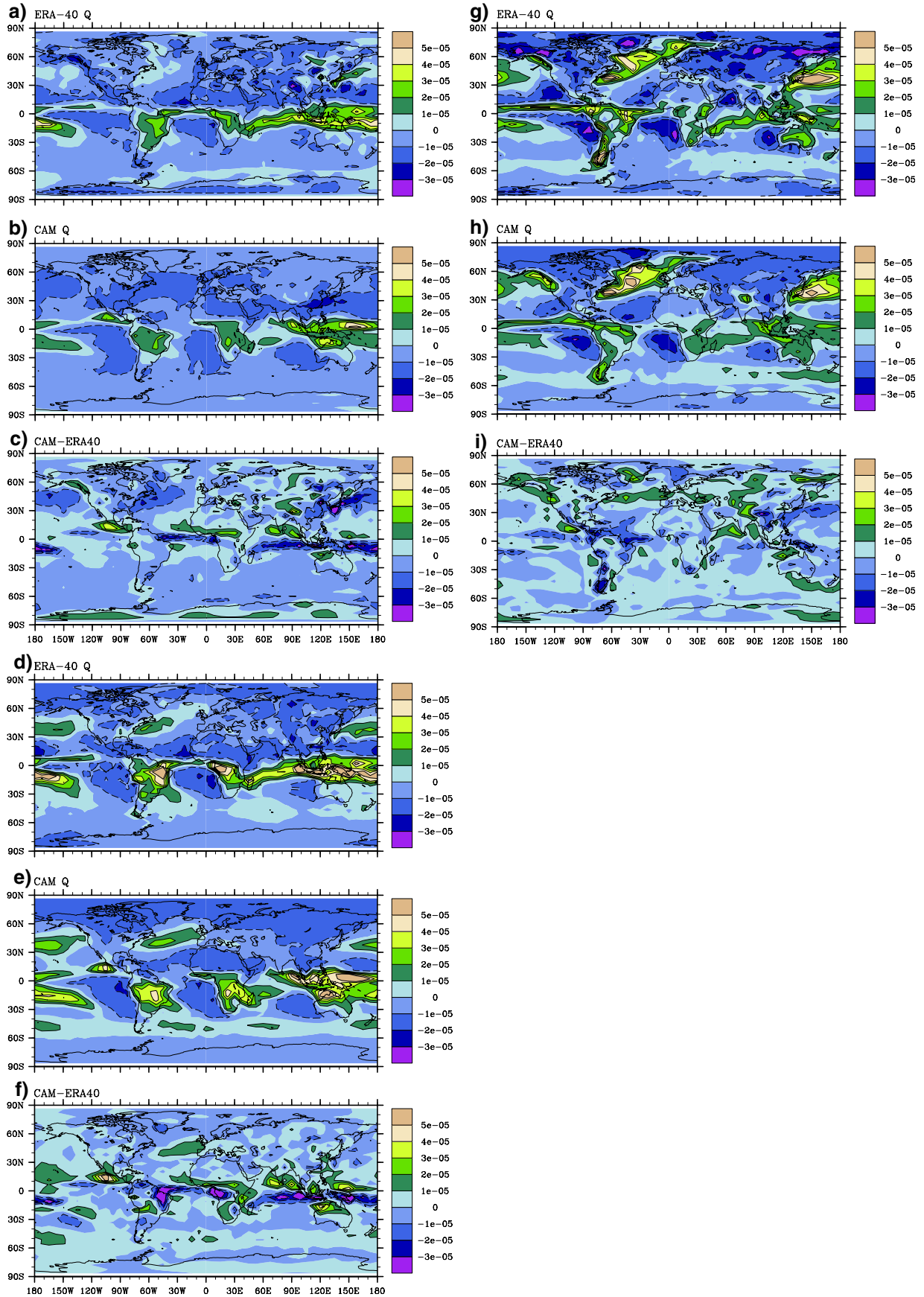
291 Cross sections along a second longitudinal belt, 0°–10°N,
 292 are shown in Fig. 3d–f. This belt lines up some positive bias
 293 regions in the Pacific and Indian Oceans as well as over
 294 Africa. It is seen that over the western Pacific and Indian
 295 Oceans the bias is positive mainly in the middle troposphere,
 296 which indicates CAM3 has stronger diabatic heating at those
 297 places. Notable positive heating over each of the oceans at
 298 low levels found in ERA-40 is picked up closely by CAM3.

299 A set of longitudinal cross sections shown in Fig. 3g–i
 300 indicate how the heating and bias are distributed along the
 301 NPST as well as for the NAST start, where the bias tends to
 302 be larger. Figure 3j–l show the next 10° longitudinal band
 303 north and are intended to display more of the NAST where
 304 the bias is larger. In ERA-40 the diabatic heating becomes

Fig. 1 a–c Diabatic heating at $\sigma = 0.3$ derived as a residual using
 a ERA-40 and b CAM3 data. The bias is shown in (c). The contour
 interval is 10^{-5} K s^{-1} . Dashed contours used for negative values. d–f
 Similar to a–c, except at $\sigma = 0.5$. g–i Similar to a–c, except at
 $\sigma = 0.85$

305 deeper as one moves downstream in both the NPST (Fig. 3g)
 306 and NAST (Fig. 3j). CAM3 reproduces this deepening,
 307 though not as much, consequently the bias at upper levels is
 308 negative on the downstream end of the NAST. At the
 309 downstream end of the NAST at low levels the bias is
 310 positive (Fig. 3k) in large part because the CAM3 NAST is
 311 further south (Fig. 3h). So part of the bias along the NAST
 312 reflects the northeastward bending storm track in ERA-40
 313 that is somewhat more zonal in CAM3. Low level diabatic
 314 cooling occurs over both continents in both CAM3 and
 315 ERA-40, though it is much larger in CAM3. The negative
 316 bias (excess cooling) over the northern continents is largely
 317 confined below $\sigma = 0.85$ and is stronger over longitudes
 318 60°–120°E, a region where CAM3 is known to have a very
 319 large positive bias in low level cloud amount. The excessive
 320 low cloudiness (and possibly excessive snow cover) in
 321 CAM3 (e.g., Vavrus and Waliser 2008) are consistent with
 322 CAM3 having more strongly negative net radiation. Over
 323 eastern North America CAM3 has low level cooling where
 324 ERA-40 has heating (Fig. 3j, k). Just east of both continents,
 325 CAM3 reproduces the low level heating over the ocean areas
 326 found in ERA-40. The excess diabatic heating by CAM3
 327 along the NAST occurs first mainly at low levels (75°–
 328 50°W) then later along the NAST (50°–0°W) the bias is
 329 mainly in middle troposphere levels (Fig. 3i, l). While the
 330 diabatic heating at middle levels is somewhat stronger in
 331 CAM3, the upper level heating is too weak in CAM3 along
 332 the NAST (Fig. 3l). In contrast to the situation along the
 333 NAST, lower level heating is generally underestimated by
 334 CAM3 for the first half of the NPST. There is again positive
 335 bias in the middle troposphere on the downstream end of the
 336 storm track but it is much less for the NPST than it was for
 337 the NAST. The cooling bias in the upper troposphere is even
 338 stronger for the NPST than it was for the NAST. As in the
 339 tropical belts, the general sense is that the diabatic heating
 340 extends to higher elevations in ERA-40 than in CAM3 data.

341 Hurrell et al. (2006) found that the tropical precipitation
 342 is well simulated in CAM3. There is, however, a tendency
 343 for the tropical precipitation maxima to remain in the
 344 Northern Hemisphere throughout the year, while precipi-
 345 tation tends to be less than indicated by satellite estimates
 346 along the equator. During northern winter, the CAM3
 347 simulates the observed maxima in precipitation associated
 348 with the convergence zones over the South Pacific, South
 349 America, and Africa, though rainfall rates over the latter
 350 region are higher than observed. These results are consis-
 351 tent with vertically integrated diabatic heating (Q_1) and
 352 precipitation bias discussed later in this paper. The



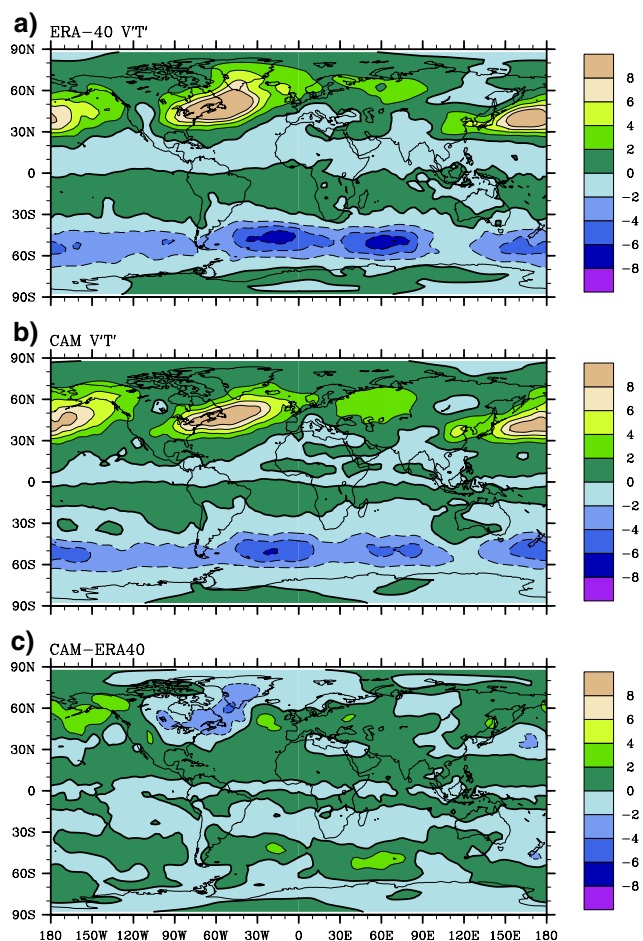


Fig. 2 Band passed (2–8 days) northward heat flux per unit mass during DJF at $\sigma = 0.5$. **a** ERA-40, **b** CAM3, and **c** bias (CAM3–ERA40). The contour interval is 2 K ms^{-1} . Dashed contours used for negative values

353 simulation bias may depend slightly on the horizontal
 354 resolution, however, the dominant patterns of many vari-
 355 ables (e.g., precipitation) in two horizontal spectral trun-
 356 cations tested: T42 and T85, are similar (e.g., Hack et al.
 357 2006a; Rasch et al. 2006). Hack et al. (2006a) found that
 358 although the high-resolution model exhibits a number of
 359 desirable simulation improvements, the bias in precipita-
 360 tion and diabatic heating is similar to that discussed in this
 361 paper for the lower resolution model. Also, according to
 362 Rasch et al. (2006), the higher-resolution runs probably
 363 overestimate the variability and the spatial extent of that
 364 variability, which tends to be strongly correlated with
 365 regions of strong convection over land and oceans.

366 4 Contributions of precipitation, net radiation, 367 and sensible heat flux to diabatic heating bias

368 The diabatic heating is calculated as a residual and as such
 369 it may accumulate inaccuracies in the individual terms in

Eq. 5. While Eq. 5 implicitly includes contributions from
 radiation, sensible heating, and latent heating released by
 precipitation, Trenberth and Smith (2008) recommend
 testing the residual calculation against directly measured
 boundary contributions: sensible heat flux at the earth's
 surface (SH), precipitation multiplied by latent heat of
 vaporization (LP), and top of atmosphere net radiation (R).
 The vertically integrated diabatic heating from Eq. 5
 should equal the sum of SH, LP, and R.

Integrating Eq. 5 in vertical obtains:

$$C_p \int_0^{p_s} \left\{ \Delta \bar{T} / \Delta t + \bar{\vec{V}} \cdot \Delta \bar{T} + (p/p_0)^{\frac{R}{c_p}} \bar{\omega} \partial \bar{\theta} / \partial p + (p/p_0) \right. \\ \left. \times \left[\nabla \cdot \bar{\vec{V}}' \theta' + \partial (\bar{\omega}' \theta') / \partial p \right] \right\} \frac{dp}{g} = \bar{Q}_1, \quad (6)$$

and

$$\bar{Q}_1 = C_p \int \frac{\bar{Q}}{g} dp, \quad (7)$$

which also equals

$$\bar{Q}_1 = R + \text{SH} + \text{LP}, \quad (8)$$

The bias \hat{Q}_1 between CAM3 output (\bar{Q}_{1C}) and ECMWF
 analysis (\bar{Q}_{1E}) is

$$\hat{Q}_1 = R_C + \text{SH}_C + \text{LP}_C - (R_E + \text{SH}_E + \text{LP}_E). \quad (9)$$

Figure 4 compares \bar{Q}_1 calculated using Eqs. 7 and 8 for
 both CAM3 and ERA-40 and the bias using each equation.
 The agreement between Eqs. 7 and 8 for ERA-40 is judged
 sufficient for our purposes; the differences are nearly
 everywhere less than 45 W m^{-2} and much less most pla-
 ces, including the places emphasized in this report. Along
 the NPST and NAST the differences between using Eqs. 7
 or 8 are 5–20% in ERA-40 data (Fig. 4a, d). The CAM3
 values using Eqs. 7 or 8 (Fig. 4b, e) are not quite as con-
 sistent. Along the NPST and NAST the differences
 between Eqs. 7 and 8 are generally between 5 and 30% in
 CAM3 data with one exception: the heating maximum
 along the North American west coast (45° – 60° N) is
 50–60% larger in the vertically integrated heating Eq. 7
 than the boundary heating Eq. 8 (Fig. 4b, e). Over the
 Arctic Ocean and adjacent landmasses (excluding Green-
 land) Eqs. 7 and 8 give very similar results for both CAM3
 and ERA-40 (<15% difference). The results provide suf-
 ficient validation of our diagnostic analysis and imply that
 the broad patterns of heating calculated as a residual at
 individual levels are probably reasonable.

The vertically integrated atmospheric diabatic heating is
 concentrated along the ICZ, SPCZ, the Southern Hemi-
 sphere tropical land masses, and the Northern Hemisphere
 storm tracks (NPST and NAST) during DJF. Comparison

Author Proof

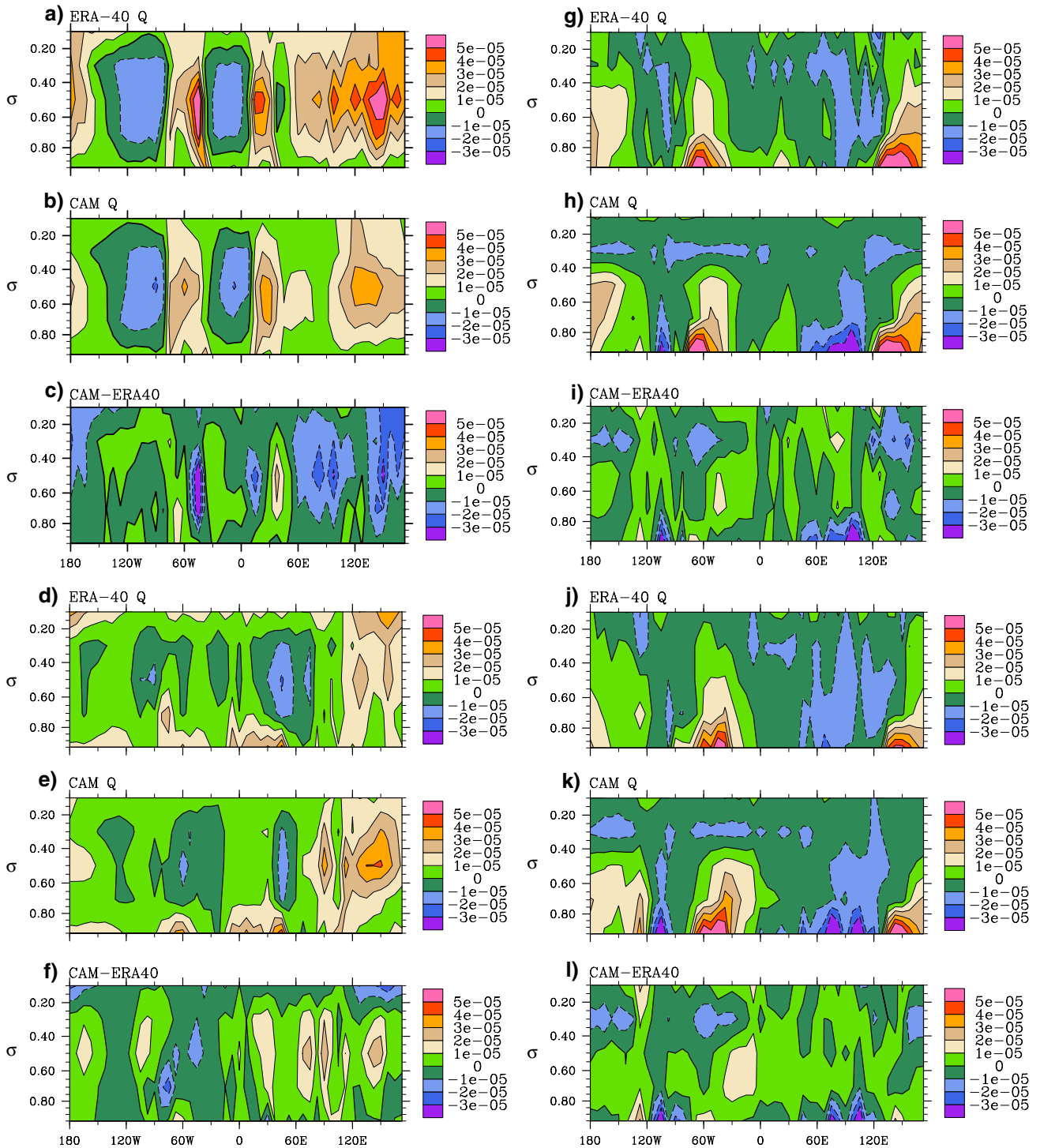


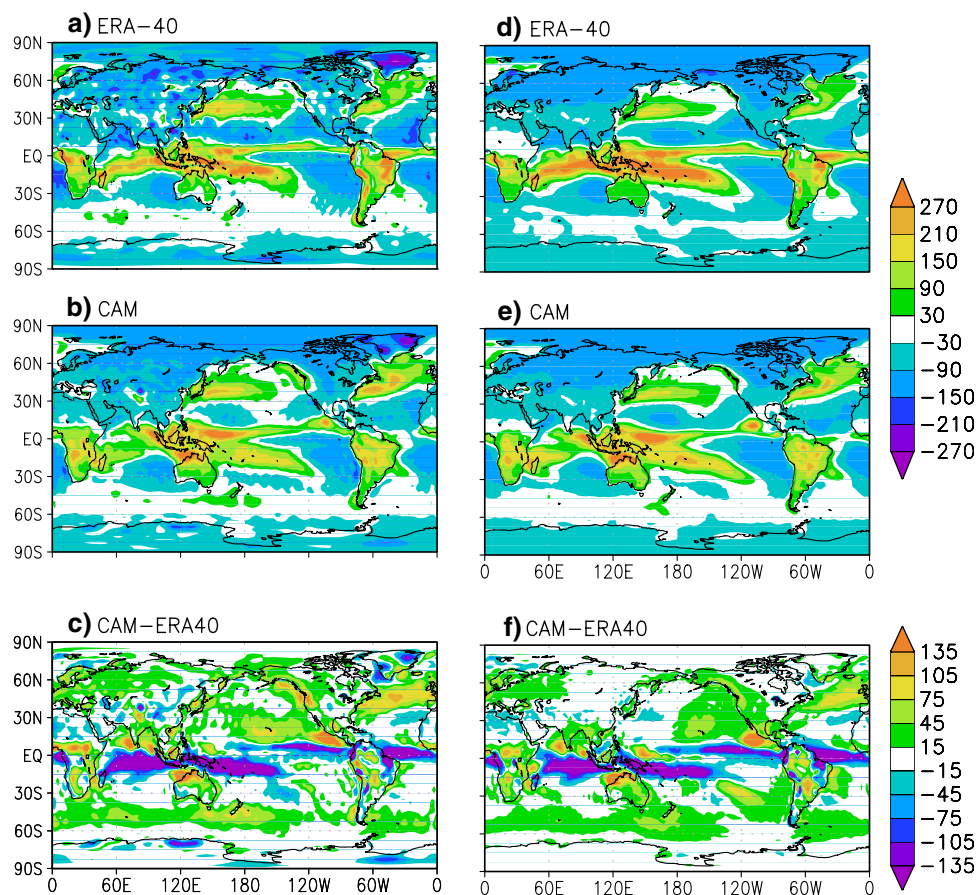
Fig. 3 **a–c** Longitudinal cross sections along 10°S–0° for the diabatic heating derived as a residual using **a** ERA-40 and **b** CAM3 data. The bias is shown in **(c)**. The contour interval is 10^{-5} K s^{-1} . *Dashed contours* used for negative values. **d–f** Similar to **a–c**, except for longitudinal cross sections along 0°–10°N. **g–i** Similar to **a–c**,

except for longitudinal cross sections along 30°–40°N. This cross section picks up the NPST and start of the NAST. **j–l** Similar to **3a–c**, except for longitudinal cross sections along 40°–50°N. This cross section picks up most of the NAST

413 of the two CAM3 results with the ERA-40 results finds
 414 much too small (by >50%) diabatic heating along most of
 415 the ICZ and SPCZ in CAM3 whether using Eqs. 7 or 8. See

416 Fig. 4c, f, respectively. The precipitation is much less in
 417 CAM3 and the ICZ and SPCZ cloud tops are presumably
 418 not as high since net radiation is greater in CAM3 (not

Fig. 4 Vertically integrated diabatic heating calculated two ways: as a residual, Eq. 7, in the temperature equation (*left column*) and using boundary sources, Eq. 8, of precipitation, surface sensible heat flux and top of atmosphere net radiation (*right column*). The *top row* **a** and **d** use ERA-40 data; the *middle row* **b** and **e** use CAM3 data. The *bottom row* compares the bias. The units are W m^{-2}



419 shown). In the equatorial western Pacific and Indian Ocean, 420 the difference field has strongly negative sign between 5°N 421 and 10°S and positive sign to the north and over northern 422 Australia. In the equatorial eastern Pacific and Atlantic ICZ 423 region, the difference field also has a negative sign along 424 the ICZ. A large positive region is present south of Mexico; 425 it is entirely due to CAM3 having heavy precipitation 426 there. These features are consistent with the patterns shown 427 in Fig. 2 and appear whether the residual or boundary heat 428 sources are tallied.

429 Figure 5 shows Q_1 plus the individual boundary 430 contributions to Q_1 for latitudes north of 30°N in ERA-40 431 (Fig. 5a–d), CAM3 (Fig. 5e–h), and the bias field 432 (Fig. 5i–l). Along the entire NAST, but especially from 433 the midpoint onward, CAM3 has much larger (by upwards 434 of 50% more) integrated heating than ERA-40 (Fig. 5d, h, 435 l). Most of the bias ($\sim 2/3$) in the middle and downstream 436 end of the NAST is from precipitation, with most of the 437 remainder ($\sim 1/3$) from net radiation (Fig. 5i, k). Precip- 438 itation in the eastern Atlantic is lighter and more widely 439 spread (in latitude) in ERA-40. Net radiation is more 440 strongly negative over the Atlantic in ERA-40. However, 441 further downstream, the net radiation is less negative in 442 ERA-40 over the middle latitudes from the Mediterranean

443 Sea across the Middle East and Asia to the Pacific coast 444 making the net radiation bias negative across that region 445 (Fig. 5k). The residual calculation in this region has 446 negative values in the lowest levels which seems consistent 447 with the pattern of net radiation (Fig. 5k) and with 448 excessive low cloud cover in CAM3 (CAM3 loses more 449 radiative energy and reflects more sunlight than the ERA- 450 40 data). Surface sensible heat flux, Fig. 5j, is the largest 451 contributor to the (positive) bias along the North America 452 Atlantic coast near the start of the NAST. This sensible 453 heat flux is more strongly positive in CAM3 along the 454 east coasts of North America and Greenland and into the 455 Barents Sea. Over Russia and part of the ice-covered 456 Arctic Ocean, the sensible heat flux bias is not as strongly 457 negative in CAM3 as ERA-40, causing the positive bias 458 seen there in Fig. 5j. Again, the pattern seen in boundary- 459 deduced Q_1 (Figs. 5l, 4f) versus a residual (Fig. 4c) agree 460 pretty well along the NAST. Along the NPST, CAM3 461 total heating is notably less ($\sim 30\%$ less) near the start 462 of the track and ($\sim 50\text{--}100\%$) more along the North Amer- 463 ican west coast (the range accounting for the differences 464 noted above between Fig. 4b, e). The negative bias at the 465 start of the NPST is mainly due to surface sensible heat 466 flux being much smaller in CAM3 (Fig. 5j). Surface

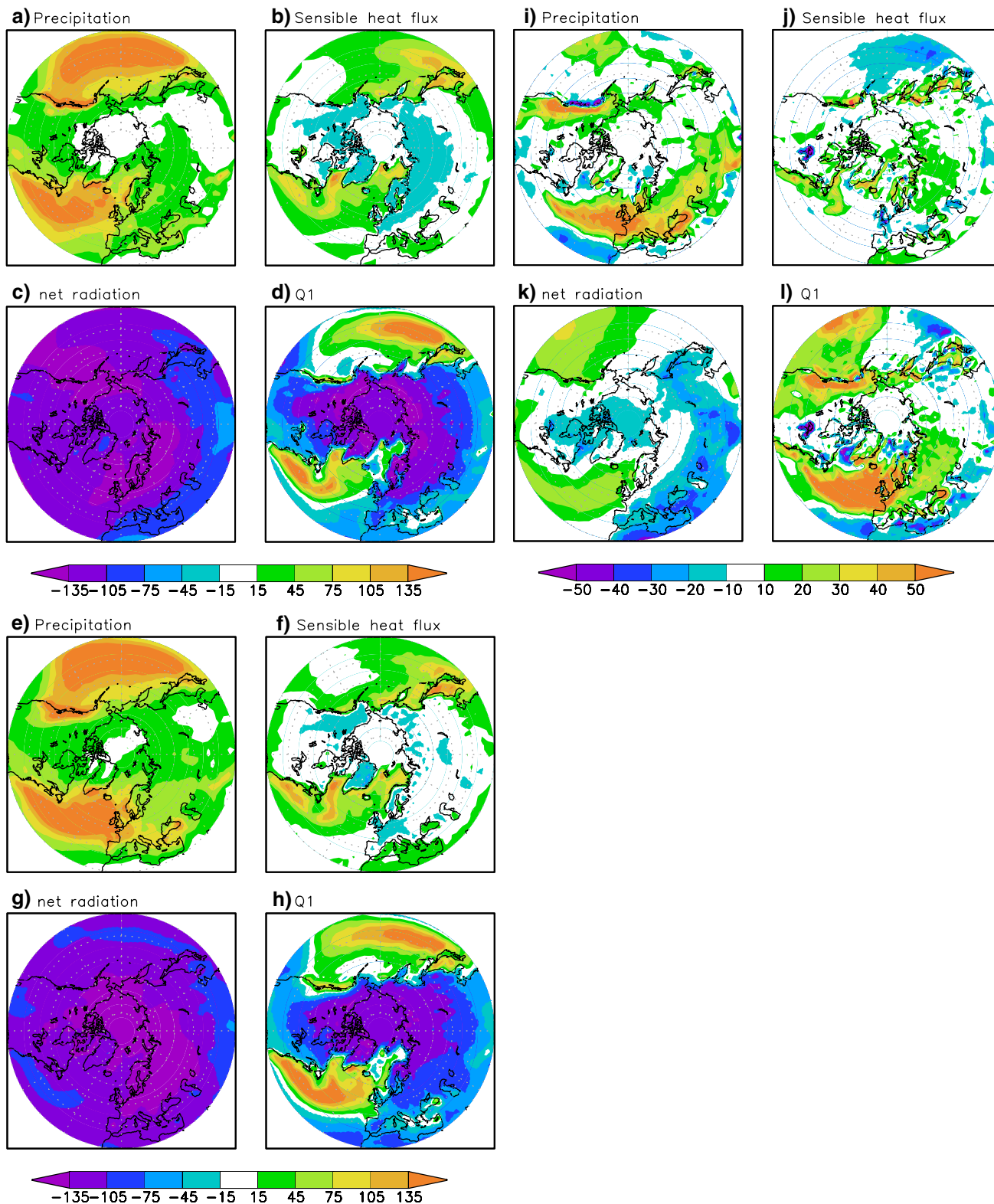


Fig. 5 a–d Boundary contributors of ERA-40, a precipitation, b surface sensible heat flux, and c top of atmosphere net radiation to the vertically integrated diabatic bias, Q_1 shown in (d). The units

are $W m^2$. Dashed contours used for negative values. e–h Similar to a–d, except for CAM3. i–l Similar to a–d, except for the bias of CAM3 (CAM3–ERA40 difference)

467 sensible heat flux extends much further eastward from
 468 Asia in ERA-40 than in CAM3. At the downstream end of
 469 the NPST, the surface heat flux is positive. So along the
 470 NPST, CAM3 surface heat flux bias has the opposite
 471 pattern as it does for the NAST. ERA-40 net radiation is
 472 more strongly negative over the Pacific, similar to the
 473 Atlantic track. Hence the net radiation bias is positive
 474 (Fig. 5k) especially on the downstream and subtropical
 475 sides of the Pacific storm track. Precipitation is enhanced
 476 near the west coast of North America in both ERA-40 and
 477 CAM3; however, the strong precipitation is about twice as
 478 wide longitudinally in CAM3 and not as strong right at
 479 the coast. The result is a rapid sign change of precipitation
 480 bias seen in Fig. 5i. The small scale of the precipitation
 481 change (and even more so in Q_1) along the North
 482 American west coast may explain the disagreement in Q_1
 483 estimates using Eqs. 7 versus 8 discussed in connection
 484 with Fig. 4c, f.

485 Our calculations use ERA-40 estimates of precipitation,
 486 P , but other estimates of P exist. Hurrell et al. (2006, their
 487 Fig. 16) find a similar pattern of excessive P during DJF on
 488 the downstream end of the NAST. Hurrell et al. use climate
 489 prediction center merged analysis of precipitation (CMAP)
 490 data (Xie and Arkin 1996). Similarly, they also find a
 491 positive P bias in the mid Pacific along the NPST when
 492 comparing CAM3 with CMAP. Similar to the ERA-40
 493 data, CMAP does not extend the NPST P as far into North
 494 America as does CAM3. Hack et al. (2006b, their Fig. 19)
 495 compare annual mean P between CAM3 and CCSM3 and
 496 find similar P bias over Europe and adjacent Atlantic
 497 waters. CCSM3 and CAM3 differ more along the NPST
 498 than along the NAST, though CCSM3 still carries the
 499 NPST P too far into North America. Dickinson et al. (2006,
 500 their Fig. 4) show a similar elongated dipolar P pattern
 501 along the North American west coast and excessive P over
 502 Europe when comparing CCSM3 with observations
 503 from the Willmott and Matsuura dataset. In short, other
 504 P datasets find similar CAM3 bias.

505 The results of this section suggest that discussion of
 506 diabatic heating bias is likely robust across the NAST and
 507 most of the NPST (except along the North American west
 508 coast). So, we shall not emphasize results near the North
 509 American west coast. The precipitation along the NAST is
 510 generally greater, but the net radiation less in CAM along
 511 much of the NAST. Clearly the frontal cyclones of the north
 512 Atlantic have quite different behavior in CAM than ERA-
 513 40. In contrast, frontal cyclones in the NPST seem to have
 514 more similar tracks in ERA-40 and CAM. Precipitation
 515 does not have as large of positive bias in the NPST, though
 516 net radiation is similarly less (positive bias). Another dif-
 517 ference is the surface sensible heat flux at the track start has
 518 opposite sign from the NPST to the NAST. Because the two
 519 tracks differ it is hard to generalize about the model error.

We note that the observed NAST differs from the NPST in
 being much more curved (and tending towards a higher
 latitude on the downstream end) and both tracks are
 straighter in CAM3 (Fig. 2b) than in ERA-40 (Fig. 2a).

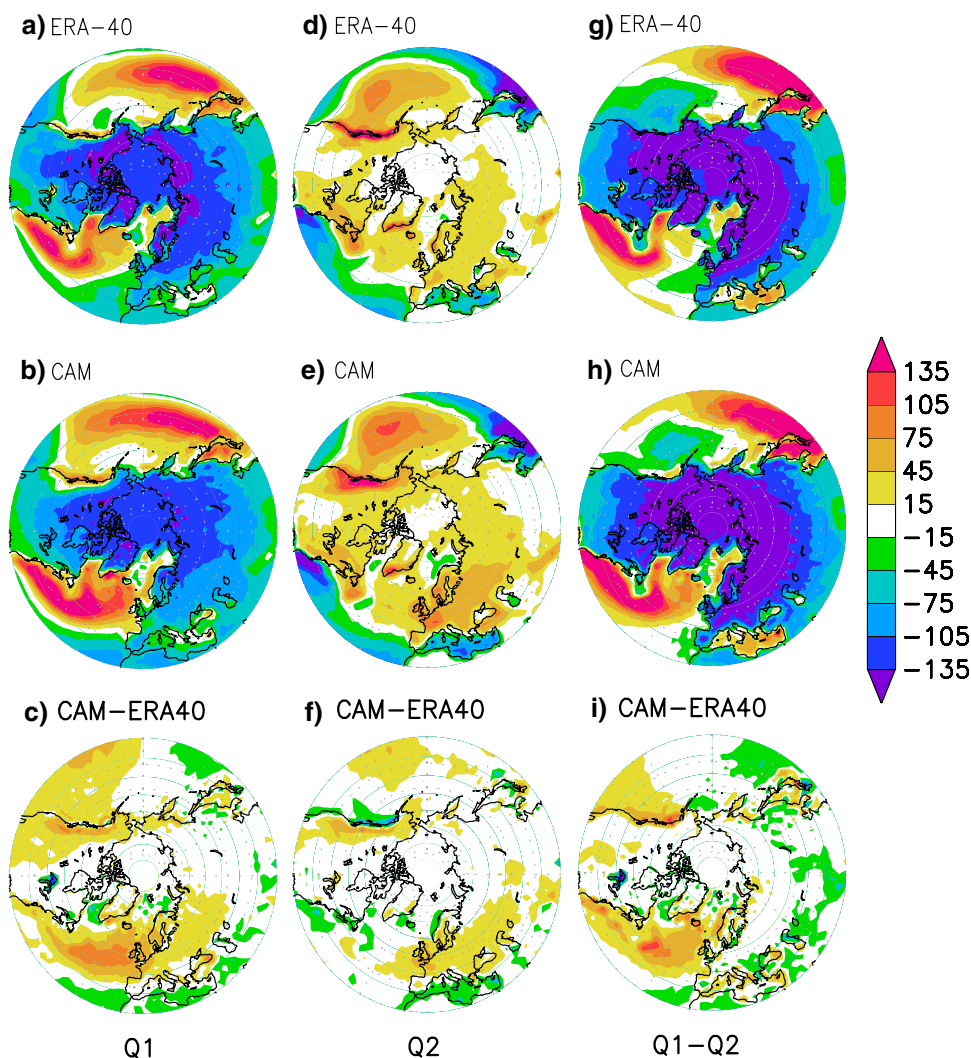
Trenberth and Smith (2008) also formulate a vertically
 integrated moisture equation such that the boundary mois-
 ture source for the atmosphere is precipitation (P) minus
 evaporation (E). When multiplied by the latent heat
 parameter (L , which may be allowed to vary) one obtains a
 moisture equation ‘apparent heat source’, $Q_2 = L \times (P -$
 $E)$. They further form a total energy equation whose total
 diabatic heating is $Q_1 - Q_2$. Hence $Q_1 - Q_2$ provides a
 window upon the total energy forcing bias. Another
 advantage of considering Q_2 is that Trenberth and Smith
 remark that Q_2 is relatively less sensitive to the method of
 calculation, so it is shown here in part as a check upon the
 contribution by P to Q_1 .

Figure 6 shows the diabatic heating contributions to
 temperature, moisture, and total energy for ERA-40,
 CAM3, and the respective biases. Q_2 shows much cancel-
 lation by E of the contribution by P , however, P remains
 large on the downstream ends of the NAST and NPST. Q_2
 bias (Fig. 6f) is negative over Gulf Stream indicating
 excess evaporation over precipitation. Precipitation bias is
 positive there (Fig. 5i) as was sensible heating (Fig. 5j) so
 a negative sign in Q_2 implies even larger bias in E (with
 much larger values in CAM3). It is interesting that ERA-40
 values of surface sensible heat (SH) and surface latent heat
 fluxes are both $\sim 25\%$ greater in ERA-40 than NCEP/DOE
 AMIP reanalysis II (NDRA2) over the Gulf stream (Grot-
 jahn 2008). Apparently CAM3 is even larger than NDRA2
 in that region. For the region off Japan at the start of the
 NPST, the bias is somewhat different: SH is smaller than
 ERA-40 in CAM3, though the biases in P and E are similar
 to that over the Gulf Stream (so the bias in Q_2 there is
 small). On the downstream end of the NPST and NAST, Q_2
 becomes positive as P exceeds E (and where P is greater in
 CAM3 than in ERA-40).

The diabatic heating contributions to total energy
 ($Q_1 - Q_2$) show the expected (e.g., Trenberth and Smith
 2008) energy input at the starts of the NAST and NPST.
 Energy loss occurs over the downstream ends of the NAST
 and NPST as well as over the continents and ice-covered
 Arctic Ocean. Interestingly, the bias shows opposite pat-
 terns of net input and removal along the NPST and NAST.
 Less energy is input at the start and less is removed at the
 end of the NPST. However, the energy input at the start of
 the NAST is greater in CAM3 and the removal to the west
 of Europe is much less in CAM3 as can be seen in the
 ERA-40 and CAM3 maps (Fig. 6g, h) of $Q_1 - Q_2$, as well
 as the corresponding bias.

In summary for the NAST: CAM3 has greater sensible
 heat flux at the start, evaporation all along the NAST is

Fig. 6 Vertically integrated diabatic heating in **a** ERA-40 and **b** CAM3 data and their bias **c** for latitudes north of 30°N, otherwise comparable to **d–f**. Plot **c**, same as **d**, is shown here for reference. *Middle column d–f* are corresponding quantities of vertically integrated boundary moisture contribution expressed as heating [latent heat times (precipitation minus evaporation)]. **g–i** are corresponding quantities for a total energy equation. Units are $W m^{-2}$



573 greater but so is precipitation, the greater precipitation
 574 extends eastward into western Asia, where (negative) net
 575 radiation to the south is also stronger; while these diabatic
 576 processes are stronger in CAM3, the transient heat flux is
 577 not noticeably stronger except near the west coast of
 578 Europe (due to the storm track error). In summary for the
 579 NPST: CAM3 starts off with weaker surface heat flux,
 580 precipitation grows stronger by the mid Pacific (again
 581 largely balanced by greater evaporation in the model); so
 582 the upstream end gains less energy while the downstream
 583 end has correspondingly less loss of energy compared to
 584 ERA-40.

585 **5 Linear advection term, nonlinear advection term,**
 586 **and storm track forcing**

587 We also calculated the linear advection terms (Linear
 588 Group), nonlinear advection terms (Nonlinear Group), and
 589 transient heat flux terms contribution to the time mean

(Transient Group) in the bias Eq. 4 by using ERA-40 and
 CAM3 simulation data. Our approach in discussing these
 terms is twofold. First, we seek to isolate physical
 processes that create portions of the bias by making this
 partitioning. Second, we want to assess the strength of the
 terms, including both the dominant physical processes but
 also the size of the nonlinearity. In the previous section we
 discussed various contributions to the diabatic heating, but
 that is not the only source of bias. Bias may result from
 transient activity (Transient Group) that contributes to the
 time mean, and for the temperature equation these are
 vertical and horizontal heat fluxes by the transient
 components. The remaining terms (Nonlinear Group) arise
 when the bias interacts with itself.

Figure 7 shows the Linear Group, Nonlinear Group, and
 Transient Group over the globe at three representative
 levels chosen to match the diabatic heating levels shown
 (recall Fig. 1).

The upper troposphere pattern is seen in Fig. 7a–c. The
 Linear Group (Fig. 7a) is largest and so has much

Author Proof

610 similarity to the diabatic heating shown in Fig. 1c. Along
 611 the ICZ and in the Pacific south of Mexico the nonlinear
 612 terms (Fig. 7b) have similar pattern but about half the
 613 magnitude as the diabatic bias (Fig. 1c). In subtropical and
 614 higher Northern Hemisphere latitudes at this level the
 615 Nonlinear Group is generally much smaller compared to
 616 other terms. The transients (Fig. 7c) also has some con-
 617 tribution to the bias along the ICZ in the Indian and western
 618 Pacific Oceans. Transients have their larger values along
 619 the NAST and the NPST. There is some cancellation
 620 between diabatic (Fig. 1c) and transient (Fig. 7c) heating
 621 for the first half of the NAST and the second half of the
 622 NPST. For the first half of the NPST there is less cancel-
 623 lation than seen in the NAST because the contributions by
 624 diabatic and transient heating are offset in latitude (making
 625 the dipolar pattern of the Linear Group at the start of the
 626 NPST). The results at this level suggest that a linear model
 627 could be appropriate if interaction with the ICZ bias is not
 628 important.

629 In the middle troposphere, one sees almost no notable
 630 contribution by the nonlinear terms (Fig. 7e). Transient
 631 terms (Fig. 7f) have less contribution than they did higher
 632 up, with a negative forcing in the NPST that is opposite to
 633 the transient forcing above (Fig. 7c). The Linear Group
 634 still has a positive forcing bias along middle and down-
 635 stream end of the NAST, but the middle portion is due
 636 mainly to diabatic heating while only a small area near
 637 Norway arises from the transients. The forcing at this level
 638 is clearly dominated by the diabatic heating.

639 In the lower troposphere ($\sigma = 0.85$, Fig. 7g–i) the pri-
 640 mary balance to the linear terms (Fig. 7g) is again the
 641 diabatic heating bias (Fig. 1i). Along the NAST, the tran-
 642 sient terms (Fig. 7i) are much weaker than at upper levels.
 643 The most notable transient contribution is along the
 644 downstream half of the NPST, where the bias in the tran-
 645 sients generates cooling. The transient cooling near the
 646 southeast Alaskan coast has the opposite sign to the tran-
 647 sients bias at upper levels (Fig. 7c) and strongly opposes
 648 the diabatic heating (Fig. 1i) here. Unlike middle and upper
 649 levels, nonlinear bias terms (Fig. 7h) now have a few
 650 contours in middle and high latitudes. At the lowest model
 651 level ($\sigma = 0.95$, not shown) the nonlinear terms become
 652 comparable to the transient and diabatic terms over polar
 653 land areas from Norway eastward into Alaska.

654 The results show that the Linear Group of terms tends to
 655 be the largest group in most locations and levels. In many
 656 cases it is balanced by diabatic heating, which was
 657 obtained as a residual. The transients have notable contri-
 658 bution in the NPST and NAST in middle and upper tro-
 659 posphere. The nonlinear terms are much smaller in
 660 subtropical and higher latitudes except close to the surface.

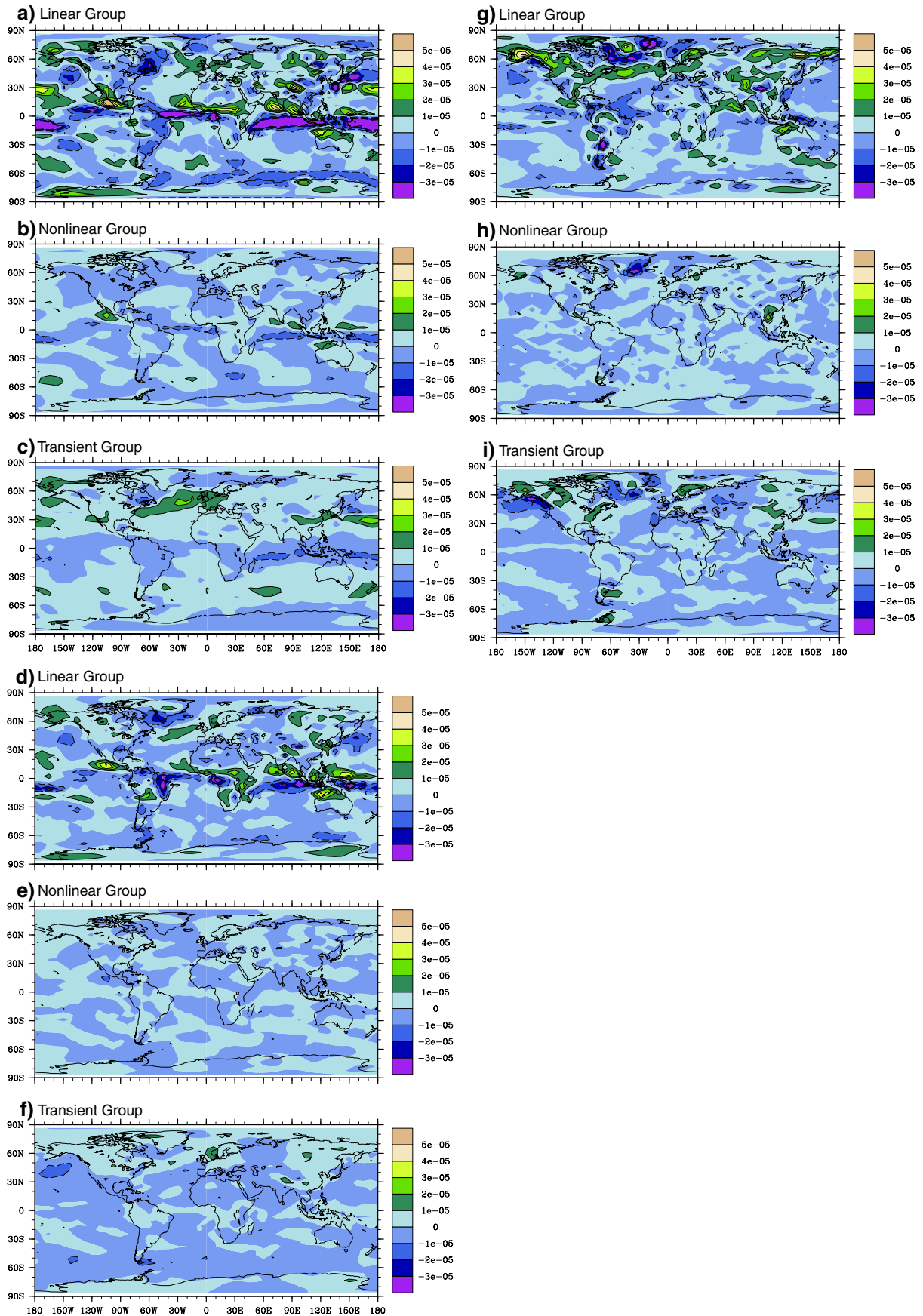
661 Longitudinal cross sections of the Linear, Nonlinear,
 662 and Transient Groups are shown in Fig. 8. The Nonlinear

663 and Transient Groups have little contribution in the tropical
 664 belts shown in Fig. 3 and so are not shown. The Linear
 665 Group for tropical belts looks very similar to Fig. 3c and f;
 666 the only notable difference is a small amount of added
 667 negative forcing at upper levels across the Indian and
 668 Pacific Oceans ICZ and Amazonia by both nonlinearity and
 669 transients (recall Fig. 7b, c).
 670 The forcing along middle latitude bands is more inter-
 671 esting. To capture the larger biases seen in Fig. 7 along the
 672 NAST (and the later half of the NPST), we consider the
 673 latitude band between 40° and 50°N . In this band the upper
 674 level positive contribution along the downstream half of
 675 the NAST by the transients (Fig. 7c) also seen in the Linear
 676 Group (Fig. 7a) is seen again in Fig. 8c. Further down-
 677 stream of the NAST (and the downstream end of the
 678 NPST) the transients have negative contribution to Linear
 679 Group in middle and lower levels.. At the start of the
 680 NAST, the diabatic heating (Fig. 8d) has opposite sign at
 681 lower and upper levels. At upper levels of the NPST dia-
 682 batic heating bias is generally negative. The diabatic
 683 heating forcing tends to be larger at lower tropospheric
 684 levels and is mainly positive at the upstream ends of the
 685 NAST and NPST. The negative diabatic heating over both
 686 continents is seen to be quite shallow. The contributions by
 687 nonlinear terms (Fig. 8b) are seen to be small nearly
 688 everywhere.

689 Finally, one can further subdivide the linear bias terms
 690 (Linear Group) into vertical and horizontal advection of
 691 temperature (either by the bias or of the bias). Doing so finds
 692 the vertical advection tends to be larger than horizontal in the
 693 tropics and the horizontal somewhat larger in middle and
 694 high latitudes. In the upper troposphere, the two have quite a
 695 bit of cancellation in the middle and high latitudes. The four
 696 parts of the Linear Group were individually plotted (not
 697 shown) for middle and high latitudes. The vertical advection
 698 by the mean flow is the smallest and negligible. The other
 699 three terms are individually much larger than their combi-
 700 nation shown before (e.g., Fig. 7a). In the upper troposphere,
 701 there is much cancellation between the horizontal advection
 702 terms and vertical advection by the bias flow term along and
 703 to the north of the NAST and along most of the NPST. For
 704 example, over the northeast Pacific and over Japan hori-
 705 zontal advection by the mean flow and vertical advection by
 706 the bias combine to overcome the opposite (positive) sign of
 707 the horizontal advection by the bias. The negative area in
 708 Fig. 7a over eastern Canada is mainly from horizontal
 709 advection by the mean flow (the two terms with advection by

663 and Transient Groups have little contribution in the tropical
 664 belts shown in Fig. 3 and so are not shown. The Linear
 665 Group for tropical belts looks very similar to Fig. 3c and f;
 666 the only notable difference is a small amount of added
 667 negative forcing at upper levels across the Indian and
 668 Pacific Oceans ICZ and Amazonia by both nonlinearity and
 669 transients (recall Fig. 7b, c).
 670 The forcing along middle latitude bands is more inter-
 671 esting. To capture the larger biases seen in Fig. 7 along the
 672 NAST (and the later half of the NPST), we consider the
 673 latitude band between 40° and 50°N . In this band the upper
 674 level positive contribution along the downstream half of
 675 the NAST by the transients (Fig. 7c) also seen in the Linear
 676 Group (Fig. 7a) is seen again in Fig. 8c. Further down-
 677 stream of the NAST (and the downstream end of the
 678 NPST) the transients have negative contribution to Linear
 679 Group in middle and lower levels.. At the start of the
 680 NAST, the diabatic heating (Fig. 8d) has opposite sign at
 681 lower and upper levels. At upper levels of the NPST dia-
 682 batic heating bias is generally negative. The diabatic
 683 heating forcing tends to be larger at lower tropospheric
 684 levels and is mainly positive at the upstream ends of the
 685 NAST and NPST. The negative diabatic heating over both
 686 continents is seen to be quite shallow. The contributions by
 687 nonlinear terms (Fig. 8b) are seen to be small nearly
 688 everywhere.

689 Finally, one can further subdivide the linear bias terms
 690 (Linear Group) into vertical and horizontal advection of
 691 temperature (either by the bias or of the bias). Doing so finds
 692 the vertical advection tends to be larger than horizontal in the
 693 tropics and the horizontal somewhat larger in middle and
 694 high latitudes. In the upper troposphere, the two have quite a
 695 bit of cancellation in the middle and high latitudes. The four
 696 parts of the Linear Group were individually plotted (not
 697 shown) for middle and high latitudes. The vertical advection
 698 by the mean flow is the smallest and negligible. The other
 699 three terms are individually much larger than their combi-
 700 nation shown before (e.g., Fig. 7a). In the upper troposphere,
 701 there is much cancellation between the horizontal advection
 702 terms and vertical advection by the bias flow term along and
 703 to the north of the NAST and along most of the NPST. For
 704 example, over the northeast Pacific and over Japan hori-
 705 zontal advection by the mean flow and vertical advection by
 706 the bias combine to overcome the opposite (positive) sign of
 707 the horizontal advection by the bias. The negative area in
 708 Fig. 7a over eastern Canada is mainly from horizontal
 709 advection by the mean flow (the two terms with advection by



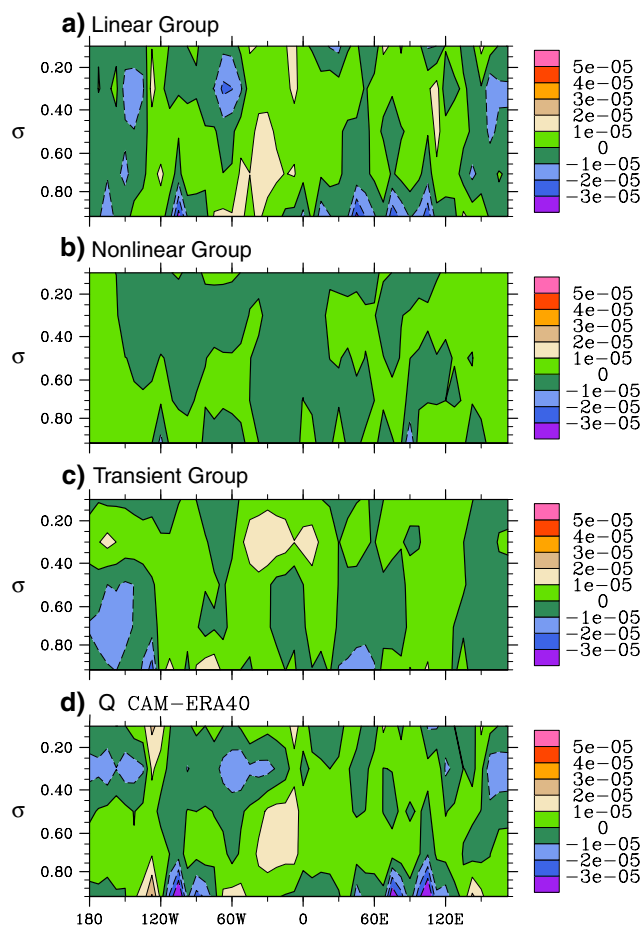


Fig. 8 Longitudinal cross sections at 40°–50°N comparing the Linear Group of terms to the other groups of terms in the temperature bias equation. Units are W m^{-2}

710 the bias again cancel). The positive region (Fig. 7a) over
 711 western Europe is a combination of horizontal advection by
 712 the mean flow combined with vertical advection by the bias
 713 flow (to overcome the horizontal advection by the bias). In
 714 the lower troposphere there is also much cancellation
 715 between the two advection by the bias flow terms. However,
 716 the positive area along the middle of the NAST and the
 717 negative areas wrapping around southern Greenland
 718 (Fig. 7g) are both places where all 3 terms reinforce each
 719 other. So, there is not one single member or combination of
 720 terms that dominates the entire storm track or even most of
 721 it, though the two advection terms were most commonly
 722 cancelling.

723 The transient (or eddy) forcing to the mean field can be
 724 further investigated by an Eliassen–Palm (EP) flux analysis
 725 (see Eq. 10.20 in Holton 1992), Fig. 9 gives the zonal-mean
 726 zonal wind and EP flux of ERA-40, CAM3, and their dif-
 727 ference. Divergence of EP flux can be related to mainte-
 728 nance of the zonal mean zonal wind. In Fig. 9, this
 729 association is most prominent for the subtropical jet; CAM3
 730 has a little stronger EP flux divergence than ERA-40

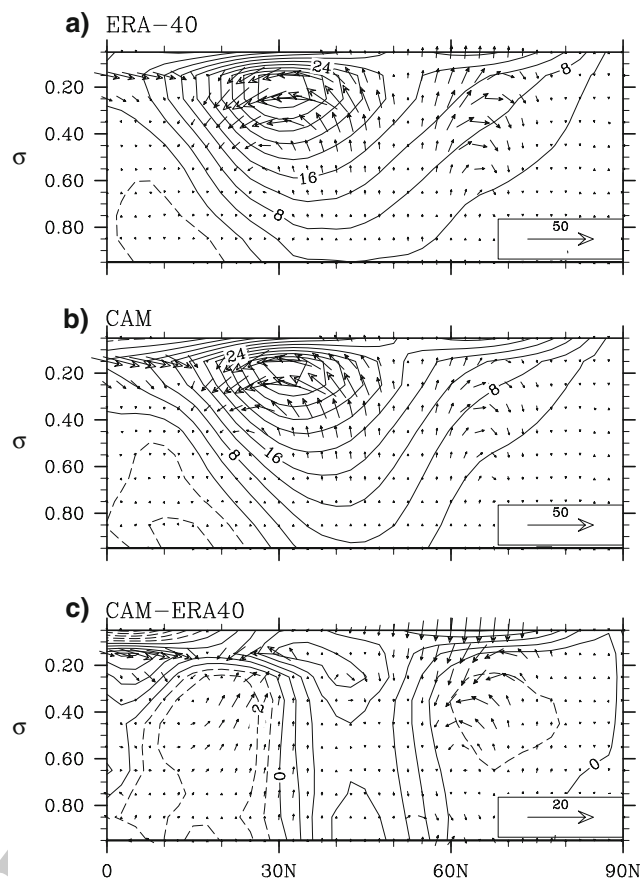


Fig. 9 Meridional cross sections of zonal-mean zonal wind (contour lines) and EP flux (vector) during DJF. **a** ERA-40, **b** CAM3, **c** and the CAM model bias (CAM3–ERA-40 difference). Dashed contours used for negative (i.e., easterly) zonal winds. The vector scale is given in the lower right of each plot

731 consistent with the stronger zonal wind. An additional upper
 732 level EP flux divergence occurs near latitude 60°N and in
 733 that case ERA-40 is stronger, consistent with weak zonal
 734 mean flow there in CAM3 (Fig. 9c). EP flux can also be
 735 viewed as a flux form of wave activity advection and to that
 736 end the poleward flux (between 60° and 70°N) is clearly
 737 weaker in CAM3.

738 In summary, the large size of the diabatic heating and
 739 cooling described in Sect. 4 is largely balanced by the
 740 linear advection terms, especially the horizontal advection
 741 terms and vertical advection by the bias winds. Transient
 742 heat flux terms are notable in the NAST and NPST. Except
 743 quite close to the surface, nonlinear interactions between
 744 the bias temperature and wind fields is neglectable.

6 Precipitation and Arctic bias

745
 746 It was shown above that a large diabatic heating forcing
 747 exists in the downstream end of the NAST. This positive
 748 bias arises mainly from excess precipitation (P) and

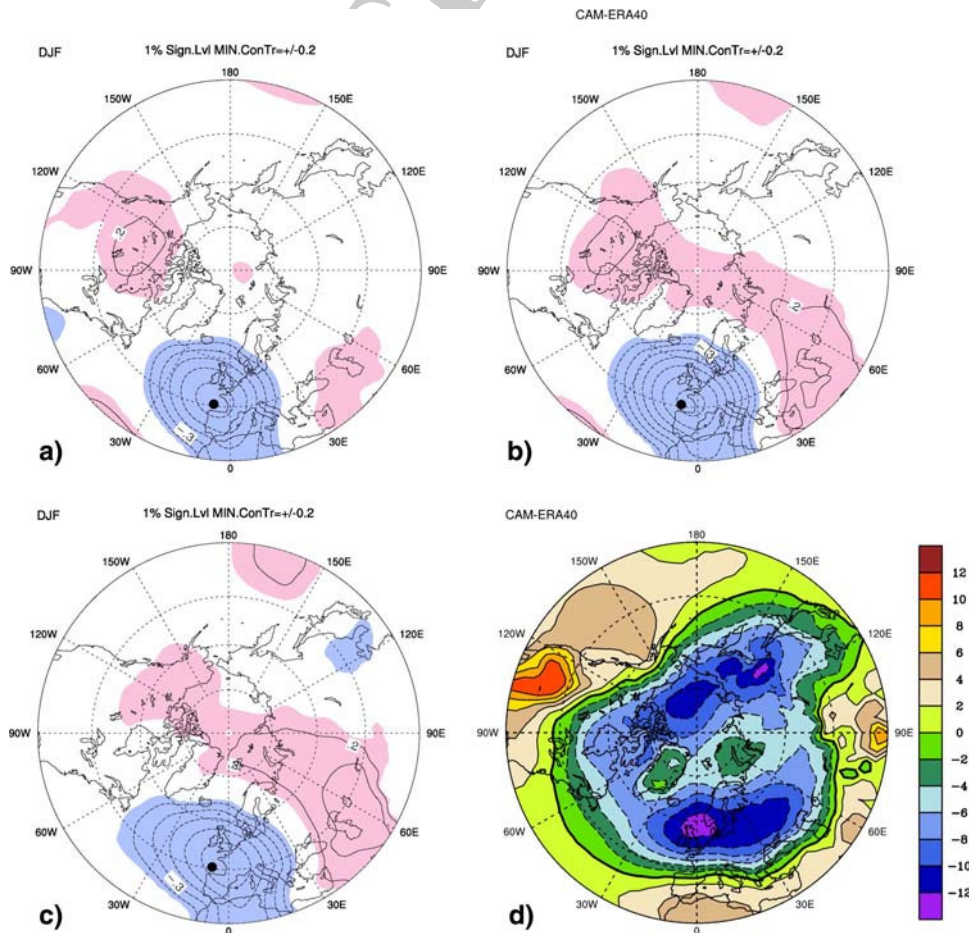
749 secondarily from less net radiation in CAM3. In a linear
 750 model calculation (not shown) we have found some evi-
 751 dence that diabatic forcing bias in the NAST can create a
 752 SLP solution over the European side of the Arctic region
 753 that is similar to the SLP bias. An obvious question is
 754 whether P bias on the downstream end of the NAST has a
 755 connection to the Arctic surface climate bias or vice versa
 756 in CAM3. Here we test the timing and possible connection
 757 between precipitation west of Europe and the high latitude
 758 sea level pressure (SLP). The testing is done by calculating
 759 1-point correlations (e.g., as in Grotjahn and Osman 2007)
 760 using SLP 2-dimensional data that lead or lag a time series
 761 of P at a ‘correlation point’. Figure 10 shows the results of
 762 such a comparison using CAM3 data. CAM3 data are used
 763 for P and SLP since we want to see how the model is
 764 responding to P occurring where the P bias is large.

765 Before discussing the 1-point correlations, it is useful to
 766 review the Arctic surface bias during winter. Figure 10d
 767 shows the SLP bias (based on ERA-40 data) over the
 768 20-year 1979–1998 period. For CAM3, the SLP is gener-
 769 ally lower than ERA-40 over most of the area north of
 770 50°N. Of particular interest is the small area of positive

771 bias (CAM3 having higher SLP than ERA-40) centered in
 772 the Barents Sea around the Novaya Zemlya islands. This
 773 relatively higher SLP over the Barents Sea has been a
 774 persistent feature of the NCAR community climate models
 775 for more than a decade; it is found in different NCAR
 776 models and at different resolutions of those models. Some
 777 NCAR model versions have (averaged over the polar cap
 778 north of 50°N) overall higher SLP or overall lower SLP
 779 than that shown here, but the relative pattern: negative bias
 780 over northern Europe and the Beaufort Sea plus relative
 781 positive bias over the Barents Sea has remained. So, while
 782 the positive area centered over Novaya Zemlya may look
 783 unimpressive in Fig. 10d, it is an important feature to
 784 understand about the Arctic surface climate bias.

785 Figure 10a–c show a progression of lags by the SLP
 786 field relative to the precipitation at 7.5°W, 45°N (the cor-
 787 relation point, marked by a large dot). Low pass filtered
 788 data are used to remove the transient wavetrain associated
 789 with a progression of highs following lows along the CAM
 790 NAST. In other words, the low pass filtering emphasizes
 791 the longer term result of having persistent greater precipi-
 792 tation at the correlation point. The filtering used in

Fig. 10 Correlations between precipitation (P) at the 7.5°W, 45°N correlation point with sea level pressure (SLP) of 30°N. All data are from 20 years of CAM3 simulated DJF. Various lags and leads are shown. Low pass filtering has removed periods shorter than 10 days. **a** SLP occurs 3 days before P ; **b** SLP and P occur at same time (no lag); and **c** SLP occurs 3 days after P . Contour interval 0.1 with the -0.1 , 0, and 0.1 contours suppressed. **d** SLP bias in CAM3 using 2 hPa contour interval. Shading is used to indicate the correlation is significant at the 1% level. Dashed contours used for negative values



793 Fig. 10a–c removes periods shorter than 10 days with a
 794 101-point Lanczos filter. The patterns are not sensitive to
 795 the filtering, removing only periods shorter than 5 days
 796 obtains similar plots. Figure 10a correlates the SLP 3 days
 797 before the P ; Fig. 10b has zero lag; Fig. 10c correlates SLP
 798 3 days after the P . Focusing on the Arctic region, it is
 799 obvious that there is a clear preference over the Barents Sea
 800 and adjacent northwestern Russia for higher SLP to follow
 801 the higher P at the correlation point. If there was no
 802 preference for timing or if the SLP led the P , then such a
 803 result would disprove the notion that the NAST diabatic
 804 heating bias (related to P bias) somehow ‘forces’ (helps
 805 create) the Barents Sea SLP bias. In summary, the
 806 P change leading the SLP change in Fig. 10 is consistent
 807 with diabatic heating by the P bias leading to higher SLP
 808 over the Barents Sea, though it does not prove the forcing
 809 link. Linear model results (not shown) suggest that the bias
 810 is related to the localized forcing, not the remote forcing
 811 (e.g., tropics).

812 7 Summary

813 This paper investigates the simulation error of CAM3 by
 814 diagnostic study of the temperature bias equation. We ran a
 815 20-year simulation with CAM3 and use ECMWF (Euro-
 816 pean Centre for Medium-Range Weather Forecasts)
 817 40 year reanalysis (ERA-40) data for verification and to
 818 obtain the forcing fields associated with the temperature
 819 bias equation. The diabatic heating field, defined as the
 820 residual, is obtained from the temperature equation. To
 821 gain confidence in this residual we compare a vertical
 822 integral of that residual through the entire atmosphere with
 823 boundary sources of diabatic heating: precipitation (P),
 824 surface sensible heat flux (SH), and top of atmosphere net
 825 radiation (R). P times L , SH, and R should add up to the
 826 vertically integrated diabatic heating and to an adequate
 827 degree they do.

828 In the tropics, the diabatic heating dominates. The
 829 primary contributor by far to the diabatic heating bias is
 830 P . The ICZ is generally weaker in CAM3 (almost missing
 831 in the Atlantic) while CAM3 emphasizes ICZ-like dia-
 832 batic heating in the northern hemisphere (NH). In CAM3,
 833 the Indian Ocean ICZ is shifted into the NH, and the NH
 834 heating is emphasized in the western Pacific. In the far
 835 eastern Pacific CAM3 has strong ICZ-like heating where
 836 ERA-40 has cooling. Nonlinear and Transient Groups of
 837 terms largely reinforce the diabatic heating bias in the
 838 upper tropical troposphere. CAM3 also does not repro-
 839 duce as much upper level diabatic heating as seen in
 840 ERA-40.

841 In middle latitudes, the attention centers on the NPST
 842 and NAST storm tracks. The bias at the start of these storm

tracks differs: at low levels it is positive at the start of the
 NAST but negative at the start of the NPST. There is
 notable SH and evaporation bias at the NAST start; both
 surface fluxes are larger in CAM3 than ERA-40. Further
 downstream in the NAST, large positive heating bias
 appears in the diabatic heating that is mainly due to the
 positive bias in P ; positive transient eddy heat flux bias
 (especially in the upper troposphere) occurs here too.

The temperature bias equation is studied by separating it
 into linear advection term, nonlinear advection term,
 transient term, and diabatic heating. The heat fluxes by
 transients are notable mainly at upper levels along the
 storm tracks. The Linear Group of terms is generally
 largest. When partitioned further, the linear advection
 terms (Linear Group) have some cancellation between
 vertical and horizontal heat fluxes along the storm tracks.
 Since the diabatic heating and precipitation in particular
 dominates along the ICZ, the vertical heat fluxes of the
 Linear Group are the main contributor there. We find that
 the nonlinear advection terms are small in the subtropics
 and higher latitudes except close to the Earth’s surface.
 Small size of the Nonlinear Group is a necessary condition
 for using a linear model in a future study of the bias, but it
 is not sufficient since one must make a similar assessment
 of other equations in the linear model.

The strong bias of the diabatic heating in the down-
 stream end of the NAST has a primary contribution from
 excess precipitation in CAM3. This raises the issue of
 whether that P bias could be related to the Arctic surface
 bias of interest. We use lag and lead 1-point correlations of
 P (at a point) and the Northern Hemisphere sea level
 pressure (SLP) in CAM3 data. We find that precipitation
 near the coast of France (where P bias is large and along
 the CAM3 storm track) is correlated with higher SLP over
 western Russia and the Barents Sea. The model has a key
 positive SLP bias over the Barents Sea. Furthermore, cor-
 relation is clearly stronger for P occurring before the SLP
 than after it, suggesting a possible cause and effect.
 Alternatively, there could be a third party common cause
 with a delayed response over the Barents Sea. Either way,
 higher P on the downstream end of CAM3’s NAST leads
 SLP bias over the Barents Sea.

Acknowledgments This research is supported by NSF ATM
 0354545. ECMWF ERA-40 data used in this study/project were
 provided by ECMWF from the ECMWF data server. The authors
 gratefully acknowledge members of NCAR’s Climate Modeling
 Section, Computer Software and Engineering Group, and Scientific
 Computing Division for their contributions to the development of
 CAM3. We thank Dr. Grant Branstator for suggesting that we study a
 temperature bias equation and for providing some useful comments
 on our results. We thank Dr. Kevin Trenberth for his suggestion to
 examine vertically integrated net heating and other helpful comments.
 We also want to thank two anonymous reviewers for their comments
 and suggestions.

897 **Open Access** This article is distributed under the terms of the
 898 Creative Commons Attribution Noncommercial License which per-
 899 mits any noncommercial use, distribution, and reproduction in any
 900 medium, provided the original author(s) and source are credited.

902 References

903 Alexander M, Yin J, Branstator G, Capotondi A, Cassou C, Cullather
 904 R, Kwon Y-O, Norris J, Scott J, Wainer I (2006) Extratropical
 905 atmosphere-ocean variability in CCSM3. *J Clim* 19:2496–2525.
 906 doi:10.1175/JCLI3743.1

907 Boville BA, Rasch PJ, Hack JJ, McCaa JR (2006) Representation of
 908 clouds and precipitation processes in the community atmosphere
 909 model (CAM3). *J Clim* 19:2184–2198. doi:10.1175/JCLI3749.1

910 Branstator G (1990) Low-frequency patterns induced by stationary
 911 waves. *J Atmos Sci* 47:629–648. doi:10.1175/1520-0469
 912 (1990)047<0629:LFPIBS>2.0.CO;2

913 Briegleb BP, Bromwich DH (1998a) Polar radiation budgets of the
 914 NCAR CCM3. *J Clim* 11:1246–1269. doi:10.1175/1520-0442
 915 (1998)011<1246:PRBOTN>2.0.CO;2

916 Briegleb BP, Bromwich DH (1998b) Polar climate simulation of the
 917 NCAR CCM3. *J Clim* 11:1270–1286. doi:10.1175/1520-0442
 918 (1998)011<1270:PCSOTN>2.0.CO;2

919 Collins WD, Rasch PJ, Boville BA, Hack JJ, McCaa JR, Williamson
 920 DL, Kiehl JT, Briegleb B, Bitz C, Lin SJ, Zhang M, Dai Y
 921 (2004) Description of the NCAR community atmosphere model
 922 (CAM3). Tech. Rep. NCAR/TN-464 STR. National Center for
 923 Atmospheric Research, Boulder, p 226

924 Collins WD, Bitz CM, Blackmon ML, Bonan GB, Bretherton CS,
 925 Carton JA, Chang P, Doney SC, Hack JJ, Henderson TB, Kiehl
 926 JT, Large WG, Mckenna DS, Santer BD, Richard D, Smith RD
 927 (2006a) The community climate system model version 3
 928 (CCSM3). *J Clim* 19:2122–2143. doi:10.1175/JCLI3761.1

929 Collins WD, Rasch PJ, Boville BA, Hack JJ, McCaa JR, Williamson
 930 DL, Briegleb BP, Bitz CM, Lin SJ, Zhang M (2006b) The
 931 formulation and atmospheric simulation of the community
 932 atmosphere model version 3 (CAM3). *J Clim* 19:2144–2161.
 933 doi:10.1175/JCLI3760.1

934 DeWeaver E, Bitz CM (2004) Maintenance of Arctic and Sub-Arctic
 935 atmospheric circulation in observations and CCSM simulations.
 936 In: Ninth Annual CCSM Workshop

937 DeWeaver E, Bitz CM (2006) Atmospheric circulation and its effect
 938 on Arctic sea ice in CCSM3 simulations at medium and high
 939 resolution. *J Clim* 19:2415–2432. doi:10.1175/JCLI3753.1

940 Dickinson R, Oleson K, Bonan G, Hoffman F, Thornton P, Ver-
 941 stein M, Yang Z-L, Zeng X (2006) The community land model and its
 942 climate statistics as a component of the community climate
 943 system model. *J Clim* 19:2302–2324. doi:10.1175/JCLI3742.1

944 Grotjahn R (2008) Different data, different general circulations? A
 945 comparison of selected fields in NCEP/DOE AMIP-II and
 946 ECMWF ERA-40 reanalyses. *Dyn Atmos Oceans* 44:108–142.
 947 doi:10.1016/j.dynatmoce.2007.08.001

948 Grotjahn R, Osman M (2007) Remote weather associated with North
 949 Pacific subtropical sea-level high properties. *Int J Climatol*
 950 27:587–602. doi:10.1002/joc.1423

951 Hack JJ, Kiehl JT, Hurrell JW (1998) The hydrologic and thermody-
 952 namic characteristics of the NCAR CCM3. *J Clim* 11:1179–1206.
 953 doi:10.1175/1520-0442(1998)011<1179:THATCO>2.0.CO;2

954 Hack JJ, Caron JM, Danabasoglu G, Oleson K, Bitz CM (2006a)
 955 CCSM3–CAM3 climate simulation sensitivity to increased
 956 horizontal resolution. *J Clim* 19:2267–2289. doi:10.1175/
 957 JCLI3764.1

958 Hack JJ, Caron JM, Yeager SG, Oleson KW, Holland MM, Truesdale
 959 JE, Rasch PJ (2006b) Simulation of the global hydrological cycle

in the CCSM community atmosphere model version 3 (CAM3):
 mean features. *J Clim* 19:2199–2221. doi:10.1175/JCLI3755.1

Holton JR (1992) An introduction to dynamic meteorology. Aca-
 demic Press, New York, p 511

Hoskins BJ, Hsu HH, James IN, Masutani M, Sardeshmukh PD,
 White GH (1989) Diagnostics of the global atmospheric
 circulation based on ECMWF analyses 1979–1989. *World*
Meteorol Organ 326:18–19

Hurrell JW, Hack JJ, Phillips A, Caron J, Yin J (2006) The dynamical
 simulation of the community atmospheric model version 3
 (CAM3). *J Clim* 19:2162–2183. doi:10.1175/JCLI3762.1

Kanamitsu M, Ebisuzaki W, Woollen J, Yang SK, Hnilo J, Fiorino M,
 Potter G (2002) NCEP–DOE AMIP-II reanalysis (R-2). *Bull Am*
Meteorol Soc 83:1631–1643. doi:10.1175/BAMS-83-11-1631
 (2002)083<1631:NAR>2.3.CO;2

Kiehl JT, Hack JJ, Bonan GB, Boville BA, Williamson DL, Rasch PJ
 (1998a) The national center for atmospheric research community
 climate model: CCM3. *J Clim* 11:1131–1149. doi:10.1175/
 1520-0442(1998)011<1131:TNCFAR>2.0.CO;2

Kiehl JT, Hack JJ, Hurrell JW (1998b) The energy budget of the near
 community climate model: CCM3. *J Clim* 11:1151–1178.
 doi:10.1175/1520-0442(1998)011<1151:TEBOTN>2.0.CO;2

Lin SJ (2004) A “vertically Lagrangian” finite-volume dynamical
 core for global model. *Mon Weather Rev* 132:2293–2307.
 doi:10.1175/1520-0493(2004)132<2293:AVLFDG>2.0.CO;2

Pan LL, Li T (2008) Interactions between the tropical ISO and
 midlatitude low-frequency flow. *Clim Dyn* 31:375–388.
 doi:10.1007/s00382-007-0272-7

Pan LL, Jin FF, Watanabe M (2006) Dynamics of synoptic eddy and
 low-frequency flow (SELF) interaction. Part III: baroclinic model
 results. *J Atmos Sci* 63:1709–1725. doi:10.1175/JAS3717.1

Rasch PJ, Stevens MJ, Ricciardulli L, Dai A, Negri A, Wood R,
 Boville BA, Eaton B, Hack JJ (2006) Characterization of tropical
 transient activity in the CAM3 atmospheric hydrologic cycle.
J Clim 19:2222–2242. doi:10.1175/JCLI3752.1

Trenberth KE, Smith L (2008) The three dimensional structure of the
 atmospheric energy budget methodology and evaluation. *Clim*
Dyn 32:1065–1079. doi:10.1007/s00382-008-0389-3

Uppala SM, Kållberg PW, Simmons AJ, Andrae U, da Costa Bechtold
 V, Fiorino M, Gibson JK, Haseler J, Hernandez A, Kelly GA, Li
 X, Onogi K, Saarinen S, Sokka N, Allan RP, Andersson E, Arpe
 K, Balmaseda MA, Beljaars ACM, van de Berg L, Bidlot J,
 Bormann N, Caires S, Chevallier F, Dethof A, Dragosavac M,
 Fisher M, Fuentes M, Hagemann S, Hólm E, Hoskins BJ, Isaksen
 L, Janssen PAEM, Jenne R, McNally AP, Mahfouf JF, Morcrette
 JJ, Rayner NA, Saunders RW, Simon P, Sterl A, Trenberth KE,
 Untch A, Vasiljevic D, Viterbo P, Woollen J (2005) The ERA-40
 re-analysis. *Q J R Meteorol Soc* 131:2961–3012. doi:10.1256/
 qj.04.176

Vavrus S, Waliser D (2008) An improved parameterization for
 simulating Arctic cloud amount in the CCSM3 climate model.
J Clim 21:5673–5687. doi:10.1175/2008JCLI2299.1

Vincent D (1994) The South Pacific convergence zone (SPCZ): a
 review. *Mon Weather Rev* 122:1949–1970. doi:10.1175/1520-
 0493(1994)122<1949:TSPCZA>2.0.CO;2

Williamson DL, Olson JG (1994) Climate simulations with a semi-
 Lagrangian version of the NCAR community climate model.
Mon Weather Rev 122:1594–1610. doi:10.1175/1520-0493
 (1994)122<1594:CSWASL>2.0.CO;2

Willmott CJ, and Matsuura K (2000) Terrestrial air temperature and
 precipitation: monthly and annual climatologies. [http://climate.
 geog.udel.edu/~climate](http://climate.geog.udel.edu/~climate) (online)

Xie P, Arkin PA (1996) Analyses of global monthly precipitation
 using gauge observations, satellite estimates, and numerical
 model predictions. *J Clim* 9:840–858. doi:10.1175/1520-0442
 (1996)009<0840:AOGMPU>2.0.CO;2