

1 **California Central Valley Summer Heat Waves Form Two Ways**

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19 flux

Abstract

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California Central Valley (CCV) heat waves are grouped into two types based on the temporal and spatial evolution of the large scale meteorological patterns (LSMPs) prior to onset. K-means clustering of key features in the anomalous temperature and zonal wind identifies the two groups. Composite analyses show different evolution prior to developing a similar ridge-trough-ridge pattern spanning the North Pacific at the onset of CCV hot spells. Backwards trajectories show adiabatic heating of air enhanced by anomalous sinking plus horizontal advection as the main mechanisms to create hot lower tropospheric air just off the northern California coast, though the paths differ between clusters.

The first cluster develops the ridge at the west coast on the day before onset, consistent with wave activity flux traveling across the North Pacific. Air parcels that arrive at the maximum temperature anomaly (just off the north California coast) tend to travel a long distance across the Pacific from the west. The second cluster has the ridge in place for several days prior to extreme CCV heat, but this ridge is located further north, with heat anomaly over Northwest (NW) America. This ridge expands south as air parcels at mid-troposphere levels descend from the northwest while lower level parcels over land tend to bring hot air from directions ranging between the hot area northeast to the desert areas southeast. These two types reveal unexpected dynamical complexity, hint at different remote associations, and expand the assessment needed of climate models simulations of these heat waves.

41 1. Introduction

42 Temperature extremes have large impacts on the economy and human safety. A  
43 statistically significant increasing trend of about 5% per year in the frequency of billion-dollar  
44 disasters is reported in annual aggregates of weather/climate disasters (Smith and Katz 2013).  
45 Among them, the adjusted damages related with heat waves/drought total ~210 \$B for the 1980-  
46 2011 period. Heat waves also cause a large annual number of fatalities (123) on average for the  
47 period of 2004-2013 in the US (<http://www.nws.noaa.gov/om/hazstats.shtml>). There are  
48 considerable impacts of heat on morbidity as well. For instance, in Kansas City hospital  
49 admissions were increased by 5% during the 1980 heat wave event (Jones et al. 1982).

50 The California Central Valley (CCV) produces half of the nation's tree fruit and nut crops  
51 by both weight and gate receipts. Fruit quality and production can be degraded by hot spells,  
52 which causes economic losses to farmers. In addition, the southern CCV has extensive dairy  
53 production and extreme heat reduces milk production and cow fertility while raising cow  
54 morbidity and mortality. For example, the CCV dairy industry had ~1\$B of economic losses  
55 from the 2006 heat wave (Bilby et al. 2008). Since the CCV has eight of the nation's top ten  
56 most agriculturally productive counties, understanding extreme hot weather over the CCV has  
57 great economic and social importance.

58 Temperature extremes have been linked to some large-scale teleconnection patterns since  
59 such large scale wave patterns can redistribute air masses having different temperatures.  
60 Particularly during winter, temperature extremes are modulated by the Pacific-North American  
61 (PNA) pattern, North Atlantic (or Arctic) Oscillation (NAO or AO), and blocking patterns  
62 (Walsh et al. 2001, Wettstein and Mearns 2002, Cellitti et al. 2006, Guirguis et al. 2011,  
63 Sillmann et al. 2011). There are substantial modulations of temperature extremes by ocean-

64 oriented climate modes such as the Madden-Julian Oscillation (MJO) (Jeong et al. 2005) and El  
65 Nino / Southern Oscillation (ENSO) for the longer time scale (Higgins et al. 2002, Meehl et al.  
66 2007, Alexander et al. 2009, Lim and Schubert 2011). Recent studies clearly demonstrate the  
67 geographical dependency of the modulation of temperature extremes by larger-scale  
68 teleconnection patterns such as NAO, PNA, ENSO, and the Pacific Decadal Oscillation (PDO)  
69 (Loikith and Broccoli 2013, Westby et al. 2013). However, those teleconnection patterns are  
70 distinct from the large-scale meteorological patterns (LSMPs) associated with temperature  
71 extremes (e.g. hot spells) both in spatial pattern and time scale. As shown in Grotjahn (2011),  
72 when the LSMP is present with positive sign and sufficient strength (normalized ‘circulation  
73 index’  $>1.6$ ) then CCV extreme surface temperatures usually occur on that day and hence  
74 sufficient amplitude of the LSMP is as rare as the temperature extremes. The LSMPs associated  
75 with specific temperature extremes are described in far fewer studies (Grotjahn and Faure 2008,  
76 Gershunov et al. 2009, Loikith and Broccoli 2012, Bumbaco et al. 2013) than studies of  
77 teleconnection patterns. A review of statistical methods, synoptic-dynamics, modeling, and  
78 trends relating to temperature extremes in the LSMP context is presented by Grotjahn et al.  
79 (2015). The LSMPs for extreme heat events are not fully understood for different parts of North  
80 America including the CCV, providing a motivation for this study.

81 Regional scale heat events may be influenced by land conditions at the surface or below.  
82 Land use and land cover change (e.g. from irrigated farm to urban area) can amplify the area  
83 experiencing extreme heat (Grossman-Clarke et al. 2010, Wang et al. 2013). Soil moisture deficit  
84 strongly contributes to hot extremes in some regions, such as the central United States, Australia  
85 and much of Europe (Fischer et al. 2007, Hirschi et al. 2011, Yin et al. 2014). However, soil  
86 moisture deficit is not a major factor for the CCV because most farmlands in the CCV are

87 heavily irrigated. The CCV is geographically complex (Fig. 1), where local thermally-driven  
88 circulations caused by terrain slope (mountain-valley winds) are mixed with land-sea breezes.  
89 Because hot spells are associated with easterly flows (Grotjahn, 2011) air moving in that  
90 direction sinks down into the CCV warms adiabatically, and opposes a cooling sea breeze while  
91 also lowering the subsidence inversion, and thereby reducing the volume of air heated by surface  
92 heat fluxes generated by sunshine. These conditions all favor the formation of extreme hot  
93 spells.

94         Prior studies found that summertime hot spells in the CCV area are closely linked to  
95 LSMPs that are an equivalent barotropic, nearly-stationary wave train (ridge-trough-ridge) across  
96 the N. Pacific and western N. America (Grotjahn and Faure 2008, Grotjahn 2011, 2013).  
97 Grotjahn and Faure (2008) describe the formation of the hot spells LSMP with apparent  
98 westward wave motion (on the southern part) and eastward development from a west Pacific  
99 ridge to a mid-Pacific trough then a North American west coast ridge (on the northern part) using  
100 composite maps prior to onset of 18 extreme events over 22 summer seasons. Grotjahn (2011)  
101 defined a metric to identify how similar a given day's weather pattern matches the hot spells  
102 composite LSMP from 1979-2010. This study extends the period of study of CCV hot spells  
103 LSMPs and examines them more closely.

104         A primary question considered in the current study is: what is the source of the hot air  
105 present in the heat wave? This question led the authors to calculate backwards in time  
106 trajectories. It was immediately apparent that the trajectories of CCV hot spells are roughly  
107 divided into two groups. The next question is: do those two paths represent two distinct ways to  
108 generate CCV hot spell conditions? Using objective tools, this paper classifies CCV hot spells  
109 into two types based on the temporal and spatial evolution of LSMPs, provides direct statistical

110 and structural comparisons between the two types, and uncovers some key dynamical differences  
111 that lead to the distinct types.

112 The paper organization is as follows. Section 2 outlines the dataset and methods used.  
113 Section 3 presents the classification of two different types of CCV heat waves and corresponding  
114 LSMPs. Section 4 provides the dynamical differences that drive two distinct hot spells. Lastly,  
115 section 5 summarizes the results.

116

## 117 2. Data and Methods

### 118 2.1 Synoptic and Reanalysis dataset

119 This study uses daily maximum near surface temperature from 15 NCDC stations (in Fig.  
120 1). Among 23 stations, five stations are excluded due to their location in the ‘Delta’ a region  
121 where weak sea breezes can provide local, short interruptions of heat waves that are not  
122 experienced elsewhere in the CCV. Three more stations are excluded for being close to other  
123 NCDC stations thereby creating a relatively even distribution of stations over the CCV (these 8  
124 stations are omitted in Fig. 1).

125 This study analyzes upper-air LSMPs derived from the National Centers for  
126 Environmental Prediction – National Center for Atmospheric Research reanalysis 1 dataset  
127 (NNRA1) (Kalnay et al. 1996). Time and spatial resolution of NNRA1 is 6 hourly and 2.5  
128 degrees longitude by 2.5 degrees latitude. We consider boreal summer season extending from  
129 June through September (JJAS, 122 days) and the time period from 1977 to 2010 (34 years). The  
130 choice of data and time period was a compromise between having more events (larger sample)  
131 while also maintaining relatively high accuracy of the reanalysis data due to the assimilation of  
132 satellite observations.

133           2.2 Event isolation

134           Space and time criteria are used to identify CCV hot spell events from the NCDC station  
135 data. By considering duration time and spatial coverage together, this method isolates those  
136 events in which a majority of CCV stations experience hot weather commonly for sufficient time.  
137 The method is as follows: 1) calculate 15 stations' daily maximum temperature anomalies  
138 (relative to each station's long term daily mean), 2) normalize these anomalies by long term daily  
139 mean standard deviation for each station, 3) select the 5% hottest dates for each station, 5) retain  
140 those dates common to at least six stations, 6) isolate events when there are both at least three  
141 consecutive retained dates in a row within JJAS and the interval between two events is six days  
142 or longer. The six-day interval was chosen based upon the autocorrelation function being  $<0.05$   
143 for all CCV stations collectively and nearly all individually for lead or lag times greater than six  
144 days (see Fig. S2 in the supplementary material). This process identified 28 heat wave events for  
145 the CCV (Table 1). Dividing the 1977-2010 data into four periods: three 9-year periods followed  
146 by a 7-year period, finds an approximately even distribution of hot spell occurrences, 7, 8, 6, and  
147 7 respectively. This space and time method detects heat waves based on extreme temperatures  
148 across the CCV but it results in a small sample size of about one event per year. The intention  
149 behind choosing such rare events is that the dynamics responsible for these extremes will have a  
150 stronger signal amongst the 'noise' of natural variability.

151           Consistent with Grotjahn (2011) we assign the onset for every event to be 12 UTC. Although  
152 0 UTC (the next day) is closer to the local time (23 UTC) of highest surface temperature, upper  
153 air charts at the earlier time (12 UTC) have more predictability (Grotjahn, 2011).

154           2.3 Identification of distinct LSMPs prior to heat wave onset

155           2.3.1 Backward trajectories

156 Prior work (Grotjahn and Faure, 2008, Grotjahn, 2011, 2013) found the maximum upper air  
157 temperature anomaly (at 850 hPa) to be centered just off the west coast of North America, near  
158 the California/Oregon border. Backwards trajectories from this area of highest temperature (plus  
159 analysis of individual terms in the temperature equation, not shown) were calculated to answer  
160 the question of how do the high temperatures develop there. The backward trajectory calculation  
161 uses six-hourly reanalysis data. The procedure starts with identifying 3-dimensional wind  
162  $(u_1, v_1, \omega_1)$  and elevation estimation  $(z_1)$  hypothesizing hydrostatic balance in a homogeneous  
163 atmosphere at six grid points (the combinations of two longitudes (122.5W and 125W) and three  
164 latitudes (35N, 37.5N and 40N) at 850 hPa at the onset time. The three-dimensional wind field is  
165 used to estimate the distance travelled over the prior six hours. The scheme includes the  
166 convergence of meridians when calculating zonal distance travelled. The scheme finds a first  
167 guess  $(\theta_2, \varphi_2, p_2)$  of each parcel location six hours before by subtracting the longitudinal,  
168 latitudinal and pressure distances from the original location  $(\theta_1, \varphi_1, p_1)$ . Next, the three-  
169 dimensional wind  $(u_2, v_2, \omega_2)$  is estimated at the first guess location by applying bilinear  
170 interpolation. The final location  $(\theta_0, \varphi_0, p_0)$  of each air parcel six hours before the original time  
171 is estimated by calculating again the longitudinal, latitudinal and pressure distances from the  
172 averaged 3-dimensional wind  $(\frac{u_1+u_2}{2}, \frac{v_1+v_2}{2}, \frac{\omega_1+\omega_2}{2})$ . Those procedures are repeated for prior  
173 times in steps of six hours totaling several days. The locations are plotted as projections onto  
174 two-dimensional planes in a trajectories diagram. The trajectories diagram (shown later) plot  
175 one average patch calculated from these six paths for each event. The individual and the average  
176 trajectories appeared to identify two different types of paths that lead up to a similar LSMP at the  
177 event onset. Grouping the cases based on these two types of paths, and after close inspection of  
178 the fields of individual events, we chose portions of three anomalous fields as ‘target fields’ for



179 the hot spell classification: 700 hPa zonal wind at two days lead, 600 hPa temperature at two  
180 days lead, 700 hPa temperature at one day lead over 150W-100W, 20N-60N domain.

### 181 2.3.2 Clustering techniques

182 Clustering analysis is able to group similar patterns prior to onset among 28 events,  
183 therefore providing a quantitative tool to isolate distinct origins of the heat waves. In this study,  
184 the k-means clustering technique is applied to the ‘target fields’ defined above. Simply, this is an  
185 iterative algorithm moving events from one group to another until there is no additional  
186 improvement in minimizing the overall distance between patterns among events in resultant  
187 groups. The ‘distance’, for instance, can be defined as the squared Euclidean point-to-centroid  
188 distance in a group, where each centroid is the mean of the patterns in its cluster (Spath 1985,  
189 Seber 2009). This method has been widely used in the atmospheric research not only associated  
190 with the relationship between LSMPs and extreme weather (Park et al. 2011, Stefanon et al. 2012)  
191 but also for assessing the climate model performance (Lee and Black 2013, Westby et al. 2013).  
192 It should be noted that cluster results can be strongly dependent on the selection of the target  
193 fields to be used by the cluster analysis. (However, in a companion study submitted elsewhere,  
194 using other levels retrieved the same cluster memberships.) In every iteration step, the clustering  
195 procedure creates clusters objectively, but the process is not entirely objective as the target fields  
196 are chosen a priori and those choices make the calculation partly self-referential.

197 Two concerns arise when applying k-means clustering to atmospheric extremes because:  
198 a) there is uncertainty in choosing an optimal number,  $k$ , of clusters and b) assigning an event to  
199 one cluster rather than another is less clear when the sample size is small. To address these  
200 concerns we used the distinctly different backwards trajectories to make an initial partitioning of  
201 cases. Next we examined the composites and very different evolutions of the LSMPs were

202 clearly apparent. Then we decided to apply spatio-temporal cluster detection to a small number  
 203 of variable and level combinations at times shortly before heat wave onset. We applied an  
 204 analogy of ‘distance of dissimilarity’ metric (as in Stefanon et al. 2012) to judge the optimal  
 205 number of k. The number k with an abrupt drop of inter-cluster distance for the next higher value  
 206 (k+1) is considered the optimal number of clusters. Inter-cluster distance of our target fields has  
 207 a notably abrupt drop from k=2 to higher k (not shown). A larger number for k may represent  
 208 less ambiguity in the classification. However, clustering analysis aims mainly to gain a physical  
 209 insight for heat wave formation which is possible with a minimal number of distinct groups and  
 210 not a separate group for every single event. The distance of dissimilarity metric as well as our  
 211 qualitative analyses of trajectories led us to choose k=2 clusters in this study. In addition, spatial  
 212 projection analysis is applied to assess how well individual events sort into the two clusters.  
 213 Projection coefficients ( $p_{k,j}$ ) of the  $j^{\text{th}}$  event against the  $k^{\text{th}}$  cluster composite means are  
 214 calculated for the same domain of the ‘target fields’ above.

$$p_{k,j} = \frac{\sum_{i=1}^N (x_i^j y_i^k)}{\sum_{i=1}^N (y_i^k)^2}, \text{ for } k = 1,2 \text{ and } j = n$$

215 where  $k$  is a cluster,  $j$  is an event,  $i$  is a grid point,  $n$  is the total number of event,  $N$  is the total  
 216 number of grid points and  $x$  is the field of a variable of individual events ( $j$ ) to be projected and  $y$   
 217 is the composite mean field of  $x$  for two clusters. The projections are plotted as a scatter plot  
 218 such as Fig. 2. In the scatter plot, one sees that individual events do seem to fall into groups  
 219 where the projection on one cluster mean is much higher than the projection onto the other  
 220 cluster mean. However, some events have LSMP structure that does not strongly favor one  
 221 cluster mean over the other. These ‘mixed’ events were identified as follows. Initially, the maps  
 222 for all events were processed with a clustering algorithm detailed above using k=2. Then cluster

223 averages were formed from the members of these two clusters. Using those cluster means, each  
224 event was projected onto both cluster means. The membership of each cluster was revised by  
225 requiring the new projection of an event onto that cluster be more than twice the projection of  
226 that event onto the other cluster mean. Events that did not satisfy the ‘twice projection’ criterion  
227 were identified as ‘mixed’ events that tend to be a mixture of both types of clusters. Such ‘mixed’  
228 events were then excluded from the final cluster definitions, thereby isolating more strongly the  
229 two types of clusters. Of the 28 events during this time period, 5 ‘mixed’ events were so  
230 excluded. After excluding the mixed events, new cluster composites were calculated from the  
231 two revised clusters of events and projection coefficients were calculated again with respect to  
232 these new cluster composites and plotted.

### 233 2.3.3 Wave activity flux

234 This study analyzes the wave activity flux (WAF) as defined by Takaya and Nakamura  
235 (2001) to track the propagation of wave energy. Unlike the E-P flux (Edmon et al. 1980) and the  
236 wave activity flux developed by Plumb (1986), this method allows one to make a “snapshot”  
237 analysis as it does not include any time averaging. Therefore, the time evolution is tracked of the  
238 wave activity associated with development of each heat wave. Under a conservation law, the  
239 wave activity is related with the wave enstrophy and wave kinetic and internal energy and part of  
240 those two factors is closely connected to the temperature. Since this method assumes a linear  
241 geostrophic stream function ( $\psi = \Phi/f$ ), the wave activity is also related to the geopotential ( $\Phi$ )  
242 perturbation. Takaya and Nakamura show that this WAF is locally parallel to the group velocity  
243 of quasi-geostrophic Rossby wave packets. The WAF vectors show movement of co-located  
244 geopotential ridges and troughs. One might approximately interpret daily weather charts as  
245 follows: convergence of WAF at a ridge in geopotential height is expected to amplify the ridge to

246 the extent that the ridge is a deviation from a horizontal mean field. (Local change of wave  
247 activity is proportional to convergence of WAF if one neglects diabatic effects.) The same  
248 geopotential ridge would decay if WAF was diverging there. Depending upon where the WAF  
249 convergence and divergence occur relative to the geopotential pattern, the WAF convergence  
250 and divergence zones can be interpreted as driving propagation as well as amplitude changes of  
251 the troughs and ridges. The Takaya and Nakamura WAF formulation has been applied to  
252 understand the dynamics of many phenomena. For example, the converging of wave activity  
253 flux into the amplifying blocking ridge and attendant wave activity flux divergence upstream of  
254 the blocking ridge is known to influence the blocking formation over Siberia (Nakamura et al.  
255 1997, Takaya and Nakamura 2001). Here, the WAF is used to interpret the temperature increase  
256 and corresponding ridge formation along the North American west coast that is associated with  
257 hot spells.

#### 258 2.3.4 lead-lag composite

259 Another tool used to understand the time evolution is to form composites of total and daily  
260 anomaly fields of atmospheric variables and WAF for individual clusters at fixed times prior to  
261 the event onset time. These clearly show differences between the clusters in temporal and spatial  
262 development of corresponding LSMPs and related dynamics. Although the sample size is small  
263 for each cluster, the patterns and their evolution are consistent among the events within a cluster.  
264 The consistency is measured by counting the number of events with same sign of the anomaly at  
265 each grid point, a procedure called ‘sign-counts’ (Grotjahn 2011). Sign counts are calculated as  
266 follows: in a cluster, the number of events with negative sign at a grid point is subtracted from  
267 the number of events having positive sign at that grid point, that difference is then divided by the  
268 total number of events in that cluster to facilitate comparison among clusters having differing

269 numbers of events. Hence, a sign count of 1.0 means all events in that cluster have positive  
270 anomaly at that grid point. A sign count of  $-1/3$  means two thirds of the events have negative  
271 anomaly at that grid point.

272

### 273 3. Two Different Types of CCV Heat Waves

#### 274 3.1 Classification of CCV heat wave events

275 The heat waves selection criteria identify 28 events in the period 1977-2010. These events  
276 can be grouped into two types by K-means clustering techniques discussed in section 2. The first  
277 cluster has 13 members while the second cluster has 10 events. Both clusters are spread  
278 relatively evenly over the 34 summer seasons studied although the first cluster (identified with  
279 asterisks in Table 1) is more common in the decade of 2001-2010. Regarding the duration days  
280 of events, the second cluster shows shorter persistence (3.8 days) on average than does the first  
281 cluster (4.2 days) although this duration difference is not significant at 95% confidence level. To  
282 ensure the fidelity of the two groups, apart from the ‘dissimilarity index’, spatial projection  
283 coefficients of individual LSMPs are calculated for each of the two revised cluster composites  
284 and their distribution plotted as a scatter diagram in Fig. 2. Since the spatial projection  
285 coefficient indicates similarity of the shape and magnitude, the fidelity of dividing events into  
286 groups is apparent by (a) individual events have at least more than twice as large coefficient in  
287 one cluster composite than the other, (b) events tend to collect in groups, and (c) the groups are  
288 distinctly separate on the scatter plot. The two types of heat waves grouping satisfy these three  
289 conditions very well. However, of the 28 events, five events are mixtures of the two types are  
290 excluded from the analyses after this point.

291 3.2 Temporal and spatial evolution of anomalous LSMPs: temperature, horizontal wind, and  
292 omega

293 This study focuses on LSMPs during the two different ways CCV heat waves develop,  
294 therefore the focus is upon anomalous fields of air temperature, horizontal wind and omega  
295 (equivalently the ‘pressure velocity’, meaning vertical motion in isobaric coordinates) at three  
296 pressure levels (850, 700, and 600 hPa) for several days prior to the event onset. These time and  
297 space domains are consistent with those used by the clustering analysis. At onset time, both  
298 clusters (contours in right hand column in both Fig. 3 and Fig. 4) commonly have a peak of  
299 temperature anomalies (TA) centered near but off the Northern California coast and extending  
300 outward, including over the CCV area (this region is hereafter called the “TA area”). The domain  
301 enclosed with long-dash in Fig. 1 s TA area. Grotjahn (2011) emphasizes the consequences of a  
302 warm temperature anomaly in the TA area as it creates a thermal low at the coast and the low  
303 level pressure gradient opposes a sea breeze from cooling the CCV. While there is similarity in  
304 the TA area at onset, elsewhere the differences between clusters in the spatial coverage and  
305 magnitude of the temperature anomalies are remarkable. In the first cluster strong warm  
306 anomalies cover mostly California with a lobe into the eastern Pacific (Fig. 3). In the second  
307 cluster the strong warm anomaly has a lobe over northwestern America including a second peak  
308 over Washington State (Fig. 4). In magnitude, this ensemble mean temperature anomaly at  
309 850hPa is hotter in the second cluster than in the first cluster. At higher levels the temperature  
310 anomaly is a bit weaker over the TA area in the second cluster though the peak values are higher  
311 in cluster two and an anomaly is centered some distance to the northeast of the area.

312 Leading up to the event onset, one main difference between the clusters is cluster two has  
313 a hot spell over NW America before the CCV hot spell onset. The second cluster has very strong

314 equivalent barotropic warm anomaly through the depth of the troposphere for several days prior  
315 to the onset. The first cluster does not have this pre-existing hot anomaly, but develops it first  
316 over the TA area. In the second cluster, a part of that Northwestern US hot spell's southern tail  
317 expands over the CCV area; as the NW hot spell weakens the TA area temperature anomaly  
318 amplifies especially in the lower troposphere.

319 Anomaly omega composites show a significant zonal dipole of rising-sinking motion in  
320 both clusters (shadings in Fig. 3 and Fig. 4). The second cluster has stronger dipole that is  
321 centered at a higher latitude at two days lead than the dipole in the first cluster which peaks at  
322 one day lead. Sinking motion located over the land mass is very important to the formation of the  
323 temperature maximum in the TA area due to adiabatic compressional heating. The first cluster  
324 shows local subsidence of air at the north boundary of the TA area that increases over time until  
325 just before onset. The second cluster has very strong sinking motion covering much of inland  
326 western North America during 2.5 to 1.5 days lead; at onset the sinking wanes to the north and  
327 waxes over the north and east half of the TA area. As detailed in Grotjahn (2011), this local  
328 sinking motion is crucial for the intensification of the CCV hot spells due to adiabatic  
329 compression and by lowering the climatological summertime subsidence inversion.

330 Formation of the heat wave in both clusters is linked to horizontal advection of the  
331 anomalously hot air. The anomalous horizontal flow upstream of the TA area is generally  
332 coming from a region of anomalous sinking motion (Figs. 3 and 4). However, the direction of  
333 that motion is distinctly different between the two clusters (vectors in Figs. 3-6). Total fields  
334 (Figs 5-6) clearly show the diurnal cycle in both clusters. The time 0 UTC (2.5, 1.5, and 0.5 lead  
335 days) is close to the local time of highest surface temperature. Along with the diurnal cycle, one

336 might expect a sea/land breeze. At the onset and 850hPa level, the total fields show offshore or  
337 along shore flow in the total fields (Figs 5-6) with anomalous easterlies in the TA area (Figs. 3-4)

338 For the first cluster, at later stages (1.5 days lead to onset), winds approach the TA area from  
339 a southwesterly direction. The wind direction then turns northwesterly or northerly while passing  
340 through an area of strong subsidence on the northwest side of the TA area (Fig 5) incorporating  
341 the enhanced subsidence to the north (Fig. 3). In Fig. 3 the sinking anomaly is strongest in the  
342 afternoon (-1.5 and -0.5 days) counteracting diurnal rising that otherwise might occur. Prior to  
343 that time (2.5 and 2.0 days lead), there is anomalously strong southwesterly flow offshore (Fig 3)  
344 that amplifies a strongly westerly total wind (Fig. 5). Backwards trajectories (Fig. 7) will link  
345 these motions leading to paths that are crossing the eastern north Pacific before turning  
346 southward and sinking near the TA area.

347 In the second cluster the anomalous flow several days prior to onset (2.5-1.5 days lead) is  
348 strongly southerly becoming southeasterly at the west coast (Fig. 4) such that the total flow near  
349 the coast (Fig. 6) is much weaker (and many places has opposite direction) at the TA area than in  
350 the other cluster. As with the other cluster, the motion that reaches the TA area passes through  
351 sinking off the west coast (Fig. 6) though prior to onset most of the sinking is centered on the  
352 south side of the TA area. Anomalous sinking (Fig 4) opposes rising (Fig. 6) over the Rockies  
353 for several days prior to onset; only at onset does the anomalous sinking (Fig. 4) enhance  
354 subsidence at the TA area (Fig 6). The weak total winds means that air parcels in the TA area do  
355 not travel far. The anomalous winds are southeasterly in southern Nevada and southern deserts of  
356 California and also have an easterly component in northern: Nevada and California (Fig. 4). The  
357 centering of the sinking on the southwest side of the TA region (with southerly and southeasterly  
358 winds) followed by sinking on the north side (with northerly winds) at onset suggests cluster



359 mean parcels will come from the south and east in cluster two. Backwards trajectories (Fig. 7)  
360 show the properties just anticipated: these trajectories generally do not sink as much as those in  
361 the other cluster, their horizontal distances traveled are less, and the direction traveled has more  
362 variation between events in this cluster. However, several of the trajectory paths of cluster two  
363 arrive at the TA area from the desert region to the southeast. Cluster two has strong westerlies,  
364 similar to cluster one, but they are located much further north due to the pre-existing temperature  
365 anomaly (and geopotential ridge) centered near northwestern North America.

366 Air parcels that arrive at 850 hPa in the TA area are tracked over 4 days prior to onset by the  
367 backwards trajectory scheme described in section 2. The average path for each event is plotted in  
368 Fig. 7. The paths are consistent with motions anticipated in the discussion of the LSMP wind  
369 components above. Most air parcels in cluster one move from the west while sinking as they  
370 approach the TA area before heat wave onset. Two paths have brief periods of rising motion  
371 before sinking (events 7 and 22). Other paths approach the TA area from the south, east, or  
372 northeast (events 3, 9-11, 15, 17, and 27); these paths are all in cluster two. Different starting  
373 heights were tested for each event. Fig. 7 uses 850hPa for the starting level and the paths descend  
374 to that level from pressure levels commonly between 600 hPa and 800 hPa with 500 hPa being  
375 the maximum height. Trajectories in the second cluster have lower maximum heights than  
376 trajectories in the first cluster on average. Specifically, half of the events (5 out of 10) in cluster  
377 two have paths traced backward that stay below 700 hPa, while most events (10 out of 13) in  
378 cluster one have paths descending from elevations above 700 hPa. However, one of those 3  
379 Cluster one paths traced back to 700-800 hPa had peak elevation of 600 hPa before descending  
380 to the starting location at 850hPa. Also as anticipated, the two clusters show very different paths  
381 and origins zonally before onset. Paths in the first cluster (dashed paths) four days prior to onset

382 often start west of 140°W (with three exceptions). In contrast, paths in the second cluster (solid  
383 paths) travel a short zonal distance and all trajectories remain east of 140°W. Five paths in the  
384 second cluster start east of their final location. In the meridional plane, a half dozen paths in the  
385 second cluster reach the TA area from the region encompassing the Great Basin, Mojave, and  
386 Sonoran Deserts, while other paths arrive from the west, northeast, or hardly move. In the first  
387 cluster the starting latitudes of paths are rather evenly spread. There appears to be a tendency for  
388 parcels in the first cluster to sink from a higher elevation when traveling from a higher latitude to  
389 reach the TA area.

390 Some differences in the total fields LSMPs of the two clusters (Figs. 5-6) are worth  
391 emphasizing. In the first cluster: upstream of the west coast, strong west-southwesterly flow  
392 extends across the domain at higher latitudes. Further south, the upper level winds weaken and  
393 become more northwesterly as the temperature anomaly develops near the west coast.  
394 Development of the temperature anomaly in the TA region by onset time appears due to this  
395 long-lasting northwesterly flow that also continues to pass through a northwest-southeast  
396 oriented region of strong sinking off the west coast and paralleling the coast. In contrast, the flow  
397 in the second cluster is weaker all along the US west coast and has a southerly component at the  
398 west coast (at 700 and 600hPa) with evidence below (850hPa) of southerly or even southeasterly  
399 motion over land but northerlies offshore on the east side of the subtropical high. All paths are  
400 again passing through areas of sinking (though the anomalous sinking is generally far from the  
401 TA area except at onset) just upstream from where the temperature anomaly is growing; in  
402 cluster two that is on the southwest side of the pre-existing NW America anomaly. In the second  
403 cluster the total field shows a correspondence between the vectors on the southwest corner of the  
404 NW America temperature anomaly that cross the broad area of enhanced sinking as the thermal

405 anomaly expands on its southern side. In both clusters, the broad areas of sinking that paths  
406 traverse are more apparent in the daytime maps. Rising motions over the Rockies to the  
407 California Sierra Nevada mountains during the afternoon are reduced by the anomalous sinking  
408 in both cases though the timing relative to onset varies. At 600 and 700 hPa, high latitude strong  
409 westerlies persist in both clusters. In the second cluster, the westerlies are shifted poleward  
410 owing to the pre-existing NW America thermal anomaly. The flow in the southeastern part of the  
411 domain also differs, having a stronger southeasterly flow component in cluster two, consistent  
412 with some of the trajectories reaching the TA area coming from the southwest deserts.

413 These motions and temperature differences leading up to onset are consistent within each  
414 cluster and distinct between clusters. Fig. 8 shows box and whisker plots using NNRA1 and  
415 ERA-interim reanalyses over select regions and times. In Fig. 8a, the cold anomaly to the north  
416 in cluster one versus the warm pre-existing hot anomaly in cluster two are obviously distinct at  
417 700 hPa one day prior to onset. In Fig. 8b, 1.5 days before onset, the weak westerlies over the  
418 TA area are evident in cluster one, while cluster two events have net easterlies in the same region  
419 at 700 hPa. In Fig. 8c, two days prior to onset at mid-tropospheric levels to the NW and partly  
420 encompassing the TA area, weak southerlies occur in cluster one but strong northerlies occur in  
421 cluster two. In all cases the two reanalyses have very similar distributions. For 850 hPa  
422 temperature anomalies, a corresponding plot (not shown) over the TA region at onset finds  
423 complete overlap of values among the events and between both clusters for both reanalyses since  
424 events detection is basically based on near-surface standardized temperature anomalies

425

426 4. Dynamical Differences Driving Two Types of Heat Waves: WAF and Jet Stream

427 The discussion above connects temperature anomalies, air motions, sinking and strong  
428 adiabatic compressional warming. A key difference between the two types is that greater sinking  
429 over the TA region is required in Cluster one since the air parcels are of maritime origin; in  
430 Cluster two the parcels arrive from a region of pre-existing warm air in the interior West. A more  
431 dynamical picture is presented here that reinforces the discussion above. In addition, the domain  
432 is expanded to provide a larger context for the heat waves development in these two clusters.

433 Since all events have high heat over the CCV, the strengths of West Coast ridge in the two  
434 clusters are similar at onset time. It is the differing evolutions prior to onset time that are of  
435 interest. Prior to onset, the two clusters have different wave patterns spanning the North Pacific  
436 in the composites of 500 hPa geopotential height anomalies (Fig. 9). The primary difference is  
437 the existence of a persistent, strong, West Coast ridge in the second cluster versus development  
438 of that ridge in the first cluster. For the first cluster, an initially very weak ridge located west of  
439 California over the subtropical ocean strengthens and expands northward. Simultaneously, a  
440 north Pacific trough reduces its eastern extent, which implies the wave energy propagation  
441 towards the west coast. For the second cluster, positive height anomalies peak over NW America  
442 at 2-1.5 days lead. After that, the highest values in the ridge weaken, the area expands southward,  
443 and its center moves southwestward, concurrently the North Pacific trough decreases in strength  
444 until lead day 1.5 then increases again while drifting westward. From 2 days lead to onset, the  
445 zonal wavelength of the wave train is shorter in the first cluster than in the second cluster. The  
446 wave pattern is more zonally-oriented in the second cluster whereas the pattern follows more of a  
447 great circle route in the first cluster. Further upstream, the LSMP in cluster number two shows a  
448 significant trough in the subtropics near the east coast of Asia (2.5-1.5 days lead). The trough  
449 near Asia may imply a connection to the tropics that is not further explored in this paper. As this

450 near-Asia trough diminishes, the western North Pacific ridge strengthens and moves eastward  
451 while (as mentioned) the central North Pacific trough weakens and retreats slightly west. From 1  
452 day lead, the central North Pacific trough becomes strong again while the two neighboring ridges  
453 become weak. These results imply that the energy propagation is not simple and more  
454 complicated in the second cluster.

455 Fig. 9 also shows cluster-mean WAF vectors for times prior to and including event onset.  
456 The WAFs differ between the clusters in ways consistent with the discussion above. In the first  
457 cluster, there are southeastward WAFs from the southern side of the mid-ocean trough that  
458 become progressively stronger as onset is approached. The WAFs cross Pacific with clear  
459 convergence in the eastern Pacific that is consistent with the building of the West Coast ridge. In  
460 the second cluster, WAF vectors are somewhat stronger through most of the period. Close to  
461 onset time WAFs on the south side of the mid-ocean trough amplify the southern side of pre-  
462 existing west coast ridge. Far upstream, the WAF off the Asian coast from the trough there  
463 builds the west Pacific ridge that is stronger and further south in cluster two prior to onset. In  
464 cluster one, the south side of the west Pacific high grows later, closer to onset.

465 Given the different anomaly patterns in mid-tropospheric geopotential, one expects  
466 differences in the Pacific jet stream, as well. Fig. 10 shows total zonal wind and zonal wind  
467 anomaly at 250 hPa, separately analyzed for the two clusters (shading for anomalies and darker  
468 contours for total fields). Anomaly winds at 250 hPa, the 500 hPa geopotential heights (Fig 9),  
469 and the lower level total horizontal winds (Figs. 5-6) all have anti-cyclonic flow over the North  
470 American west coast and further upstream all have a mid-ocean trough, hence the LSMPs have  
471 equivalent barotropic structure as noted before (e.g. Grotjahn and Faure, 2008). The equivalent

472 barotropic structure of the wave train in each cluster is also confirmed from height anomaly  
473 patterns plotted at all significant levels in the troposphere (not shown).

474 In the first cluster, the northern dipole pair of wind anomalies in the eastern North Pacific  
475 expand and push the Pacific Jet southward in the mid Pacific and northward in the eastern North  
476 Pacific before event onset. The downstream expansion amplifies the total zonal component  
477 whose value peaks at lead day three. Subsequently, the jet stream peak value diminishes while  
478 moving eastward, producing a clear deceleration region located offshore at latitudes 35-50N that  
479 also moves eastward. From a simple momentum equation argument, this jet stream deceleration  
480 (jet streak exit) region could have southward ageostrophic motion. Ageostrophic northerlies at  
481 the jet exit coupled with little ageostrophic wind to the south that migrates eastward across the  
482 northern US seems consistent with a similar migration of sinking seen in Fig. 5. Further south,  
483 the easterly wind anomaly migrates northeastward towards the TA area as the thermal anomaly  
484 builds. In the second cluster westerly anomaly winds build the jet stream much further north  
485 (western Canada) and easterly anomaly winds suppress it near the west coast of America (where  
486 the large temperature anomaly resides for days prior to onset). That thermal ridge over the west  
487 coast in both cases has easterly wind anomaly over Southern and Baja California, that anomaly  
488 creates a small zonal variation of the zonal wind there. In a simple zonal momentum equation  
489 argument, a deceleration of easterly flow requires a southerly ageostrophic flow. Therefore  
490 southerly ageostrophic winds could prevail in this region. Ageostrophic northerlies in the  
491 deceleration region of the Pacific Jet coupled with ageostrophic southerlies where the North  
492 American Jet accelerates (further south) create upper level convergence and sinking beneath as  
493 deduced from simple vorticity arguments (e.g. Grotjahn and Osman, 2007) and as seen in Figs. 3  
494 and 5 for the first cluster. That more southern jet location in the eastern Pacific seems consistent

495 with the longer trajectories in the first cluster (though the jet is at a higher level than those paths).  
496 In the same manner, trajectories travel shorter distances in the second cluster which seems  
497 consistent with downstream weakening of the zonal winds along the US west coast.

498 On the opposite side of the Pacific, the westerly jet stream (Fig. 10) near the Asian coast  
499 curves much further north in cluster two, reflecting the stronger ridge (Fig. 9) in that region. In  
500 cluster one the jet stream is contiguous from the east Asian coast across the Pacific and into  
501 North America. In contrast, the connection to the east Asian jet becomes severed as onset  
502 approaches in cluster two. A larger amplitude wave train is present at this elevation for cluster  
503 two, as well.

504 The LSMPs have a large scale wave train and we have been asked if these LSMPs are similar  
505 to well-known ‘teleconnection patterns’. However, teleconnection patterns are based on longer  
506 term variations in the circulation rather than the shorter term fluctuations with extreme, highly  
507 episodic events. Moreover, most teleconnection patterns identified and cataloged by  
508 climatologists are based on analyses of the fluctuations in the wintertime flow. Nevertheless, we  
509 tested similarities to eight summer season teleconnection patterns (see  
510 <http://www.cpc.ncep.noaa.gov/data/teledoc/telecontents.shtml>) by calculating pattern projection  
511 coefficients for 10 days leading up to the event onset over the region 20°N-60°N, 120°E-90°W  
512 where the LSMP wave train has large amplitude. It should be noticed that several of these  
513 teleconnection patterns have their larger amplitude outside the domain used in this calculation, so  
514 this test artificially magnifies the pattern projection for those teleconnection patterns.

515 For the North Atlantic Oscillation (NAO) and Scandinavia patterns, averages of all events  
516 and each cluster mean and individual events show almost zero projection at the times tested (see  
517 Fig. S1 in the supplementary material). For the West Pacific, East Pacific-North Pacific,

518 Pacific/North American (PNA), and East Atlantic/Western Russia patterns, projections vary  
519 slightly with time and between the two clusters. However, they are not significant since the  
520 values of the means are much smaller than the variation among events. For the East Atlantic  
521 pattern, three averaged projections of all events and two cluster members increase as time  
522 approaches the heat wave onset although the variation among events is much larger; even so, the  
523 projections have small values ( $<0.15$  in all instances). Positive projection at the onset day might  
524 be considered significant since a majority of events have the same (positive) sign of projection  
525 coefficients. The reason for the (weak) positive projection is that the East Atlantic loading  
526 pattern has a strong west coast ridge over North America like the heat wave LSMPs do. For the  
527 Polar/Eurasia pattern, negative projection from 4 days prior to onset is common among the  
528 events. The loading pattern of Polar/Eurasia has a zonal dipole over the high latitude North  
529 Pacific and this pattern is almost opposite to the pattern of heat wave LSMPs as shown in Figure  
530 9. Therefore, most events show negative projections. However, the main domains of the East  
531 Atlantic and Polar/Eurasia patterns are where the heat waves LSMPs are weak and those  
532 domains are outside the Pacific sector used in this calculation. So the LSMPs' similarities in this  
533 sector seem to be little-related to East Atlantic and Polar/Eurasia patterns. In summary, this  
534 projection analysis shows little relation between these heat wave LSMPs and most of the  
535 teleconnection patterns. However, this result does not preclude a teleconnection pattern from  
536 reinforcing the heat wave associated with the LSMPs.

537

## 538 5. Summary and Further Discussion

539 This study examines recent summer heat waves of the California Central Valley. This study  
540 focuses on three main issues: 1) spatial-temporal detection criteria of heat waves using



541 normalized daily maximum surface temperatures of 15 CCV NCDC stations, 2) validity of  
542 grouping CCV heat waves into two clusters based on noting differences in the LSMPs' evolution,  
543 and 3) examination of the LSMPs' properties with emphasis on the distinctly different formation  
544 of each cluster of heat waves.

545 Using normalized daily maximum surface temperature anomalies at 15 NCDC stations, 28  
546 heat wave events were identified when at least 6 stations surpass the 95% level for at least 3 days.  
547 After examination of three-dimensional backwards trajectories and weather maps for each  
548 individual event, k-means clustering was applied to the merged anomalous field consisting of  
549 700 hPa zonal wind (2 days lead), 600 hPa temperature (2 days lead), and 700 hPa temperature  
550 (1 day lead) over the domain: 150W-100W, 20N-60N. After testing several numbers (k) of  
551 clusters, two groups (k=2) proved to be reasonable from the calculation of the inter-cluster  
552 distance metric. A projection analysis was also applied to check the reliability of k-means  
553 clustering results. A scatter plot of projection coefficients of individual events onto each of the  
554 two cluster composite fields finds two groups that are well separated with sufficient distance and  
555 events in a group to justify the clustering and to perform useful analyses. Among the 28 events  
556 during the 1977-2010 period, five events are 'mixed events' not clearly associated with just one  
557 cluster; these events are excluded in the analysis. The final ensembles have 13 members in  
558 cluster one and 10 in cluster two.

559 Composite analyses are made of air temperature, horizontal wind, and omega for the two  
560 clusters at three vertical levels and on 6 lead times including the onset time. These composite  
561 analyses focused upon a region centered near the northern California coast, the 'TA area' which  
562 has been shown to be crucial for CCV heat waves (Grotjahn, 2011). (A thermal low in sea level  
563 pressure there opposes a cooling sea breeze.) One difference between the two clusters of

564 temperature anomalies is the warm anomaly is slightly stronger in the second cluster than in the  
565 first cluster. The temperature anomaly has a southwest lobe in the first cluster and long northeast  
566 lobe in the second cluster. In the first cluster, warm anomalies in the TA area begin to form in  
567 the TA area rapidly strengthening in the final 1.5 days before onset. The second cluster has a pre-  
568 existing strong temperature anomaly to the north of the TA region; this anomaly becomes  
569 latitudinally elongated as a lobe develops southward, extending over the TA area during the 2.5  
570 days prior to onset time. While the temperature anomaly increases over the TA area, the northern  
571 end of the preexisting anomaly migrates eastward creating that lobe in in cluster two. The CCV  
572 heat wave follows the heat wave occurring in NW America for events in this second cluster. This  
573 link to NW America explains why Bachmann, 2008, found extremely hot days in Sacramento  
574 match dates of extremely hot days better in Seattle than in much closer Reno. After the peak of  
575 NW heat wave, warm anomalies expand over the TA area by flow that passes through areas of  
576 enhanced sinking sometimes from south of the TA region but usually without travelling as far as  
577 air parcels in cluster one.

578 In both clusters, although the pattern varies, the high temperatures result from compressional  
579 heating as horizontal winds bringing sinking air to the TA region. Anomaly fields of sinking and  
580 horizontal motion help interpret the total fields of motion. The sinking adiabatically warms the  
581 lower troposphere and especially over land lowers the subsidence inversion. Solar heating  
582 rapidly raises surface station temperatures during the daylight hours because the surface heat flux  
583 is mixed into the shallower than usual layer below the subsidence inversion. In cluster one, the  
584 sinking anomaly is located to the northwest and to northeast of the TA area where northerly and  
585 northeasterly anomaly winds occur. Upstream to the west, the wind anomalies are strongly  
586 southwesterly. When added to the long term daily mean winds, these wind anomalies create a

587 total wind that has strong westerlies upstream that turn to become northwesterly as the air  
588 encounters unusually strong sinking centered at or off the west coast of the US. These  
589 northwesterlies are pointing towards the TA area, building the temperature anomaly rapidly there.  
590 Higher up, sinking over the TA area is consistent with ageostrophic wind convergence resulting  
591 from the Pacific jet exit region to the north and the North American jet entrance region to the  
592 south. In cluster two, the pattern is more complex. There is anomalous sinking to the east of the  
593 TA area and small velocities from south and east. Total horizontal flow is quite mixed, as mid  
594 tropospheric levels have sinking and southwesterlies on the north side of the TA area, while  
595 below (850hPa and below) there are northerlies in this area of sinking. On the south side of the  
596 TA area, there are southeasterly and easterly total winds, again passing through an area of  
597 sinking during afternoon. The somewhat opposite directions that slow moving, sinking air takes  
598 to build the temperature anomaly in the TA area contrasts with the simpler pattern of cluster one  
599 and shows up strikingly in air parcel trajectories.

600 Trajectories moving backwards in time were calculated to trace the origin of air parcels  
601 arriving at onset in the TA area at 850 hPa. The two clusters show clear differences in the origins  
602 and travelling distance of these air parcels. Air parcels of the first cluster often travel eastward  
603 across much of the North Pacific, while air parcels of the second cluster are less consistent but  
604 include parcels moving out of the desert to the southeast. The far west origins of most air parcels  
605 in the first cluster are consistent with the eastward extension of the Asian jet stream compared to  
606 the second cluster. Since the spatial resolution (2.5 degrees in latitude and longitude) of the  
607 NNR1 data is somewhat coarse both horizontally and vertically, one may suspect the accuracy of  
608 trajectories and the robustness of this separation of trajectories between two clusters. This  
609 concern was checked by applying the trajectory calculation to the higher resolution reanalysis of

610 ERA-Interim2 (Dee et al. 2011). ERA-Interim2 data have 1 degree by 1 degree resolution.  
611 Trajectories based on ERA-interim2 data have similar primary properties: members consistently  
612 have longer traveling distances (eastward over the Pacific) in the first cluster while in cluster two  
613 trajectories again move slowly and come from various directions including originating in the  
614 desert to the southeast. Again, the ERA-interim2 paths pass through regions of sinking just prior  
615 to reaching low elevations in the TA area (not shown). Hence all the main characteristics of the  
616 trajectories for these two clusters are not sensitive to the reanalysis data type and/or model  
617 resolution.

618 Temporal and spatial evolution of height anomalies and wave activity fluxes in the middle  
619 troposphere (500 hPa) show the eastward energy propagation in both clusters. In the first cluster,  
620 the west coast ridge rapidly develops just prior to event onset along with the enhancement by  
621 southeastward directed WAF vectors off the west coast building internal energy  
622 (correspondingly, horizontal winds with the same orientation undergo strong sinking thereby  
623 building the warm anomaly in the TA area from compressional heating). The wave energy  
624 propagation across the North Pacific plays a crucial role in the formation of this first type of heat  
625 waves. Unlike the first cluster, height anomalies in the second cluster include a very strong pre-  
626 existing wave train across the north Pacific, including a ridge that covers most of NW America  
627 (height anomaly centered at the US-Canada coastal border) and lasts 3 days and more before the  
628 CCV heat wave onset. As time nears the onset of the CCV heat wave, the NW ridge center  
629 elongates eastward on its northern end and also southward to encompass the TA area.  
630 Interestingly, maximum anomaly of this ridge weakens while the North Pacific trough amplifies.  
631 The invigorated mid-ocean trough produces eastward WAF vectors on its southern side that  
632 enhance the southern expansion of the west coast ridge. Looking more broadly than the TA area,

633 in the first cluster WAF is mainly directed east-southeastward in middle and high latitudes across  
634 the North Pacific with an equatorward component in the eastern Pacific. In the second cluster, by  
635 contrast, WAF is more zonal and directed eastward across the North Pacific over middle and  
636 subtropical latitudes for several days prior to onset including a significant subtropical Pacific  
637 trough near the Asian coast. The wavelengths in the wave train are longer in the second cluster.  
638 In short, the patterns at the onset, at least locally to the CCV, look similar in all events studied,  
639 but these results reveal two very different origins to those patterns.

640

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642

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646

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## Figures

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731 symbols) used in our heat waves criteria
- 732 Figure 2 Scatter of two projection coefficients coefficients for each of the 28 events. The  
733 numbers match the event numbers specified in Table 1. A dot marks each event in  
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736 wind at 700 hPa, -2day temperature at 600 hPa, and -1day temperature at 700 hPa) are  
737 projected onto their composites of two clusters over 150W-100W, 20N-60N domain,  
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- 740 Figure 3 anomalous composite of (contour) air temperature, (vector) horizontal wind, and  
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742 points which have sign counts with magnitude over 1/3 of cluster member numbers are  
743 plotted. Vectors are plotted at the grids where either zonal or meridional component is  
744 passing 1/3 sign counts criteria. Contour interval is 0.7 K (3.5 K for thick contours).  
745 The unit of shading is Pascal/s. For the clarity, only positive omega shadings come  
746 with contours.
- 747 Figure 4 Same as in Figure 3 but for cluster two.
- 748 Figure 5 Composite of (contour) air temperature anomalies, (vector) total horizontal wind, and  
749 (shading) total omega for cluster one. For the air temperature anomalies, only grid  
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752 Pascal/s. For the clarity, only positive omega shadings come with contours.
- 753 Figure 6 same as in Figure 5 but for cluster two.
- 754 Figure 7 Backwards trajectories of the 28 events: 2-D projections onto longitude-latitude,  
755 latitude-pressure, and longitude-pressure domain over 4 days prior to onset. The  
756 numbers refer to the event numbers specified in Table 1. Cluster one events  
757 trajectories use a dark grey dotted line. Cluster two events use a black solid line.  
758 Trajectories for mixed events that are not strongly matched with either cluster are  
759 drawn with a light grey solid line.
- 760 Figure 8 Box and whisker plots comparing area average values in selected regions, levels, and  
761 times for the indicated anomaly fields shown in Figs. 3 and 4. In each panel the left  
762 pair is for cluster one and the right pair is for cluster two. In each pair the left member  
763 is calculated from the ERA-interim reanalyses while the right member is calculated  
764 from NNRA1 data. Each box brackets the middle 50% while the horizontal line within  
765 the box is the median value. Whiskers connect the highest and lowest values. Panel  
766 labels indicate level, time before onset, north latitude range, and east longitude range.  
767 Panels a) – c) are at earlier times and regions in proximity to the TA region showing  
768 consistency among cluster members but different cluster distributions.
- 769



770 Figure 9 composite of (contour) geopotential height anomaly and (vector) total horizontal wave  
771 activity flux for two clusters at 500 hPa. Shading indicates significant area of  
772 geopotential height by plotting the grid points which have sign counts whose  
773 magnitude exceeds 1/3. Wave activity flux are plotted only when at least one of zonal  
774 and meridional component has sign counts whose magnitude exceeds 1/3. Contour  
775 interval is 20m.

776 Figure 10 Composite of (thick contour) total zonal winds and (thin contour) anomalous zonal  
777 winds at 250 hPa. For the anomaly field, grid points are plotted only when the sign  
778 count has magnitude greater than 1/3 of cluster member numbers. Contour interval is 2  
779 ms-1 for anomaly field and 6 ms-1 for total field. The minimum contour of total field  
780 is 18 ms-1.

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782

### 783 Tables

784

785 Table 1 Start/end dates and duration of 28 CCV hot spells considered

786

Table 1 Start/end dates and duration of 28 CCV hot spells considered

Event #	Event Start date	Event End date	Duration (days)
*1	"06-05-1977"	"06-07-1977"	3
*2	"09-06-1977"	"09-08-1977"	3
†3	"06-05-1978"	"06-07-1978"	3
4	"08-05-1978"	"08-09-1978"	5
*5	"09-12-1979"	"09-17-1979"	6
6	"07-24-1980"	"07-27-1980"	4
*7	"06-11-1985"	"06-17-1985"	7
*8	"07-17-1988"	"07-19-1988"	3
†9	"08-25-1988"	"08-27-1988"	3
†10	"09-03-1988"	"09-06-1988"	4
†11	"07-12-1990"	"07-14-1990"	3
12	"08-05-1990"	"08-10-1990"	6
*13	"07-02-1991"	"07-05-1991"	4
†14	"06-02-1992"	"06-04-1992"	3
†15	"08-16-1992"	"08-20-1992"	5
*16	"06-02-1996"	"06-07-1996"	6
†17	"08-10-1996"	"08-15-1996"	6
*18	"08-03-1998"	"08-05-1998"	3
†19	"08-30-1998"	"09-03-1998"	5
†20	"09-18-2000"	"09-20-2000"	3
*21	"07-10-2002"	"07-12-2002"	3
*22	"06-22-2006"	"06-24-2006"	3
*23	"07-20-2006"	"07-26-2006"	7
*24	"07-07-2008"	"07-10-2008"	4
*25	"08-27-2008"	"08-29-2008"	3
26	"09-05-2008"	"09-07-2008"	3
†27	"09-25-2009"	"09-27-2009"	3
28	"09-27-2010"	"09-29-2010"	3
*1 <sup>st</sup> cluster avg.			4.2
†2 <sup>nd</sup> cluster avg.			3.8
*1 <sup>st</sup> cluster std.			1.6
†2 <sup>nd</sup> cluster std.			1.1

\*(†) Events which are assigned in Cluster #1(#2). Event without a superscript are 'mixed' type and could not be assigned strongly to either cluster.

# Graphic locations of CCV NCDC stations used

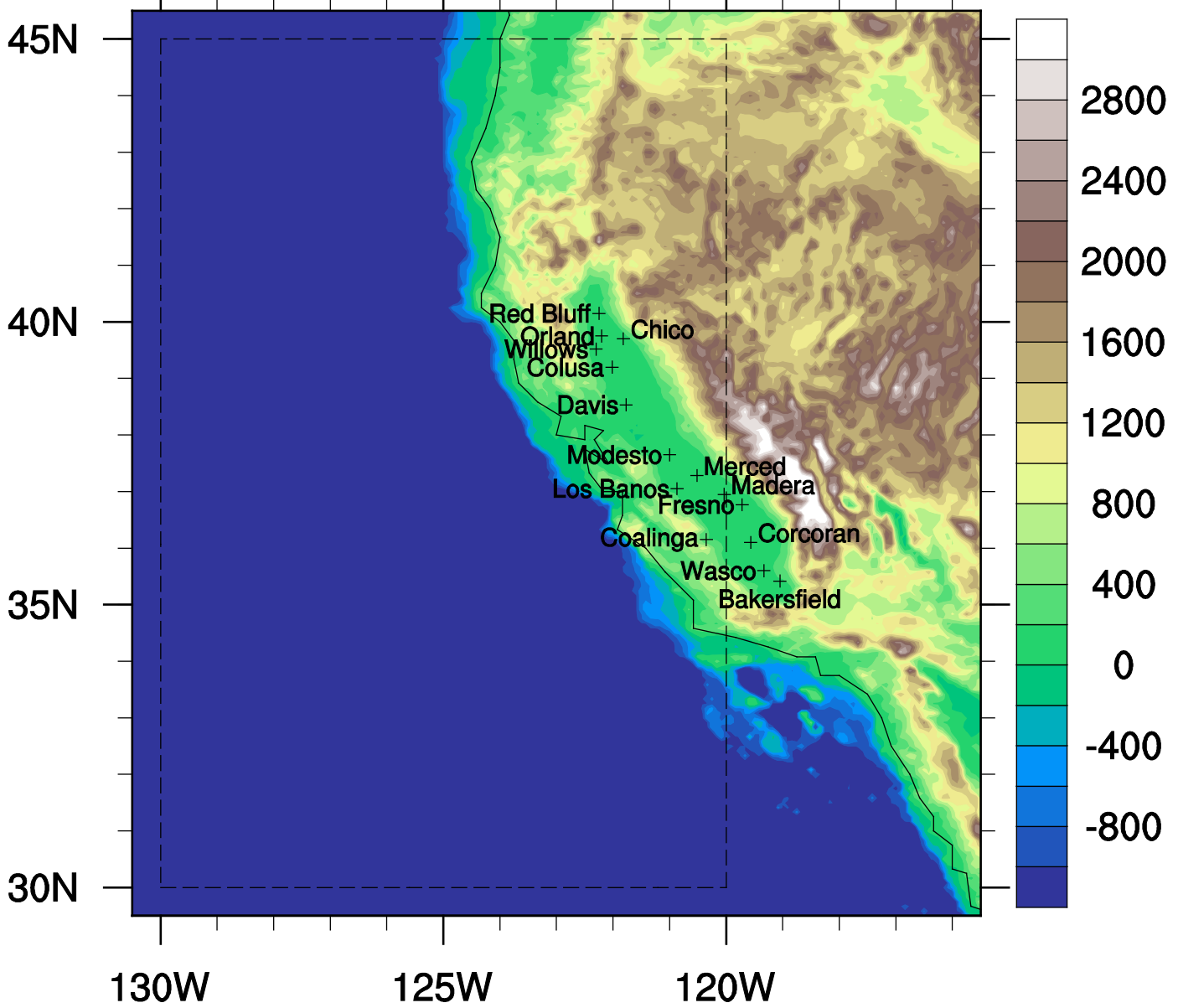


Figure 1 Geographic location of 15 California Central Valley NCDC stations (marked by '+' symbols) used in our heat waves criteria. The boxed region with long-dash represents "TA area"..

## Projection onto 2 cluster composites

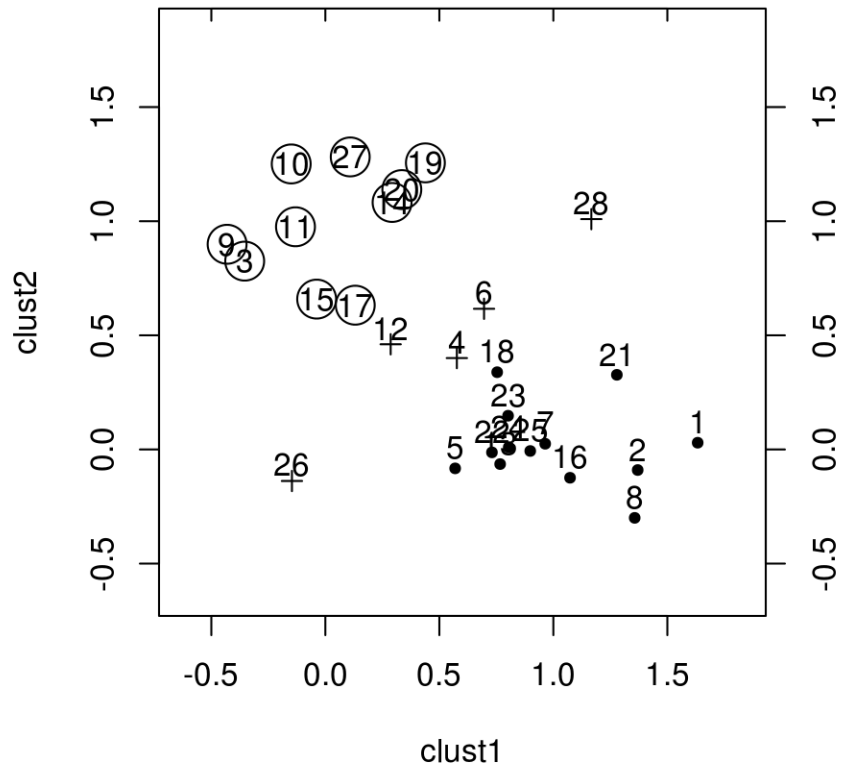


Figure 2 Scatter of two projection coefficients coefficients for each of the 28 events. The numbers match the event numbers specified in Table 1. A dot marks each event in cluster one, a circled number for each event in cluster two, and mixed events are marked with a '+' symbol. For individual events, three anomalous fields (-2day zonal wind at 700 hPa, -2day temperature at 600 hPa, and -1day temperature at 700 hPa) are projected onto their composites of two clusters over 150W-100W, 20N-60N domain, then the average of three coefficients are plotted. Five undetermined and/or mixed events are excluded from the analysis afterward.

# Cluster1, temp\_wind\_omega

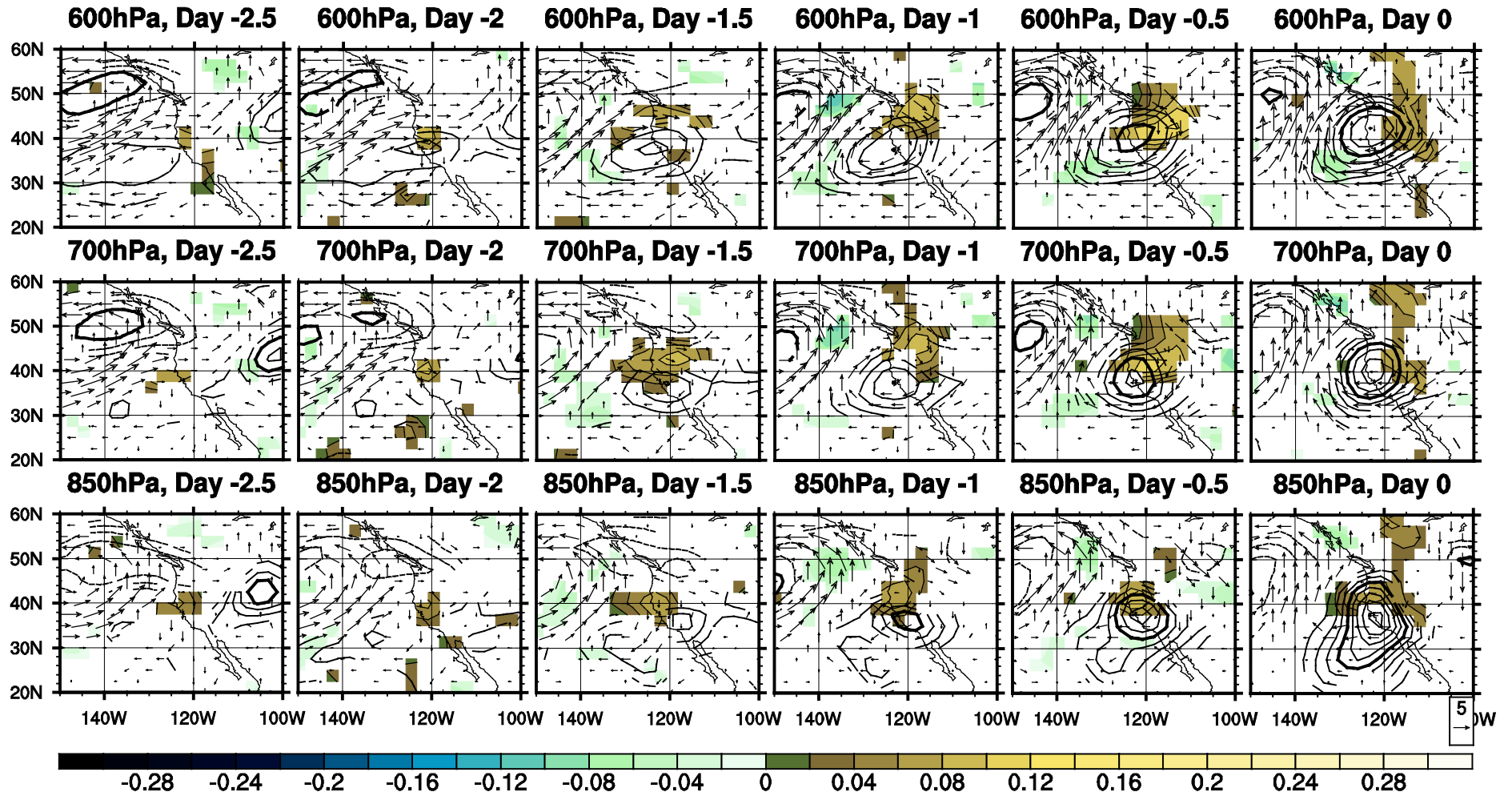


Figure 3 anomalous composite of (contour) air temperature, (vector) horizontal wind, and (shading) omega (or pressure velocity) for cluster one. For all three fields, only grid points which have sign counts with magnitude over 1/3 of cluster member numbers are plotted. Vectors are plotted at the grids where either zonal or meridional component is passing 1/3 sign counts criteria. Contour interval is 0.7 K (3.5 K for thick contours). The unit of shading is Pascal/s. For the clarity, only positive omega shadings come with contours.

# Cluster2, temp\_wind\_omega

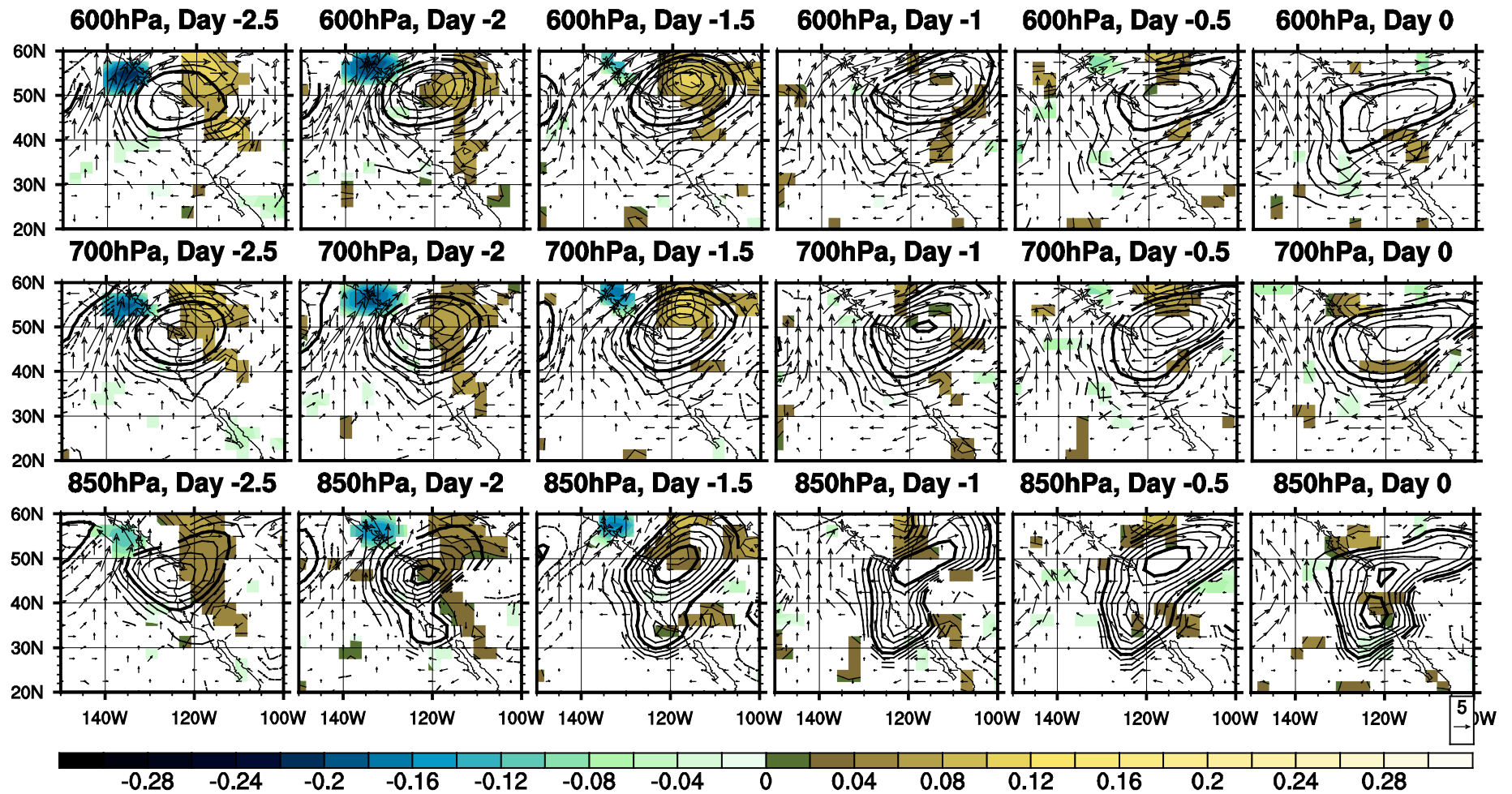


Figure 4 Same as in Figure 3 but for cluster two.

# Cluster1, temp\_wind\_omega

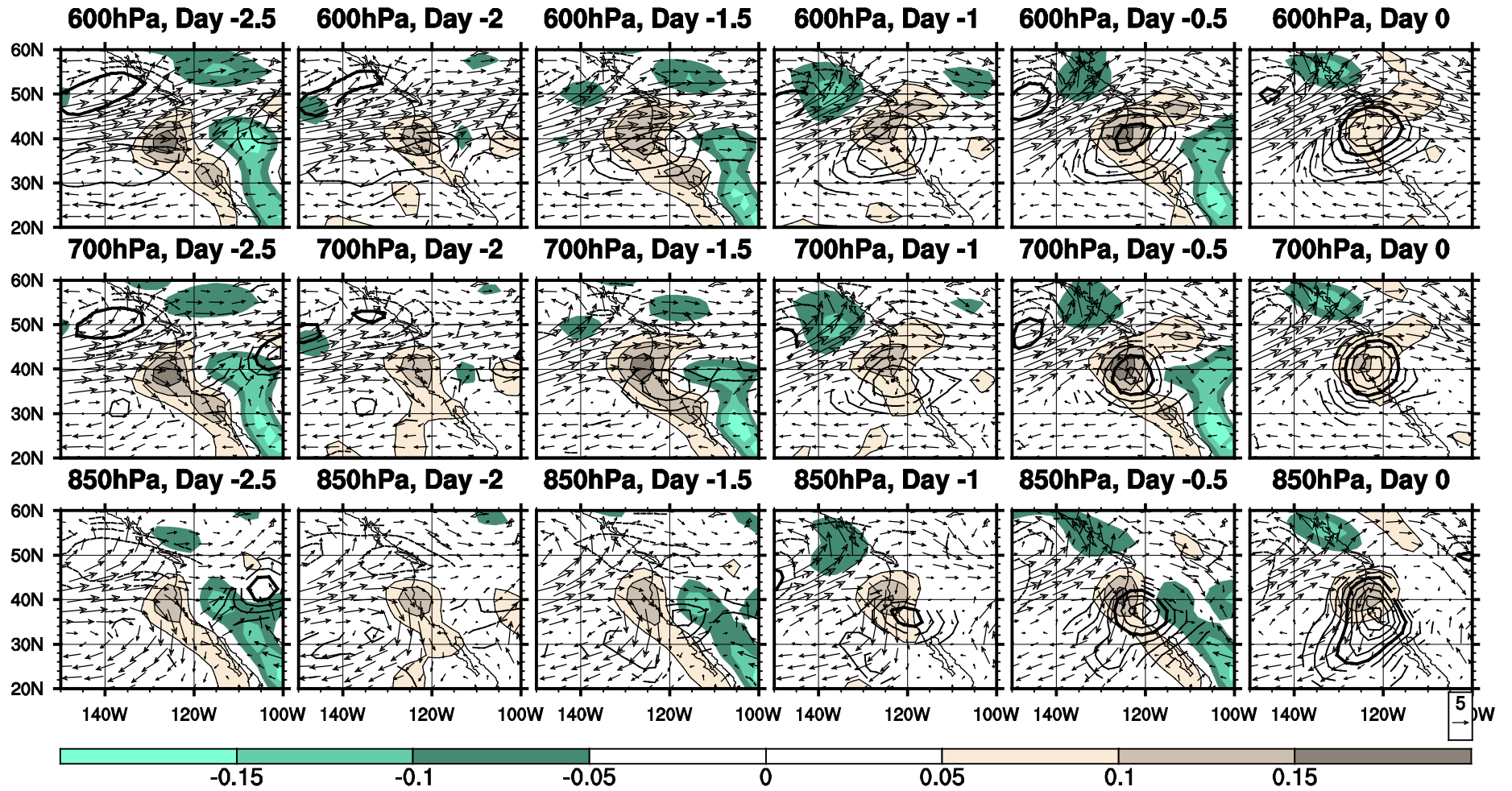


Figure 5 Composite of (contour) air temperature anomalies, (vector) total horizontal wind, and (shading) total omega for cluster one. For the air temperature anomalies, only grid points which have sign counts with magnitude over 1/3 of cluster member numbers are plotted. Contour interval is 0.7K (3.5 K for thick contours). The unit of shading is Pascal/s. For the clarity, only positive omega shadings come with contours.

# Cluster2, temp\_wind\_omega

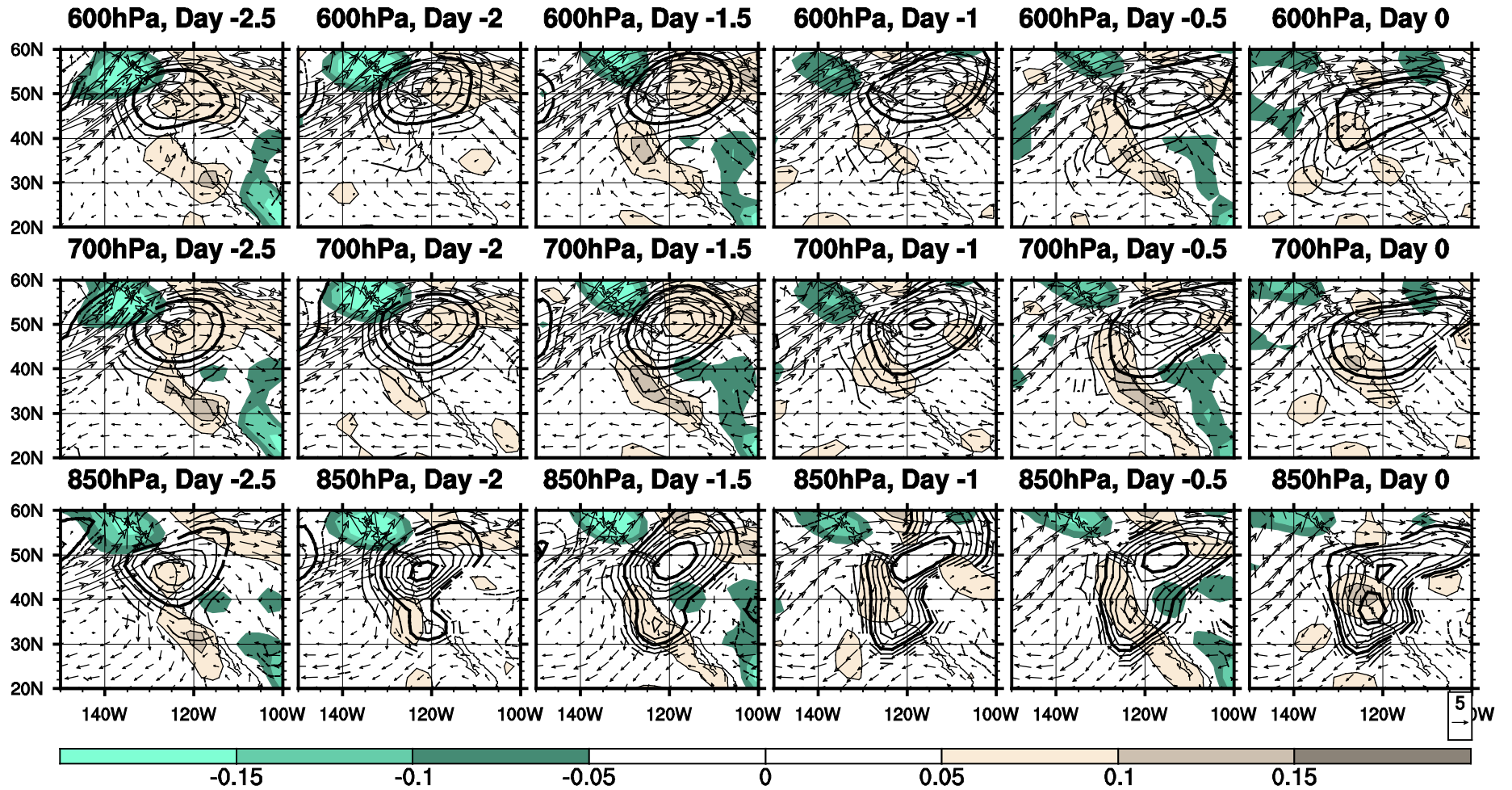


Figure 6 same as in Figure 5 but for cluster two.



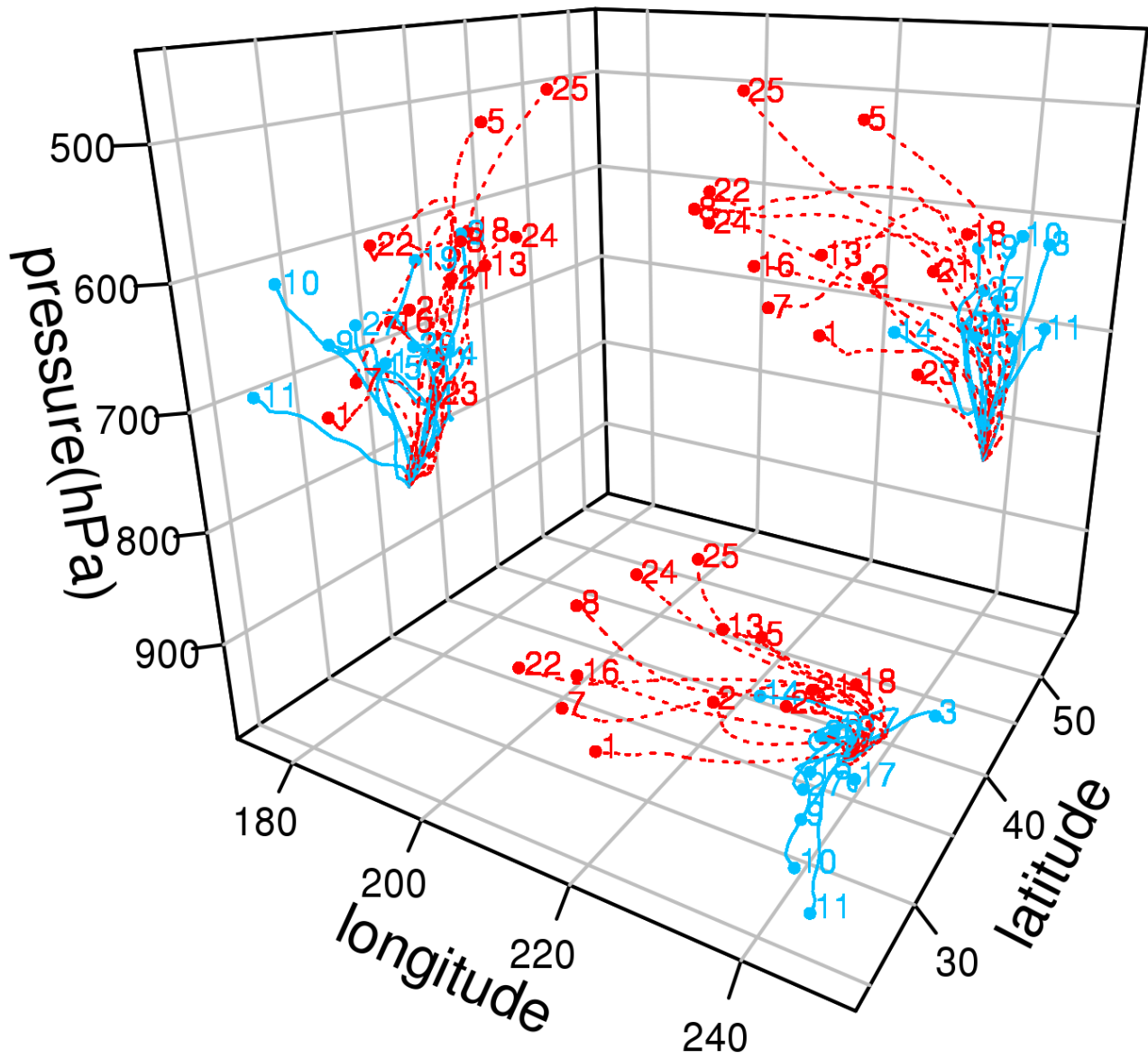


Figure 7 Backwards trajectories of the 28 events: 2-D projections onto longitude-latitude, latitude-pressure, and longitude-pressure domain over 4 days prior to onset. The numbers refer to the event numbers specified in Table 1. Cluster one events trajectories use a dark grey dotted line. Cluster two events use a black solid line. Trajectories for mixed events are not drawn here.

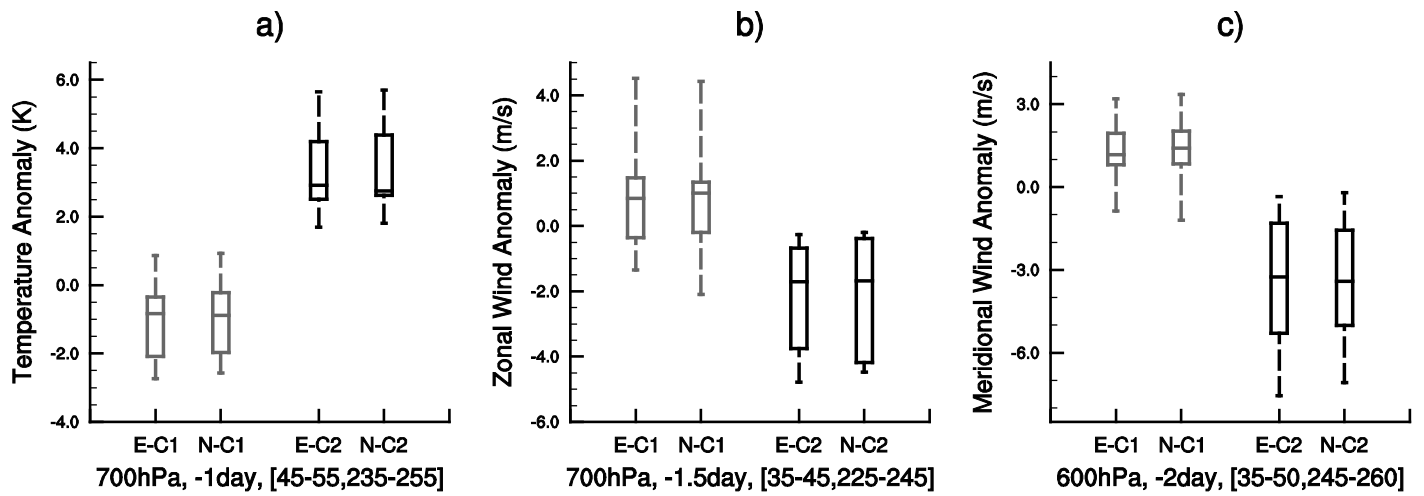


Figure 8 Box and whisker plots comparing area average values in selected regions, levels, and times for the indicated anomaly fields shown in Figs. 3 and 4. In each panel the left pair is for cluster one and the right pair is for cluster two. In each pair the left member is calculated from the ERA-interim reanalyses while the right member is calculated from NNRA1 data. Each box brackets the middle 50% while the horizontal line within the box is the median value. Whiskers connect the highest and lowest values. Panel labels indicate level, time before onset, north latitude range, and east longitude range. Panels a) – c) are at earlier times and regions in proximity to the TA region showing consistency among cluster members but different cluster distributions.

# WAF @500hPa

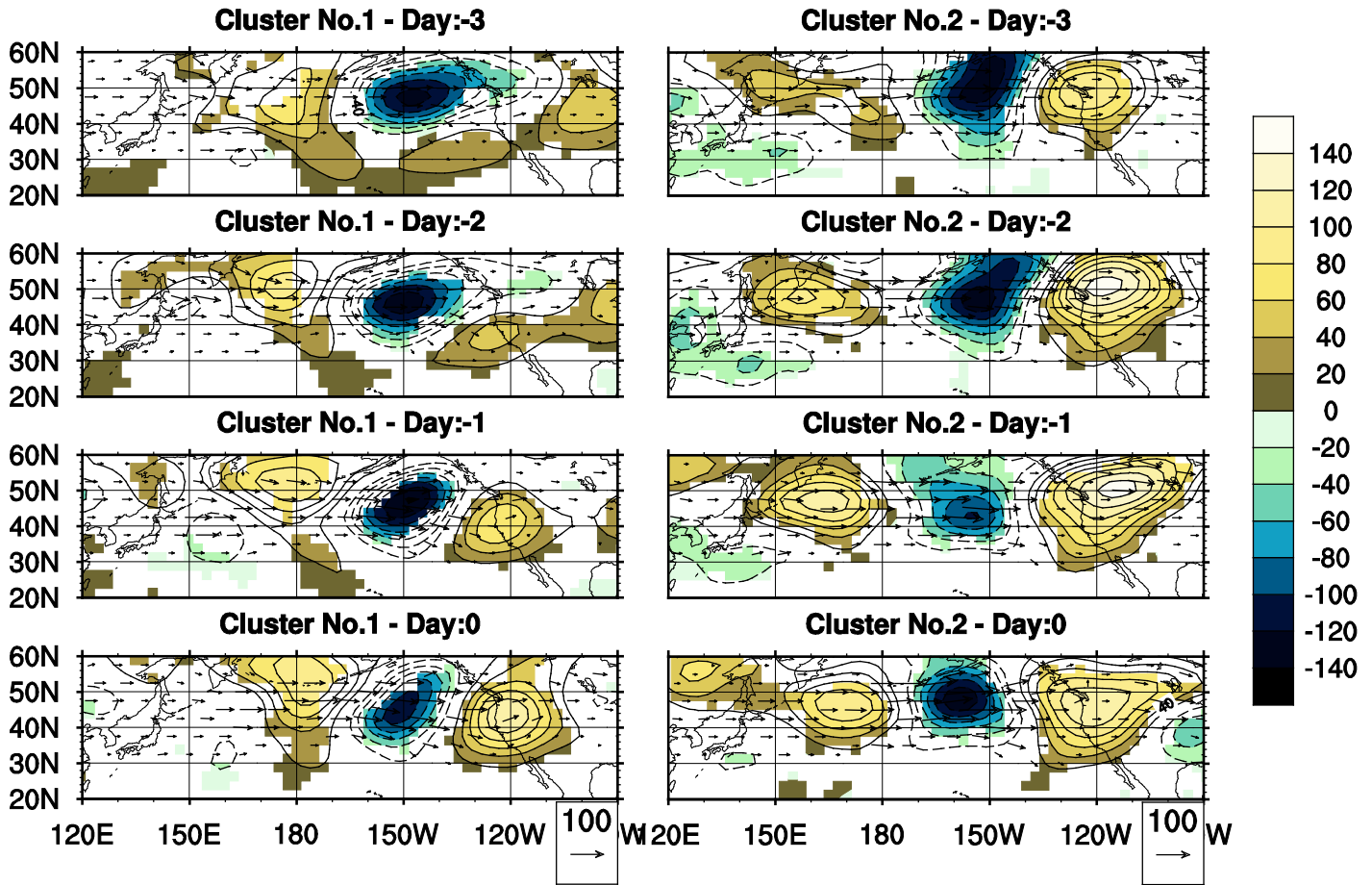


Figure 9 composite of (contour) geopotential height anomaly and (vector) total horizontal wave activity flux for two clusters at 500 hPa. Shading indicates significant area of geopotential height by plotting the grid points which have sign counts whose magnitude exceeds 1/3. Wave activity flux are plotted only when at least one of zonal and meridional component has sign counts whose magnitude exceeds 1/3. Contour interval is 20m.

# UWND @250hPa

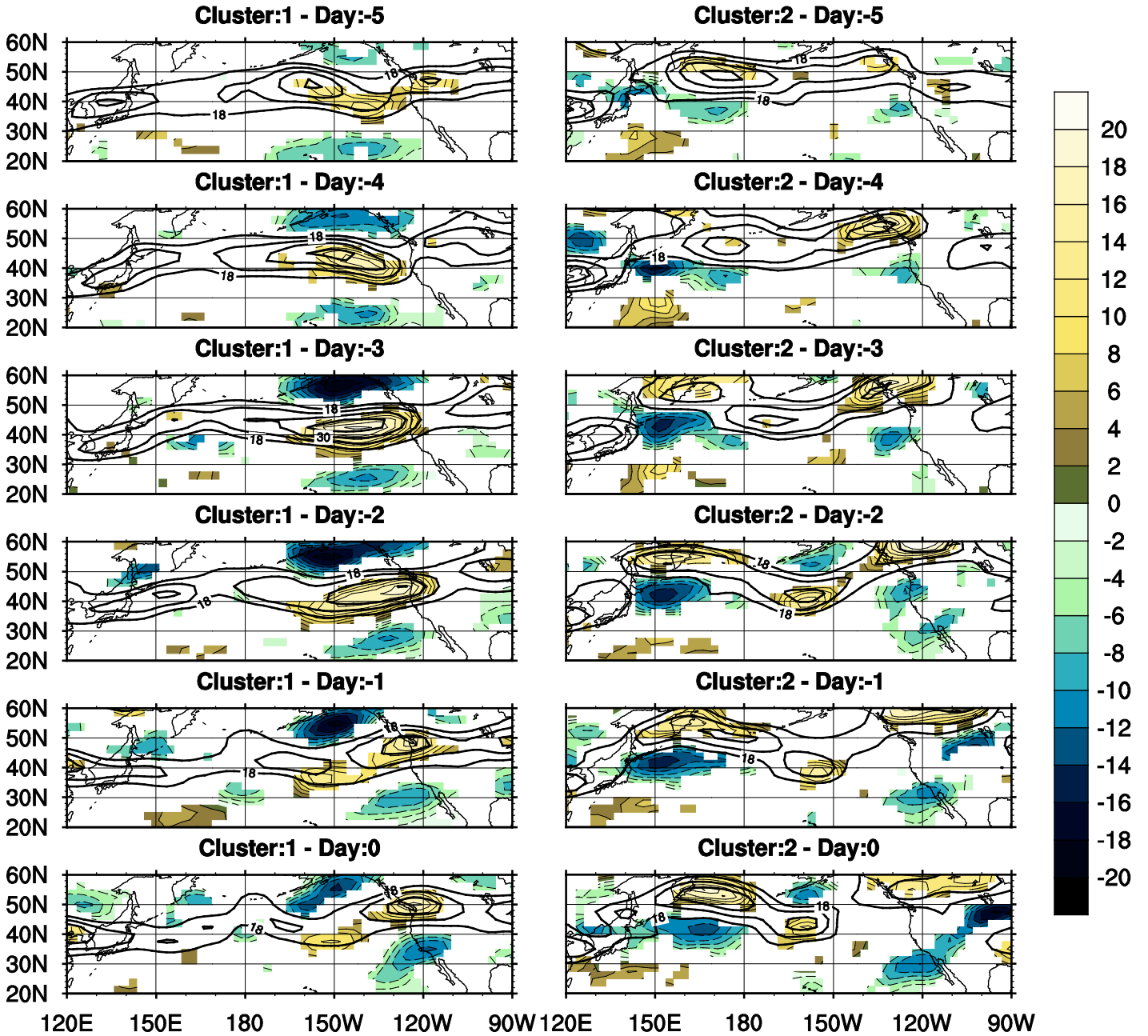


Figure 10 Composite of (thick contour) total zonal winds and (thin contour) anomalous zonal winds at 250 hPa. For the anomaly field, grid points are plotted only when the sign count has magnitude greater than  $1/3$  of cluster member numbers. Contour interval is  $2 \text{ ms}^{-1}$  for anomaly field and  $6 \text{ ms}^{-1}$  for total field. The minimum contour of total field is  $18 \text{ ms}^{-1}$ .