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**Future Projections of the Large Scale Meteorology Associated with California Heat Waves in CMIP5 Models**

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35 Key Points (140 characters)

36

- 37 • The synoptic pattern for California Central Valley heat waves does not change in frequency
- 38 or intensity in the future in climate models.
- 39 • Heat waves are much more frequent and predominantly of one type when using historical
- 40 thresholds due to the change in the climate ‘mean’.
- 41 • A multi-model average has 4x as many heat waves, lasting 2x as long, with 1.5x the 20-year
- 42 return value relative to historical values.

43

44 Abstract

45

46 Previous work showed that climate models capture historical large-scale meteorological patterns  
47 (LSMPs) associated with California Central Valley (CCV) heat waves including both ways these  
48 heat waves form. This work examines what models predict under the RCP4.5 and RCP8.5  
49 scenarios. Model performance varies, so a multi-model average weights each model based on its  
50 historical performance in four parameters. An LSMP index (LSMPi) is defined using upper  
51 atmosphere variables that best capture dates of past extreme surface temperature maxima. LSMPi  
52 correlates well with all values of CCV surface maximum temperature. LSMPi distributions in future  
53 simulations shift ~0.6 standard deviations higher between 1961-2000 and 2061-2100 for RCP 8.5  
54 data. Based on the *historical* climatology, future scenarios show a large increase in the frequency  
55 and duration of heat waves in every model. Four times as many heat waves occur and their median  
56 duration doubles, using historical thresholds. Of the two ways heat waves form, type 1 has similar  
57 frequency in the future. But, type 2 becomes much more common because type 2 has a preexisting  
58 hot anomaly in Southwestern Canada, much like the historical to future climatological change in  
59 that region (a “global warming” signal). The 20-year return value anomaly increases by 30-40%.  
60 The average of the 50 hottest temperatures increases 3.5-6K depending on the scenario. When  
61 extreme values are defined using the *future* climatology, the models and their average have no  
62 consistent increase or decrease of distribution properties such as: shape, scale, and return values of  
63 the extremes compared to historical values.

64

65 **Index Terms** (five or less)

66 3337 Global climate models (1626, 4928)

67 3305 Climate change and variability 4313 Extreme events (1817, 3235) 3364 Synoptic-scale  
68 meteorology 0429 Climate Dynamics. ~~4616 Climate variability, 4317 Precursors~~

69

70 **Keywords** (six or less): future heat waves, California heat waves, large-scale meteorological  
71 patterns simulation, future summer variability, climate model simulations of heat waves

72 **1. Introduction**

73

74 The California Central Valley (CCV) is the most agriculturally productive region in the world, and  
75 extreme heat is a major concern during the summer months of June through September. Prior work  
76 discusses how large-scale meteorological patterns (LSMPs) are associated with CCV heat waves  
77 (Grotjahn & Faure, 2008; Grotjahn, 2011, 2013), that CCV heat waves can form by two ways (Lee  
78 & Grotjahn, 2016; hereafter LG2016), and how the climate models vary in their ability to create  
79 simulated heat waves in historical conditions (Grotjahn & Lee, 2016; hereafter GL2016). This  
80 paper, applies the LSMP context to identify and understand possible future changes in CCV  
81 extreme heat events during summer. Our specific questions include: will events occur more often  
82 than in the past? Will events become more severe? Will events last longer? Will changes from  
83 historical to future climate be due mainly to a shift in the climatological conditions (a ‘global  
84 warming signal’) or in the LSMP properties?

85

86 Coumou et al. (2013), Perkins et al. (2012), Russo et al. (2014) and others have discussed the  
87 effects global warming may have on local heat event characteristics in the mid-latitudes. Other  
88 studies explore possible physical mechanisms behind the changes in these heat wave events. These  
89 mechanisms include: changes in sub-seasonal atmospheric variability (Teng et al., 2013), variations  
90 in the quasi-stationary waves (Screen et al., 2014; Petoukhov et al., 2013) and weakening of the  
91 boreal storm tracks in the summer (Lehmann et al., 2014). Understanding the physical mechanism  
92 is key to understanding and attributing the changes to the global warming signal.

93

94 Grotjahn (2011) and Horton et al. (2016) discuss heat waves synoptics: subsidence causing  
95 warming of the air from adiabatic compression and clear skies to support radiant heating, and  
96 advection of warm air. Also, Grotjahn (2011), Lau et al. (2012), and Grotjahn et al. (2016) discuss  
97 how offshore winds occur with severe heat waves in California. Finally, Grotjahn (2011) finds the  
98 largest temperature anomalies are just offshore (at 850 hPa), helping to set up the low level pressure  
99 gradient force that opposes a cooling sea breeze and the subsidence lowers the subsidence inversion  
100 leaving a shallow surface layer to warm by solar radiation. Large scale features associated with  
101 California heat waves (i.e. LSMPs) are resolved by climate models and provide a context to  
102 examine different models predictions of future CCV heat waves.

103

104 Grotjahn (2011) developed a LSMP index based on upper air data that matches well the surface  
105 heat wave temperatures over the CCV. Grotjahn (2013) shows how well the CCSM4 model  
106 simulates the LSMPs and other properties of heat waves compared to reanalysis data. LG2016 and  
107 GL2016 use a cluster analysis to sort CCV heat waves into two types based on LSMPs leading up  
108 to heat events. One cluster (“type 1”) has cold anomalies prevailing over the NW US and western  
109 Canada several days before CCV heat event onset and the CCV heat wave develops quickly in the  
110 day before onset. The other cluster (“type 2”) has a preexisting hot anomaly over SW Canada for  
111 several days prior to CCV heat onset, then a southwestward extension of the hot anomaly initiates  
112 the CCV heat wave.

113

114 This work builds on our previous work to answer those questions above. We consider 13 different  
115 CMIP5 models simulations of the RCP4.5 and RCP8.5 scenarios. We improve the diagnostics of  
116 the LSMPs from our prior work and apply those diagnostics to estimate how the extremes are going  
117 to change in the future, including the two cluster types. We develop a simple multi-model average  
118 based on each model's historical performance.

119  
120 The next section describes the data and methods developed to understand the future changes in  
121 CCV heat waves. The third section describes the main results and section four describes the main  
122 conclusions.

123  
124

## 125 **2. Data and Methodology**

126

### 127 **2.1. Data Used for the Analysis**

128

129 NOAA climate data at 17 stations in the CCV are used to identify historical extreme heat event  
130 information, principally the frequency of events.

131

132 The NCEP-NCAR reanalysis (Kalnay et al., 1996, hereafter NNRA1) are used for: the formulation  
133 of the LSMPs via composites, the development of an improved LSMP index, distinguishing the two  
134 cluster types of heat waves, and verification and comparison with corresponding quantities in the  
135 model data. The NNRA1 data are from the 40-year 1971-2010 period. ERA-interim (Dee et al.,  
136 2011) data are used to cross-check the NNRA1 results and the results in the overlapping period are  
137 essentially the same. Hence the NNRA1 reanalysis is used because it has a longer record of data  
138 and hence includes more extreme heat wave events.

139

140 CMIP5 model data from historical, RCP4.5, and RCP8.5 simulations are studied. Model historical  
141 data are from the 40 simulated years 1961-2000; the RCP simulations are for 2061-2100. Climate  
142 model simulations are not weather forecasts, so a specific date has only accidental similarity  
143 between models and the reanalysis. So, the model and reanalysis periods are offset to take  
144 advantage of better upper air observations at later times while the historical simulations end before  
145 2010. Some models have parallel simulations (ensemble runs) with the sub-daily upper air data we  
146 need archived; as available, the data from ensemble runs were included. Table S1 and the GL2016  
147 supplementary information give a description of the model data used and how many grid points for  
148 a specific model are considered to lie within the CCV.

149

150 The zonal wind anomaly ( $U_a$ ), the meridional wind anomaly ( $V_a$ ) and the temperature anomaly  
151 ( $T_a$ ) were examined at these levels: 250hPa, 500hPa and 850hPa at every 6hr snapshot time (i.e at  
152 0hr, 06hr, 12hr, 18hr). The anomalies are with respect to corresponding long term daily mean  
153 values (LTDM). The LTDM values for each of these variables are found by the methodology  
154 described in LG2016. To summarize: corresponding days of the year are averaged to create an  
155 initial LTDM at each location; since the initial LTDM has sizeable day to day variation on a 40 year

156 average, the data are Fourier transformed and the first five harmonics used to construct the smooth,  
157 final LTDM. Daily anomalies at each location are constructed by subtracting the corresponding  
158 daily final LTDM values.

159

160 For surface maximum temperatures over the CCV, an additional step is made to normalize the daily  
161 anomalies by the long term mean seasonal average standard deviation at each location. The  
162 resulting normalized anomalies make values at different locations inter-comparable. These  
163 normalized anomalies are labeled ‘Tnamax’ here. The spatial average of the Tnamax values over  
164 the CCV for each day is labeled ‘**avTnamax**’. Our prior work uses this methodology. Also, as in  
165 Grotjahn (2011), the event onset is always at 12 GMT.

166

## 167 **2.2. Definitions of a Heat Event**

168

169 We use a similar methodology as used by GL2016 for the identification of CCV heat waves in the  
170 CMIP5 models. We treat the grid points in the CCV region in a similar way that was done for  
171 station data. Daily maximum surface temperatures at the CCV grid points are saved for each model.  
172 At least ½ of the CCV grid points must reach or exceed a threshold for the date to qualify as a heat  
173 event. The threshold is the value of the 95<sup>th</sup> percentile of Tnamax at that location. Tnamax is used  
174 since the dimensional value of the threshold (the 95<sup>th</sup> percentile) and its variance varies between  
175 CCV locations over the summer. One difference from GL2016 is that instead of using 1972-2005,  
176 the period here is 1961-2000. So the models use 40 years like the reanalysis but start 10 years  
177 earlier since historical model simulations end in 2005.

178

179 The future scenarios use data from 2061-2100. We define heat waves in the RCP4.5 and RCP8.5  
180 data in two different ways. One way uses the same threshold values of surface avTnamax as  
181 calculated in the historical simulations to define a future heat wave. The second way uses the 95th  
182 percentile from the normalized temperature values from the future time period calculated for each  
183 respective RCP scenario.

184

185 The following label conventions designate how the threshold is defined when choosing candidate  
186 heat waves.

- 187 1. Heat waves from CMIP5 historical runs use the threshold based on the model’s historical data -  
188 CMIP5\_Hh
- 189 2. Heat waves from the CMIP5 RCP runs that use the threshold based on the model’s historical data  
190 – CMIP5\_Fh (RCP45) and CMIP5\_Fh (RCP85) for the RCP 4.5 and 8.5 scenarios  
191 respectively.
- 192 3. Heat waves from the CMIP5 future runs that use the threshold based on the model’s future data  
193 for that RCP scenario –CMIP5\_Ff (RCP45) and CMIP5\_Ff (RCP85) for the RCP 4.5 and  
194 8.5 scenarios respectively.

195

196 There are five combinations of time period (F or H), threshold (f or h), and RCP (4.5 or 8.5).  
197 Future heat waves are defined two ways to separate changes due to a general trend (like a regional

198 warming trend) from changes in the heat wave LSMP properties (intensity, frequency, and  
199 duration). Comparing ‘Ff’ to ‘Hh’ emphasizes changes in LSMP properties. The ‘Fh’ to ‘Hh’  
200 comparison includes both the general trend as well as LSMP changes, so how properties differ  
201 between ‘Fh’ and ‘Ff’ emphasizes the general trend.

202

### 203 **2.3. Clustering Methodology**

204

205 We use two separate calculations 1) to determine which cluster an event belongs to and 2) to assess  
206 the strength of each cluster type present in every event.

207

208 Previous work, reported in LG2016, showed two groupings of the air parcel trajectories that arrive  
209 near the northwest California coast. That location is the center of the hot anomaly at 850 hPa that is  
210 fundamental to CCV heat waves. GL2016 choose the hottest 28 heat wave events (from 1977-2010)  
211 to form the composite cluster patterns. They show that the ERA-interim (from 1979) and NNRA1  
212 cluster patterns are essentially the same. Here the hottest 32 heat wave events (from 1971-2010)  
213 form the composite cluster patterns.

214

215 To assign each event to a cluster type in model data, projections are used in a sub-region or  
216 ‘domain’ where there are large and consistent differences between the cluster composites in  
217 NNRA1 data and areas that are well above the Earth’s surface. After some testing, the domain  
218 bounded by 135-120W and 40-55N was chosen to determine to which cluster an event belongs. In  
219 this domain target values at -2 days lag (i.e. before onset) are used of: temperature anomaly at  
220 850hPa (Ta850) and 500hPa (Ta500) plus zonal wind anomaly at 500hPa (Ua500) .

221

222 Projection coefficients ( $P_{kj}$ ) are calculated for the domain and variables stated above.

$$P_{k,n} = \frac{\sum_i \sum_j (q_{i,j,n} \cdot Q_{k,i,j})}{\sum_i \sum_j (Q_{k,i,j})^2}$$

223 Here k indicates cluster type 1 or 2;  $n$  indicates a date (i.e. during an event) and  $i,j$  is a grid point in  
224 the longitude, latitude domain. The summations are over all grid points in the domain.  $q$  is the  
225 variable during an individual event while  $Q$  is the corresponding variable in the cluster mean field  
226 calculated from the NNRA1 data. There are three combinations of variable, level and time before  
227 onset, hence three projection coefficients for each event and cluster type. The three projections are  
228 averaged to obtain an average projection for each event and cluster type. The pair of average  
229 projections for each event can be drawn on a scatter plot. The larger, positive projection determines  
230 the assigned cluster in most events. However, if the average projection onto one cluster differs by  
231 less than 0.30 from the average projection on the other cluster or if both projection coefficients are  
232 negative, the event is assigned to the ‘mixed’ category. The method discussed thus far is used only  
233 to determine the cluster *type* of an event. The strength of the event is measured with the LSMPi  
234 value described next.

235

### 236 **2.4. Updating a Large Scale Meteorological Pattern Index (LSMPi)**

237

238 Grotjahn (2011, 2013, 2016) introduced a “circulation index” (Ci) that measures how similar a  
 239 pattern on a given day is to the heat wave composite pattern in corresponding variables. The Ci in  
 240 Grotjahn (2011) uses the temperature anomaly at 850 hPa (Ta850) and meridional wind anomaly at  
 241 700 hPa (Va700) values averaged over the event onset dates (labeled ‘target composites’).  
 242 Corresponding daily fields are projected (un-normalized and separately) onto the target composites  
 243 of Ta850 and Va700 in regions that are highly consistent between ensemble members. The Ci was  
 244 an optimal weighted combination of these two projections each day. ‘Extreme’ dates were the  
 245 hottest 1% of the Tnamax values during the entire data record. The levels and variables were chosen  
 246 to match the daily climate model data available to the author at that time. Later work, such as  
 247 GL2016, used different levels, variables, and regions to do the projections and also use more  
 248 stations in the CCV surface maximum temperature average; again, the choices were dictated by  
 249 available data and optimized matching.

250  
 251 This study improves upon this Ci definition. To distinguish this new index from the earlier one, it is  
 252 labeled the LSMP index, or LSMPi. The following approaches are used:  
 253 (i). Use only data on the heat wave onset date  
 254 (ii). Focus on regions with high consistency (measured by the ‘sign count’; Grotjahn, 2011)  
 255 (iii). Focus on simple-shaped regions with anomaly extrema (relative maxima and minima) that are  
 256 also common to both cluster types  
 257 (iv). Test spatially-varying weighting proportional to the sign count.

258  
 259 The LSMPi variables and the regions used are these:  
 260 Temperature anomaly, Ta at 850 hPa (i.e. Ta850), in region 128-119W, 29-46N  
 261 Meridional wind component anomaly, Va at 500 hPa (=Va500), in region 142-132W, 37-51N  
 262 Zonal wind component anomaly, Ua at 500 hPa (i.e. Ua500), in region 128-111W, 28-37N

263  
 264 A scatter plot can compare the LSMPi values with those CCV-average avTnamax values for all  
 265 4880 days of summer (1971-2010) from the NNRA1. Similar plots are in Grotjahn (2013) and Katz  
 266 and Grotjahn (2014).

267  
 268 The LSMPi was computed using a simple projection of the daily observed field onto the  
 269 corresponding target composite field over the indicated regions. The match between LSMPi and  
 270 avTnamax values on dates of extreme avTnamax was improved by including weights in the  
 271 projection calculation, where the weights,  $w_{i,j}$  are proportional to the sign count at each location.  
 272 Thus, grid points in the region where the anomaly signs are more consistent between past events are  
 273 given more weight. And grid points with smaller sign count are given less weight when used in the  
 274 projection calculation. The following equation is used to calculate the LSMP index for the 850hPa  
 275 temperature.

$$I_{w,n}(T850) = \frac{\sum_i \sum_j w_{i,j} \bar{T}_a(i,j) \cdot T_a(i,j,n)}{\sum_i \sum_j w_{i,j} \bar{T}_a(i,j)^2}$$

276 Where:  $I_{w,n}(T850)$  is a weighted, normalized projection for a specific day  $n$  based on the  
 277 temperature anomalies at 850hPa level;  $i$  and  $j$  are the longitude and latitude pointers respectively.

278 The summations are over the ranges of  $i$  and  $j$  for the specified regions (above) over which the  
 279 projection is made.  $T_a(i, j, n)$  is the anomaly value of the temperature for that specific day  $n$  and grid  
 280 point  $(i, j)$ .  $\bar{T}_a(i, j)$  is the corresponding target composite at that particular grid point calculated  
 281 from the onset dates of the 32 events. The weight  $w_{i,j}$  is the same as the sign count at that grid point  
 282 calculated from the 32 onset events. Analogous indices using each velocity component were also  
 283 calculated from projections over their respective regions defined above.

284  
 285 The weights were adjusted to optimize the LSMPi match for extreme avTnamax values.  
 286 The circulation index is defined as,  $LSMPi = w1 * I_{w,n}(T850) + w2 * I_{w,n}(V500) + w3 * I_{w,n}(U500)$  where  
 287 V500 (U500) is the 500 hPa meridional (zonal) wind anomaly. Here the  $w1$ ,  $w2$ , and  $w3$  weights  
 288 are constrained such that  $w1 + w2 + w3 = 1$ . To optimize the weighting, the root mean square  
 289 difference between avTnamax and LSMPi for each weight combination of  $w1$ ,  $w2$ , and  $w3$  was  
 290 calculated. All possible combinations (in 0.01 increments) were tested. An optimal combination  
 291 ( $w1=0.68$ ,  $w2=0.02$ ,  $w3=0.30$ ) minimized the root mean square difference between the LSMPi  
 292 value and the avTnamax value over the summers.

293  
 294 These LSMPi values are compared against avTnamax values using scatter plots (shown later). In  
 295 addition, the distribution of LSMPi values for all days are binned then fit with a curve using the  
 296 Johnson system (Johnson, 1949) for all days in every group of 40 summers. Estimation of the  
 297 Johnson parameters is done from quantiles. The procedure of Wheeler (1980) is used. From these  
 298 fitted curves, we show how the distributions of LSMPi values change between the Hh, CMIP5\_Ff,  
 299 and the CMIP5\_Fh cases.

## 301 2.5. Determining Extreme Event Skill

302  
 303 This work focuses on extreme events. Hence, some metrics from matching event avTnamax with  
 304 LSMPi extreme values are calculated:

- 305 1. The avTnamax that corresponds to the 95th percentile is called Ts95
- 306 2. A cubic polynomial regression line fits only dates when the CCV stations mean (avTnamax)  
 307 is  $\geq Ts95$
- 308 3. That regression line defines the LSMPi value corresponding to Ts95 and is called LSMPi-  
 309 Ts95 (LSMPi-Ts95 varies for different combinations of T850, V500, and U500).

310  
 311 Some standard metrics are based on these contingency table quantities:

- 312 1. Number of points  $N_{all}$  where either  $LSMPi \geq LSMPi-Ts95$  or the avTnamax is  $\geq Ts95$
- 313 2. Number of points  $N_s$  where  $LSMPi \geq LSMPi-Ts95$  and avTnamax is  $\geq Ts95$  (these are  
 314 forecast successes)
- 315 3. Number of points  $N_u$  where avTnamax is  $\geq Ts95$  and  $LSMPi < LSMPi-Ts95$  (LSMPi  
 316 is a bust because an event is occurring by this measure but the LSMPi value is below the  
 317 threshold to signal an event)
- 318 4. Number of points  $N_o$  where  $LSMPi \geq LSMPi-Ts95$  and avTnamax is  $< Ts95$  (LSMPi is a  
 319 bust because it exceeds the threshold to signal an event but the avTnamax values are not

320 high enough to indicate an event)

321

322 The contingency table provides standard indices like FAR (false alarm ratio) and POD (probability  
323 of detection). Other researchers have used these indices to detect rare events (Stephenson et al.  
324 2008, Marzban 1998).  $FAR = N_o / (N_o + N_s)$  while  $POD = N_s / (N_u + N_s)$  It is best if the false  
325 alarm ratio is low and the probability of detection is a high value.

326

## 327 **2.6. Determining Weighted Model-mean Weights**

328

329 The models are not equally adept at capturing the number and intensity of heat wave events in the  
330 historical period (e.g. GL2016). So, a model-mean should not weight each model simulation  
331 equally. Various methods were tested to devise an objective weight for each model's contribution to  
332 the weighted model-mean. The Kolmogorov-Smirnov test measuring the distance between  
333 cumulative distribution functions (found by the Johnson method) proved unsatisfactory, as some  
334 models that matched NNRA1 data less well were ranked better than other models that matched  
335 NNRA1 properties better. Several measures of error in Wehner (2013) were tested (with the weight  
336 proportional to the inverse of the error) but the weights were similarly unsatisfactory. Since the  
337 multi-model average is used to estimate some basic properties of extreme events, such as their  
338 intensity, frequency, and distribution of high values, then metrics of those properties are used. The  
339 weighting scheme selected uses four, squared, inverse, normalized, model-relative, differences. The  
340 difference in variable 'v' for model 'm',  $d_{v,m}$ , is the model value minus NNRA1 value divided by  
341 the NNRA1 value of the variable. The inverse of  $d_{v,m}$  is used but normalized by the sum of the  
342 inverse  $d_{v,m}$  values from all models, meaning that the weight is dependent upon the relative  
343 corresponding values of other models. Hence, the scheme adapts to the 'competition' by the other  
344 models used to compose the multi-model mean. The inverse is defined as

345  $b_{v,m} = (1 / d_{v,m}) / \left\{ \sum (1 / d_{v,l}) \right\}$  where the summation is over all the models 'l', including model 'm'.

346

347 The four variables for each model  $m$  are: 1) LSMPi mean divided by its standard deviation; 2) the  
348 number of days with LSMPi >1 divided by the total number of days; 3) the value of the shape  
349 parameter from a generalized Pareto (GP) fit; 4) the value of the scale parameter from the GP fit.  
350 These variables are from the 40 year historical period and the weights are assumed to hold for all  
351 future periods. The  $b_{v,m}$  values for each of the variables are combined to get a root mean squared  
352 total,  $S_m$  as:  $S_m = \sqrt{b_{1,m}^2 + b_{2,m}^2 + b_{3,m}^2 + b_{4,m}^2}$ . The final model weight  $W_m$  is defined relative to other  
353 models by dividing by the sum of the corresponding 'S' from every model 'l':  $W_m = S_m / \sum S_l$ .

354 Therefore, all the  $W_m$  values sum to one.

355

## 356 **2.7 LSMP pattern metrics**

357

358 Four metrics are calculated to assess how similar each model's LSMP is to the corresponding  
359 reanalysis LSMP.

360

361 The LSMP is the ensemble mean of a meteorological field at the onset of all the heat waves in the  
 362 reanalysis and model 40-year historical periods. Bias ( $B_{v,m}$ ) and percent error ( $PE_{v,m}$ ) for variable  
 363 ‘v’ as the temperature anomaly at 850 hPa and model ‘m’ are:

364  
 365

$$366 \quad B_{Ta850,m} = \frac{\sum_i^N \sum_j^M |w_{i,j} C_j| (MT_{i,j,m} - RT_{i,j})}{\sum_i^N \sum_j^M |w_{i,j} C_j|}, \quad PE_{Ta850,m} = 100. \frac{\sum_i^N \sum_j^M |w_{i,j} C_j| |MT_{i,j,m} - RT_{i,j}|}{\sum_i^N \sum_j^M |w_{i,j} C_j| RT_{i,j}}$$

367

368 where  $1 \leq i \leq N$  is the range in longitude,  $1 \leq j \leq M$  is the range in latitude,  $C_j = \cos(\varphi_j)$  where  $\varphi_j$  is  
 369 the latitude (in radians) of each grid point,  $W_{i,j}$  equals the sign count for the reanalysis ensemble,  
 370  $RT_{i,j}$  is the value of the *reanalysis* ensemble mean at the point  $i,j$  (an average of the 32 events, here),  
 371 and  $MT_{i,j,m}$  is the value of the *model ‘m’* ensemble mean at the point  $i,j$  (an average of however  
 372 many events that model ‘m’ had). The units of  $B_{Ta850,m}$  are K. These quantities are used to assess the  
 373 hot anomaly centered quite close to the area of interest.

374

375 Two measures of the structure of the larger portion of the LSMP are the pattern correlation ( $Cor_{v,m}$ )  
 376 ) and reanalysis projection ( $Pr_{v,m}$ ). These quantities are defined for the 850 hPa temperature  
 377 anomaly as:

378

$$379 \quad Cor_{T850,m} = \frac{\sum_{i=istrt}^{iend} \sum_{j=jstrt}^{jend} \left\{ (MT_{i,j,m} - \overline{MT}_{i,j,m}) (RT_{i,j} - \overline{RT}_{i,j}) \right\}}{\left\{ \sum_{i=istrt}^{iend} \sum_{j=jstrt}^{jend} \left( (MT_{i,j,m} - \overline{MT}_{i,j,m})^2 (RT_{i,j} - \overline{RT}_{i,j})^2 \right) \right\}^{\frac{1}{2}}}, \quad Pr_{T850,m} = \frac{\sum_{i=istrt}^{iend} \sum_{j=jstrt}^{jend} \{ MT_{i,j,m} RT_{i,j} \}}{\sum_{i=istrt}^{iend} \sum_{j=jstrt}^{jend} \{ RT_{i,j} \}^2}$$

380

381 The overbar indicates the average value for all the points in the domain. The domain used for this  
 382 variable is much broader and captures more of the LSMP. For this variable, the domain  
 383 encompasses the large hot anomaly (centered off the northern California coast) and the cold  
 384 anomalies flanking it to the west and east. Since the domain includes hot and cold anomalies, the  
 385 overbar terms tend to be small.

386

387

### 388 3. Results

389

#### 390 3.1. Model Representation of the Primary LSMP

391

392 The LSMP that contributes most strongly to the indices is in the temperature anomaly at 850 hPa.  
 393 Accordingly, how well the models capture this pattern at heat wave onset is a primary indicator of  
 394 how well the models do in simulating California heat waves. Table 1 lists bias, percent error,

395 pattern correlation, and pattern projection of each model's ensemble mean relative to the ensemble  
396 mean of the reanalysis as described in section 2.7.

397  
398 The bias and percent error are calculated over a small region (128W-119W by 29N-46N) designed  
399 to capture the larger and more consistent (as measured by sign count, Grotjahn, 2011) hot anomaly.  
400 As discussed in Grotjahn (2011) this anomaly sets up pressure and wind fields to oppose  
401 penetration inland of a cooling sea breeze. Many models have a negative bias meaning their  
402 temperature anomaly is not hot enough, though two models have a positive bias. The percent error  
403 varies from about 10% to nearly 40%. Higher resolution does not guarantee lower bias and percent  
404 error.

405  
406 The pattern correlation and projections extend over a large region (175W-95W by 20N-60N) that  
407 captures the stronger pattern of cold-hot-cold anomalies that extends from near the date line to the  
408 middle of North America. The pattern correlations range from 0.93 to 0.72 with 8 models having  
409  $Cor_{Ta850,m} \geq 0.9$ . Hence, the models are doing an excellent job of capturing not just the hot anomaly  
410 but the cold anomalies upstream and downstream. (Interested readers can see plots of this LSMP for  
411 several models in the supplementary notes.) While the correlation describes the pattern, the  
412 projection includes additional information about the magnitude of the anomaly in the model. The  
413 projections have a broader range than the correlations. Most models have projection less than one,  
414 consistent with their cold bias. Models with larger negative (cold) biases have projections notably  
415 less than their correlations. The models with positive (warm) biases have projections that exceed  
416 the correlations. Higher resolution only partly yields better pattern match. For example, the bcc  
417 models have two quite different resolutions, both models have positive bias, the higher resolution  
418 model has larger pattern correlation, but the bias pushes the lower resolution model to a higher  
419 projection.

420

### 421 **3.2 Past and Future Event Number and Duration**

422

423 The purpose of this section is to discuss how the climate model heat wave events change between  
424 historical and future climate simulations. For this analysis, we compare the CMIP5\_Hh and the  
425 CMIP5\_Fh and Ff cases.

426

427 Heatwave definitions include a minimum duration of extremely hot days (Grotjahn, 2011). Figure 1  
428 is a histogram of consecutive days above the threshold (specified in section 2.2). Not surprisingly,  
429 longer durations are less common than shorter durations above the threshold. For the CMIP5\_Hh  
430 case, almost all the higher resolution models (Figure 1a) do a reasonable job simulating the  
431 distribution found in the reanalysis data. Most of the coarser resolution models generally tend to  
432 overestimate the duration of events (Figure 1b).

433

434 Not surprisingly, Figure 1 shows that heat wave durations increase in the future simulations when  
435 using each model's historical threshold (Fh cases), and more so for RCP 8.5 data. For example, in  
436 the HADGEM2-CC model RCP8.5 Fh scenario, heat wave events that last for 5 days are more

437 common than heat wave events lasting 3 or 4 days and there are three times as many events as in  
438 the model's Hh data. Inmcm4 and NorESM1-M have large numbers of events in the CMIP5\_Fh  
439 cases, but these models also have a many more events in their CMIP5\_Hh data than are present in  
440 the reanalysis. Other models have between three and four times as many extreme heat wave events  
441 in Fh versus Hh data. Table 2 lists the total number of events for each scenario by each model as  
442 well as the weighted model mean.

443  
444 Most models examined have increased average duration. In the CCSM4 model, the RCP8.5\_Fh  
445 events are, on average, 2.6 days longer than for Hh simulations while the RCP4.5\_Fh events are 1.3  
446 days longer; these averages are over 6 ensemble runs in each case. For the bcc-csm1-1-m model,  
447 the increase of average duration is 0.5 days in the RCP4.5 Fh case, but 2.5 days in the RCP8.5 Fh  
448 case. HadGEM2-CC has a much larger change in average duration: 2.3 days for RCP4.5 and 5.9  
449 days for RCP8.5. A few models (notably the MIROC models) show much longer increases in  
450 average event duration.

451  
452 In comparing the Hh and Ff cases, there is generally little change in the average duration or the  
453 general shape of the histograms, especially for models having more than one ensemble member  
454 (CCSM4 with 6 ensemble members; HadGEM2-CC with 3 ensemble members). Hence, the  
455 frequency and duration of the weather patterns, i.e. the LSMPs producing the heat waves are likely  
456 little-changed from their historical values. This point is developed further below.

457  
458 The longest events are in general between 7-10 days in the higher resolution models in Hh  
459 simulations. For all models the longest event becomes longer in each future simulation, typically  
460 doubling (or more) in length for RCP4.5 Fh cases and tripling (or more) for RCP8.5 Fh cases. The  
461 longest day has increased from Hh to RCP8.5 Fh by 20 days in CCSM4 and to 45 days in  
462 HadGEM2-CC. Comparing RCP8.5 Ff to RCP8.5 Fh the longest day has increased by about 18  
463 days in CCSM4 and 41 days in HadGEM2-CC. The longest day between Hh and RCP8.5\_Ff  
464 increases in 8 out of the 13 models. The longest day has increased more than three times in CCSM4  
465 and more than six times in the HadGEM2-CC for the CMIP5\_Fh RCP8.5 scenario. However the  
466 increase in the CMIP5\_Fh for the RCP4.5 scenario is about two times for CCSM4 and 3 times for  
467 HadGEM2-CC. However, comparing Hh and Ff cases finds little difference in the length of the  
468 longest events (similar to the average duration results).

469  
470 Similar histograms are shown in Grotjahn (2016) who uses durations above one standard deviation  
471 for Hh and Fh simulations by CCSM4. The threshold he used is lower than the threshold used here.  
472 He found RCP8.5 durations to be most common at four and five days, a histogram structure  
473 different than found for CCSM4 here, but similar to the result for HadGEM2-CC. He also found the  
474 number of events declines more slowly for longer durations than shown here. His results are  
475 consistent with the a general warming comparable to one standard deviation, but much less than the  
476 95<sup>th</sup> percentile used here.

477

478 A June-September climatology shows a linear type increase of the zonal wind and temperature  
479 anomalies in the projection domain between the RCP4.5 and the RCP8.5 simulations. But, there is  
480 not a clear increase in the events from the RCP4.5 to RCP8.5 simulations. Some RCP8.5  
481 CMIP5\_Fh simulations (CCSM4, bcc-csm1-1-m, CNRM-CM5, and inmcm4, GFDL-ESM2G and  
482 GFDL-ESM2M) do increase the number of events from the RCP4.5 to the RCP8.5 simulations.  
483 However, the other models (including coarser resolution models MIROC-ESM, MIROC-ESM-  
484 CHEM and FGOALS-g2) have fewer heat events in RCP8.5 than RCP4.5, contrary to one's  
485 expectation.

486  
487 The multi-model weighted average number of events is also included in Table 2. The numbers of  
488 events are essentially the same between Hh and Ff simulations (35.6 and 36.3 respectively) and are  
489 similar the reanalysis number of 32. However, the number of events using historical thresholds in  
490 the future (Fh data) is four times as large for RCP8.5 simulations. The average duration in the  
491 multi-model average is 4.19 d for Hh, 4.35 d for RCP8.5\_Ff, and 8.73 d for RCP8.5\_Fh  
492 calculations. Again, the Hh and Ff values are similar to the reanalysis number (4 d) but using  
493 historical thresholds, the duration is more than twice as long on average.

494

### 495 **3.3. Past and Future Number of Events by Cluster Type**

496

497 Most heat wave events have LSMPs that cluster into one of two types. However, a few events are  
498 not clearly of either type and are designated as 'mixed' type using the projection methodology  
499 described in section 2.3. The average projection values for each pair of cluster types for each event  
500 are shown as scatter plots in Figure 2. The projection method was developed for the NNRA1 data  
501 (which match corresponding values for ERA-Interim data as a check). The NNRA1 data in Figure 2  
502 nicely separate events along a line between the two clusters, with one mixed type. The NNRA1 data  
503 show that if an event projects strongly on one cluster type, then that event projects weakly or  
504 negatively the other cluster type. Although simulated historical heat waves in the models are not so  
505 neatly along a line, most model events separate into one of the two types in a way that is similar to  
506 the reanalysis result. As noted in Table 2, the models vary a bit in terms of their relative fractions of  
507 type 1, 2, or mixed. The models do tend to have more mixed events, but the proportion of events in  
508 each type is not much different than the reanalysis for most models.

509

510 The projection procedure was applied to the RCP4.5 and RCP8.5 simulations using historical  
511 thresholds (Fh). These data are not plotted but the numbers of events of each type are included in  
512 Table 2 for the RCP8.5 simulations. The greater number of events in the future using historical  
513 thresholds is not evenly split between the two cluster types but is disproportionately found in type  
514 2. Cluster type 2 is characterized by a preexisting hot anomaly in southwestern Canada, but the  
515 future climatology in the models is several degrees warmer than historically, especially over the  
516 contents and extending over the adjacent oceanic areas. (Interested readers can see the CCSM4  
517 future climatology in the supplemental materials.) The domain used for the cluster type designation  
518 has a cool anomaly for type 1 and a warm anomaly for type 2 at 850 and 500 hPa. Hence, the future  
519 climatology alone favors the type 2 projection.

520

521 As noted, the future climatology (Ff) has a similar number of events as in the historical period. The  
522 split between the two types changes between historical (Hh) and future (Ff) simulations in the  
523 models. In the CCSM4 and MIROC-ESM-CHEM models type 2 events double and type 1 are  
524 fewer. In contrast, the CNRM-CM5 model has half as many more type 1 but fewer type 2 events.  
525 Other models change the balance between event types between these extremes. The balance  
526 between the two event types in RCP4.5 simulations are similar though some models have opposite  
527 changes compared to RCP8.5 results. The models do not show a systematic change. Thus, the  
528 multi-model average in the future (Ff) is very similar to the recent past (Hh). In short, neither  
529 cluster type LSMP is more common in the future.

530

531 The Hh, Fh, and Ff results taken together indicate that the amount of variability is not obviously  
532 changed but that the increase in heat waves (based on historical thresholds) is due primarily to a  
533 change in the climatology, i.e. to the ‘global warming signal’.

534

535 As discussed above, the number of events does not consistently increase from RCP4.5 to RCP8.5  
536 Fh simulations. This is also the case for the number of events in both cluster types when comparing  
537 Ff thresholds. When comparing Fh thresholds most models have an increase of type 2 between  
538 RCP4.5 to the RCP8.5 simulations; the exceptions are: HadGEM2-CC, GFDL-CM3, and the  
539 MIROC models.

540

### 541 **3.4. Past and Future Cluster Strength**

542

543 The strength of each event is measured by the largest avTnamax that occurs during the event. These  
544 largest avTnamax values can be further stratified by the cluster type. Figure 3 shows the evolution  
545 of event strength by cluster type over each 40-year period. As above, cases with anomalies defined  
546 using historical climate means are designated Hh and Fh, while anomalies defined from future  
547 climate means are labeled Ff.

548

549 The large future increase of cluster type 2 events in the Fh results is immediately obvious in the  
550 preponderance of blue symbols. The increased strength of events and the increased number of  
551 cluster 2 events in the future (using historical means) are both easily seen.. In general, most models  
552 tend to have very similar scatter in the Hh and Ff panels. But, within the Ff panels, the number of  
553 events per decade increases towards the end of the period for most models, especially for RCP8.5.  
554 The HadGEM2-CC model’s historical preference for cluster type 1 trends towards more type 2  
555 events in the RCP8.5\_Ff panel. However, CNRM-CM5 maintains its preference for type 1 events in  
556 Ff panels.

557

558 Since avTnamax values are normalized by the standard deviation, the peak values of those future  
559 temperatures in some models are quite high. For RCP8.5\_Fh, CCSM has a half-dozen events  
560 exceeding four standard deviations above the historical mean. Similar results are found for other  
561 models, including the other four highest resolution models, plus NorESM1-M and GFDL-CM3.  
562 The other two GFDL models and FGOALS-g2 do not have quite as strong events. The remaining

563 models, especially the MIROC models have stunningly high peak average temperatures as  
564 numerous events exceed 5 standard deviations and in the MIROC-ESM model two events exceed  
565 eight standard deviations above the historical mean. The MIROC models and to a lesser degree the  
566 bcc-csm1-1 results are consistently different from the other models in having larger scatter and  
567 extreme avTnamax values in historical as well as future climatological situations.

568

### 569 **3.5. Past and Future LSMP Index Distributions**

570

571 Each panel in Figure 4 compares the LSMP index (LSMPi) with the extreme values of the CCV-  
572 average surface temperature (avTnamax) at the corresponding time. These panels are similar to  
573 Figure 4 in Grotjahn (2013) and Figure 1 in Katz and Grotjahn (2014). Contingency table scores:  
574 false alarm ratio (FAR) and the probability of detection (POD) are included in each panel. FAR and  
575 POD are defined as in ‘binary’ weather forecasting. FAR is the number of ‘false alarms’ divided by  
576 the sum of the ‘hits’ plus false alarms. A ‘hit’ is where the avTnamax values are above the 95%  
577 threshold and the LSMPi is above the regression curve value for that avTnamax threshold, i.e. both  
578 quantities indicate a heat wave. A ‘false alarm’ is where the LSMPi value is above its threshold but  
579 the avTnamax is not. The POD is the number of hits divided by the sum of the hits plus misses. A  
580 miss is where the avTnamax is above its threshold but the LSMPi is not. A better match between  
581 LSMPi and avTnamax is when FAR is smaller and POD is larger. FAR and POD both range from 0  
582 to 1.

583

584 The LSMPi was developed to best fit avTnamax on the few onset dates of heat waves using the  
585 NCEP-NCAR reanalysis data. The climate models also have a strong correspondence between high  
586 LSMPi and high avTnamax. Nearly all models outperform the reanalysis judging from the FAR and  
587 POD values. Collapsing the relationship to a regression curve (Figure 4) shows that the relationship  
588 between LSMPi and avTnamax varies between models. Most models have a nearly linear  
589 regression curve meaning the match between LSMPi and avTnamax extends from moderate to high  
590 values of avTnamax. Such models that show a consistent LSMPi to avTnamx relationship for very  
591 high temperatures reinforce applying LSMPi to future climate simulations. However, MIROC-  
592 ESM models have a large spread of low LSMPi values during high avTnamax dates while the  
593 inmcm4 model has a large range of avTnamax values for high LSMPi dates, both situations reduce  
594 the match between the two quantities; but since both situations do not occur together in these  
595 models, their FAR and POD scores are better than for the reanalysis..

596

597 Figure 5 shows the historical and future distributions of LSMPi>1 values. This figure is similar to  
598 Figure 7 in GL 2016, but the figure here shows all the extreme values not just LSMPi values on the  
599 onset days. The NCEP-NCAR reanalysis distribution is plotted in every panel as a blue dotted  
600 curve. The historical simulations (dotted red curves) seem to underestimate the standard deviation  
601 of the LSMPi distribution in several models, especially CCSM4, NorESM1-M, the MIROC models,  
602 and FGOALS-g2. However, the bcc models, CNRM-CM5, and HadGEM2-CC values match the  
603 reanalysis very well on this high tail of the distribution.

604

605 Figure 5 shows future scenarios using both historical (Fh) and future (Ff) climatologies to define  
606 anomalies. As mentioned above, the number of events and relative strength of the events are very  
607 similar between the Ff and Hh results. Ff and Hh distributions in Figure 5 also have highly similar  
608 high tails, though some models differ from this general conclusion. HadGEM2-CC and the GFDL  
609 models have notably less probability density values in Ff than in Hh results for both RCP scenarios.  
610 Model inmcm4 has less density for RCP8.5 than either historical or RCP4.5 results. Since there are  
611 many more heat waves that last longer in the future when using historical thresholds, the Fh curves  
612 in Figure 5 are systematically shifted to higher values relative to the Hh and Ff curves.  
613 Superficially, the RCP8.5 and RCP4.5 distributions (dashed line curves) appear to be approximately  
614 parallel with the historical curves. The RCP8.5 Fh curve is less steep for the CNRM-CM5 and  
615 GFDL-CM3 model results. The RCP8.5 Fh curve is steeper for the bcc-csm1-1-m, inmcm4,  
616 NorESM1-M, and GFDL-ESM2M models. Grotjahn (2016) found an increasingly negative skew  
617 during the 21<sup>st</sup> Century for an index similar to the LSMPi applied to CCSM4 output; but this is  
618 harder to see in Figure 4 because so little of the LSMPi range is shown.

619  
620 Some qualitative impressions from Figure 5 can be made quantitative by looking at the scale and  
621 shape parameters from a Generalized Pareto distribution (GP) fit to the data shown in Figure 5. The  
622 GP scale parameter (Figure 6a) varies by  $\sim 0.1$  between models relative to the multi-model mean  
623 and the reanalysis value (0.32). The direction of the change in GP scale between cases is generally  
624 consistent. Except for the CNRM-CM5 and inmcm4 models: the scale increases for RCP4.5\_Fh and  
625 even more for RCP8.5\_Fh. The amount of increase varies greatly between models. However, the  
626 multi-model average is a third larger for RCP4.5 and more than half again larger for RCP8.5 Fh.  
627 The GP shape parameter is negative for the reanalysis and nearly all cases by all the models.  
628 Negative shape means the tail is unbounded. The models are not consistent about the change of GP  
629 shape between the cases. Because the shape results are so broad that parameter is not shown (but  
630 shape is plotted in the supplemental materials for an interested reader).

631  
632 Return value also provides information on the distribution's high tail and is shown in Figure 6b.  
633 The 20-year return value may be interpreted as that value having a 5 % chance of being exceeded in  
634 any particular year. The return values of CMIP5\_Ff cases are generally very close to the Hh  
635 historical values for each model ( $LSMPi = 1.3-2$ ). The differences between Fh and Hh values fall  
636 within the error bars and are smaller than the range among the models. So again, the large scale  
637 pattern for the heat wave is not occurring more intensely in the future if one uses the future  
638 climatology to define the anomalies. The return values for Fh cases are systematically  $>50\%$  larger  
639 than the historical values ( $LSMPi = 2-2.8$ ). The multi-model averages are 1.76 for Hh, 2.14 and  
640 2.24 for RCP4.5 and 8.5, respectively. As Figure 4 shows, different models have a different relation  
641 between  $LSMPi$  value and corresponding near surface temperature. For many models  $LSMPi$   
642 increases more slowly than temperature; so,  $LSMPi$  20-year return values  $>2$  imply very high if not  
643 unprecedented surface temperatures.

644  
645 A broad estimate of the surface temperatures that correspond to 50 highest  $avT_{max}$  values in  
646 each model is shown in Figure 6c. The estimate is calculated as follows. The average of the 50

647 highest avTnamax values is found for each case and model. Each average is multiplied by delT =  
648 3.97K. This delT is the value used to normalize the temperature anomalies on average for the CCV  
649 stations during summer. The difference between this future climate value and the historical value  
650 for the model is plotted in Figure 6c. Relative to future climatology (blue and red dots), the models  
651 vary about zero, consistent with other results shown above. The future simulations relative to  
652 historical values finds a consistent increase that is larger for RCP8.5\_Fh. The amount of increase  
653 ranges from 2 to 8K for RCP4.5\_Fh and 4 to 11K for RCP8.5. The multi-model averages are: 3.3  
654 and 6 for RCP4.5 and 8.5, respectively.

655  
656 Inspection of Figure 3 has qualitative evidence for a trend of increasing number of events within  
657 each time period for several models and especially the Hh and RCP8.5 Ff groupings. A simple  
658 quantitative metric for such a trend (Figure 6d) is to subtract the average of the 30 highest  
659 avTnamax values in the first 20 years from the corresponding average over the last 20 years of each  
660 period. There is no clear trend in the RCP4.5 data, but most models do have increasing avTnamax  
661 in their historical and RCP8.5 data. Grotjahn (2016) showed similar results for CCSM4 data and  
662 slightly different comparison periods. The multi-model average trends are 1 for Hh, and 1.4 (1.5)  
663 for RCP8.5 Ff (Fh).

#### 664 665 666 **4. Summary**

667  
668 This report focuses on how general properties of CCV heat wave events change for two future  
669 scenarios simulated by 13 climate models. Future climate results are shown using anomalies  
670 defined relative to either historical climatology ('Fh' data) or the climatology of the future period  
671 ('Ff' data). The future scenarios are RCP4.5 and RCP8.5. The future simulations by each model are  
672 compared against the historical simulations ('Hh' data) by the model to detect relative changes.  
673 LG2016 discovered two types of CCV heat wave patterns leading up to onset while GL2016  
674 showed that these climate models develop both types. There are thus five such groupings of model  
675 output between one Hh, and two scenarios each of Fh and Ff data. Each of these five categories can  
676 be further split into the two cluster types. NCEP-NCAR reanalysis data for a period of the same  
677 (40-year) length are used for comparison.

678  
679 The heat wave type and intensity can be related to the upper air large scale meteorological patterns  
680 (LSMPs) as shown in our prior publications. Previous work (LG2016) showed that these data yield  
681 LSMPs that are essentially the same as those for two other reanalyses. This work improves upon the  
682 the LSMP-based schemes: on heat wave intensity (GL2016) and on heat wave type (LG2016). As  
683 demonstrated in these prior works, the use of an index like the LSMPi provides a compact and  
684 accurate way of characterizing the larger scale weather pattern developed by a model during a heat  
685 wave. To make surface maximum temperatures intercomparable, they are normalized by the local  
686 standard deviation and averaged over the CCV; the result is labelled 'avTnamax'. A strong  
687 relationship exists between the daily LSMPi and avTnamax values. The link is obvious in scatter  
688 plots and a corresponding regression curve is calculated for each model. The connection between

689 LSMPi and avTnamax is *stronger* in the models than it is in the reanalysis. So, properties of the  
690 LSMPi characterize heat events in the models and it is useful to examine the statistics of such  
691 indices. Furthermore, how well each model's historical simulations match four statistical properties  
692 of the reanalysis LSMPi defines weights used to calculate multi-model means. The models that  
693 match the reanalysis better are given more weight in the multi-model mean.  
694

695 Similar to GL2016, most models capture the frequency of heat wave events, though some models  
696 develop twice as many heat waves. The distributions of duration are comparable to that in the  
697 reanalysis, though the models developing many more heat waves have a larger fraction of short (3-  
698 day) events. The split between event types varies between models, as noted in GL2016. In the  
699 future scenarios, when historical thresholds and climatology are used, there are many more events  
700 and their durations are much longer than in corresponding historical simulations. In terms of the  
701 cluster types, the majority of the increased events are cluster type 2 which has a pre-existing heat  
702 wave over Canada not present in cluster type 1. (An interested reader can find the pattern in  
703 LG2016 and also in the supplementary materials along with the change in future climatology for  
704 CCSM4.) In the future scenarios, the models have higher average temperatures over the continents,  
705 thereby explaining the asymmetric preference for type 2 heat waves. However, when the heat waves  
706 are defined as extremes relative to the future climatology, then the number of events and the  
707 proportion of each cluster type both are very similar to the corresponding historical values.  
708 Therefore the large scale patterns that create the heat waves are not occurring more or less  
709 frequently in the future. To the extent the measure of the LSMP (here called the LSMPi) represents  
710 the variability of the summer temperatures (as shown by Grotjahn, 2011) then the future variability  
711 is the same as in the historical simulations. That result means that the increase in heat waves and  
712 their intensity is primarily due to a warming of the average conditions. These general results are  
713 seen in all the other metrics shown.  
714

715 Other metrics include tracking the avTnamax values in models. Again, Hh and Ff data are very  
716 similar, while Fh data have many more days and higher values. How high the values reach varies  
717 greatly between models. Most models have peak avTnamax values between 2-3 standard deviations  
718 for Hh and Ff calculations while most Fh values range between 2-4 (2-5) standard deviations above  
719 the mean in RCP4.5 (RCP8.5) data. However, a few models have Fh values up to 8 standard  
720 deviations.  
721

722 The number of events is larger for RCP4.5 than RCP8.5 in six models and vice versa for the other  
723 seven. This result is not counter-intuitive since those six models have events with much longer  
724 durations than historically. Fewer events can occur in a set period of time when they last longer.  
725 For example, in the HadGEM2-CC simulations, the number of Fh events in RCP4.5 is greater than  
726 in RCP8.5, the longest event is more than twice as long (53 vs 22 days), and the average duration  
727 increases from 6.63 to 9.94 days in the RCP8.5 Fh data. Longer duration events are not consistent  
728 across the models in Ff data. Some models, like CCSM4 have slightly longer average duration in Ff  
729 than Hh data, while other models like bcc-csm1-1-m show slightly shorter average duration. So as

730 with other results, the patterns are not lasting longer than corresponding historical patterns when the  
731 future climatology is used to define them.

732  
733 The change in climatology shows up as a trend in the RCP8.5 data, but not in the RCP4.5 data.  
734 Grotjahn (2016) found a similar result for the CCSM4 model; here, there is variation between the  
735 other 12 models and no consistent trend for the RCP4.5 data *within the 2061-2100 period*. The  
736 extreme values in RCP4.5 data are consistently larger than historical values in all models, though  
737 the amount of increase varies widely, by a factor of three from the 1961-2000 values and those a  
738 century later. The RCP8.5 data increase even more and vary by nearly a factor of three, as well, for  
739 this suite of models.

740  
741 The extreme value statistics for heat waves confirm equivalent behavior between Hh and Ff data  
742 and a shift of distributions for Fh data. Multi-model averages of the Generalized Pareto scale  
743 parameter for LSMPi in Fh data show an increase (by more than 50%) and an increase of the 20-  
744 year return period LSMPi value by almost 30% in the RCP8.5 data, both are consistent with the  
745 shift of the distribution to higher values. Extreme temperatures also increase. An estimate based on  
746 historical scaling finds the multi-model average is >3C warmer for RCP4.5 and 6C hotter for  
747 RCP8.5 scenarios compared to historical conditions.

748  
749  
750

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757 by the NOAA/OAR/ESRL PSD, Boulder, Colorado, USA, from their Web site at  
758 <http://www.esrl.noaa.gov/psd/data/gridded/data.ncep.reanalysis.html>. ECMWF ERA-Interim data  
759 used in this project are from the ECMWF data server: [http://apps.ecmwf.int/datasets/data/interim-  
760 full-daily/](http://apps.ecmwf.int/datasets/data/interim-full-daily/). We acknowledge the World Climate Research Programme's Working Group on  
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765 the Global Organization for Earth System Science Portals. These model data are available from the  
766 Earth System Grid Federation at <https://esgf-data.dkrz.de/search/cmip5-dkrz/>. Data plotted here are  
767 available from the lead author and from <http://grotjahn.ucdavis.edu/EWEs>.

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#### 840 Figure Captions

841 **Figure1.** Histogram of heat waves duration (in consecutive days) for CMIP5 models for each of the  
842 groupings: Hh, Ff and Fh (both RCP4.5 and RCP8.5 scenarios). The historical period is 1961-2000  
843 while the future period is 2061-2100. Included in the figure are the length of the longest event and  
844 the average duration. For models with more than one ensemble member, each bin is divided by the  
845 ensemble size. The longest event in each ensemble member was found, added together, and then  
846 divided by the number of ensembles for that model to produce the number shown. a) Six CMIP5  
847 models with corresponding NCEP-NCAR reanalysis values for 1971-2010 shown for comparison.  
848 b) seven more CMIP5 models.

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850 **Figure 2.** Projection coefficients onto each cluster type for all heat waves in the reanalysis and the  
851 models. The projections are onto upper air variables in a specific region as detailed in the text. Red  
852 dots are events that are primarily type 1 while blue dots are primarily type 2; green dots are mixed

853 type events. These data are for 40-year historical periods. Events in all ensemble members are  
854 shown; CNRM-CM5, NorESM1-M, MIROC-ESM, both bcc, and all three GFDL models have  
855 three ensemble members; HadGEM2-CC and FGOALS-g2 have two members, and the remainder  
856 one member.  
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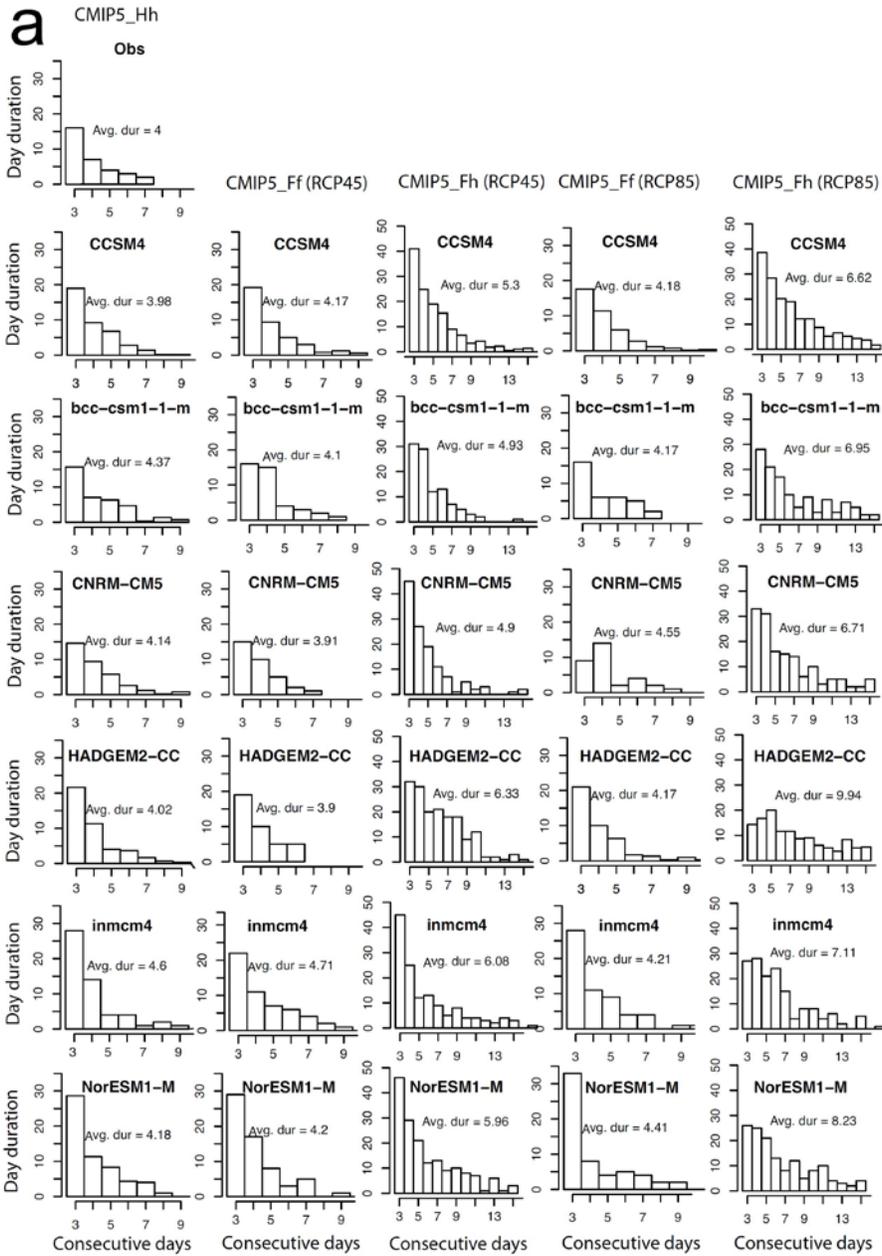
858 **Figure 3.** Maximum avTnamax temperature during each event as a function of time in each 40-year  
859 period. The peak value of each event is color-coded such that red circles are cluster type 1, blue  
860 circles designate type 2, and green circles are the mixed type. The layout of the reanalysis and  
861 model groupings matches figure 1: a) reanalysis and six models; b) seven more models. To make  
862 the results in different models and groupings comparable, only one ensemble member is used for  
863 each grouping.  
864

865 **Figure 4.** Scatterplots of daily avTnamax (abscissa) and corresponding LSMP index (ordinate) for  
866 every day of the CMIP5\_Hh simulations. The best fit curve uses the points where avTnamax is >1.  
867 Also included are the FAR (False alarm ratio) and the POD (probability of detection).  
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869 **Figure 5.** Distribution functions of LSMPi >1 for all historical (Hh) summer days (June-  
870 September). The NCEP-NCAR reanalysis (1971-2010) (blue dotted) curve is on all panels for  
871 reference. Model data are shown in a format similar to Figure 2. Red dotted curves are model Hh  
872 (1961-2000) data. Future scenarios (2061-2100) use green curves for RCP 4.5 and purple curves for  
873 RCP 8.5 data, with solid lines for Ff data and dashed lines for Fh data.  
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875 **Figure 6.** Distribution properties for the models. The black dots are Hh data, the red dots are  
876 RCP4.5\_Ff data, the blue dots are RCP8.5\_Ff data, the green dots are RCP4.5\_Fh, and the purple  
877 dots are RCP8.5\_Fh data. Corresponding values for the multi-model weighted average and the  
878 NCEP-NCAR reanalysis is also shown. a) Generalized Pareto (GP) scale parameter for the  
879 extremes in the models examined for the five groupings. The threshold for the extremes is  
880 LSMPi>1 (The LSMPi values >1 were all declustered to make the data independent prior to the  
881 calculation as recommended for GP calculations. To calculate the GP function, we have used all the  
882 ensembles available for each model. b) 20-year return values of LSMPi in the models and  
883 reanalysis. c) Temperature anomaly difference from the Hh data of the 4 groups of future scenarios.  
884 The anomaly in each group is the mean of the 50 largest avTnamax values for each group  
885 multiplied by the delT value, where delT is the magnitude of the temperature normalization  
886 averaged over the summer and all CCV stations. Here the delT value equals 3.97C. d) The trend  
887 within each grouping, calculated as the average of the 30 largest avTnamax values during the last  
888 20 years minus the corresponding values for the first 20 years. These values are also multiplied by  
889 the delT value, so these trends have units of C/20 years.  
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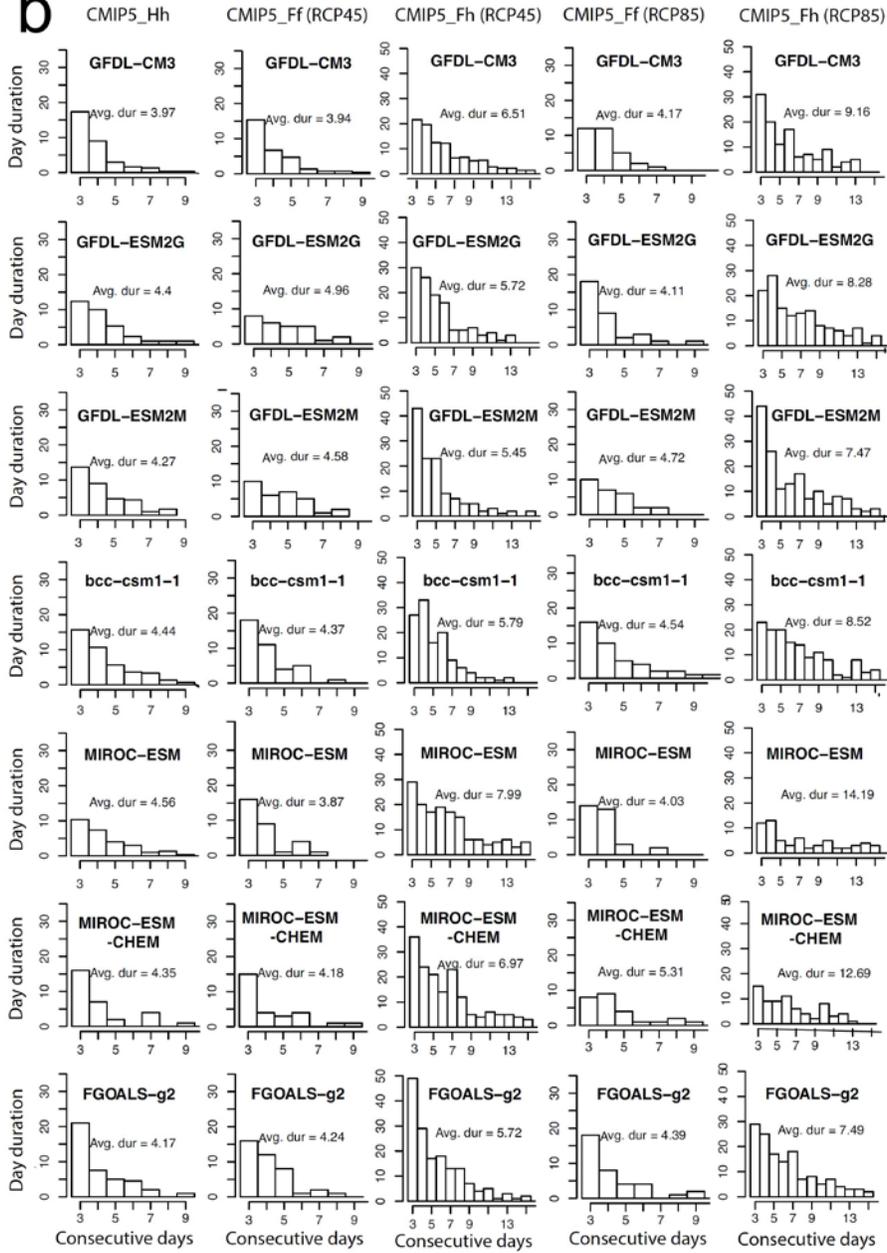
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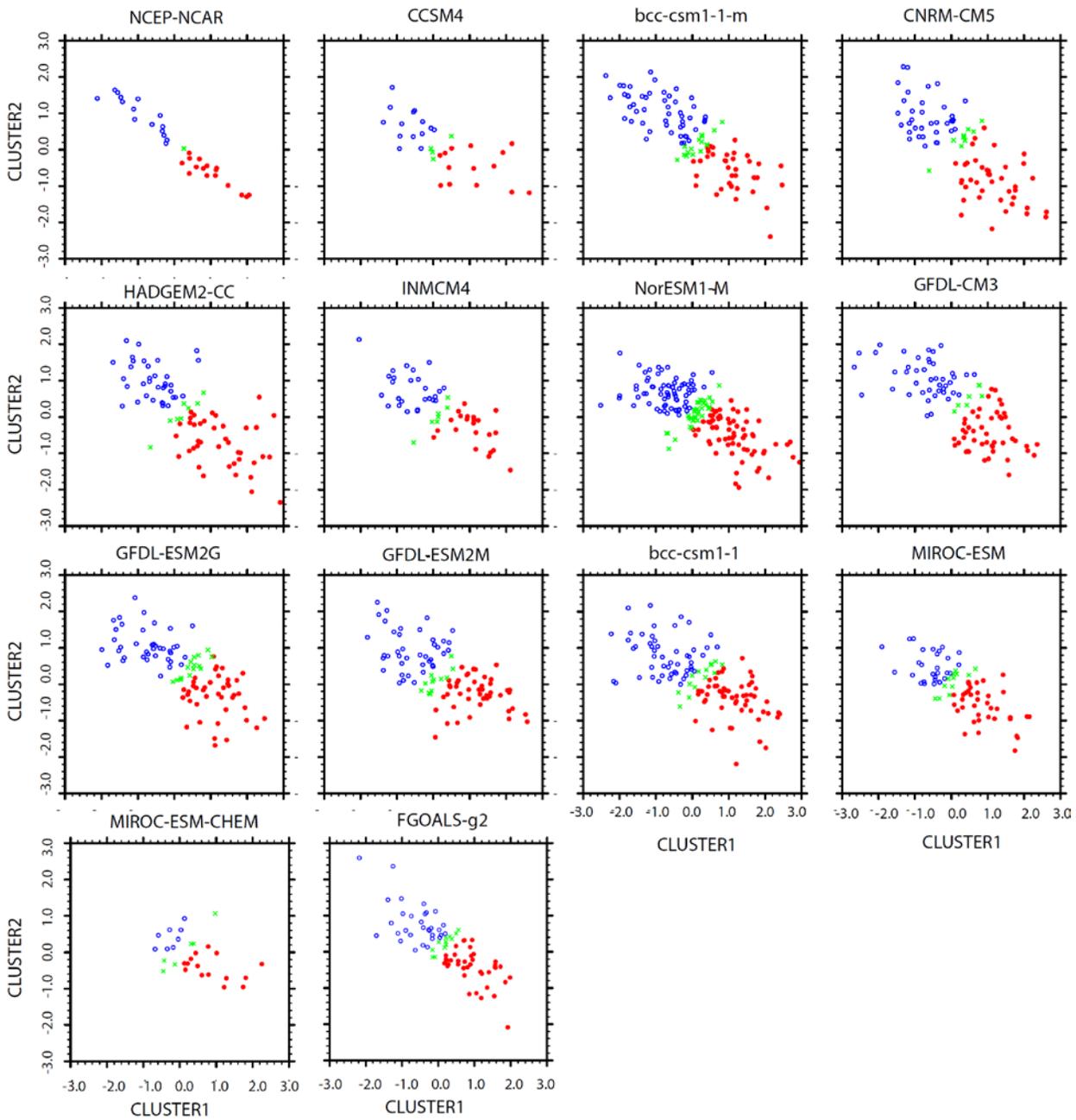
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 901 b) seven more CMIP5 models.

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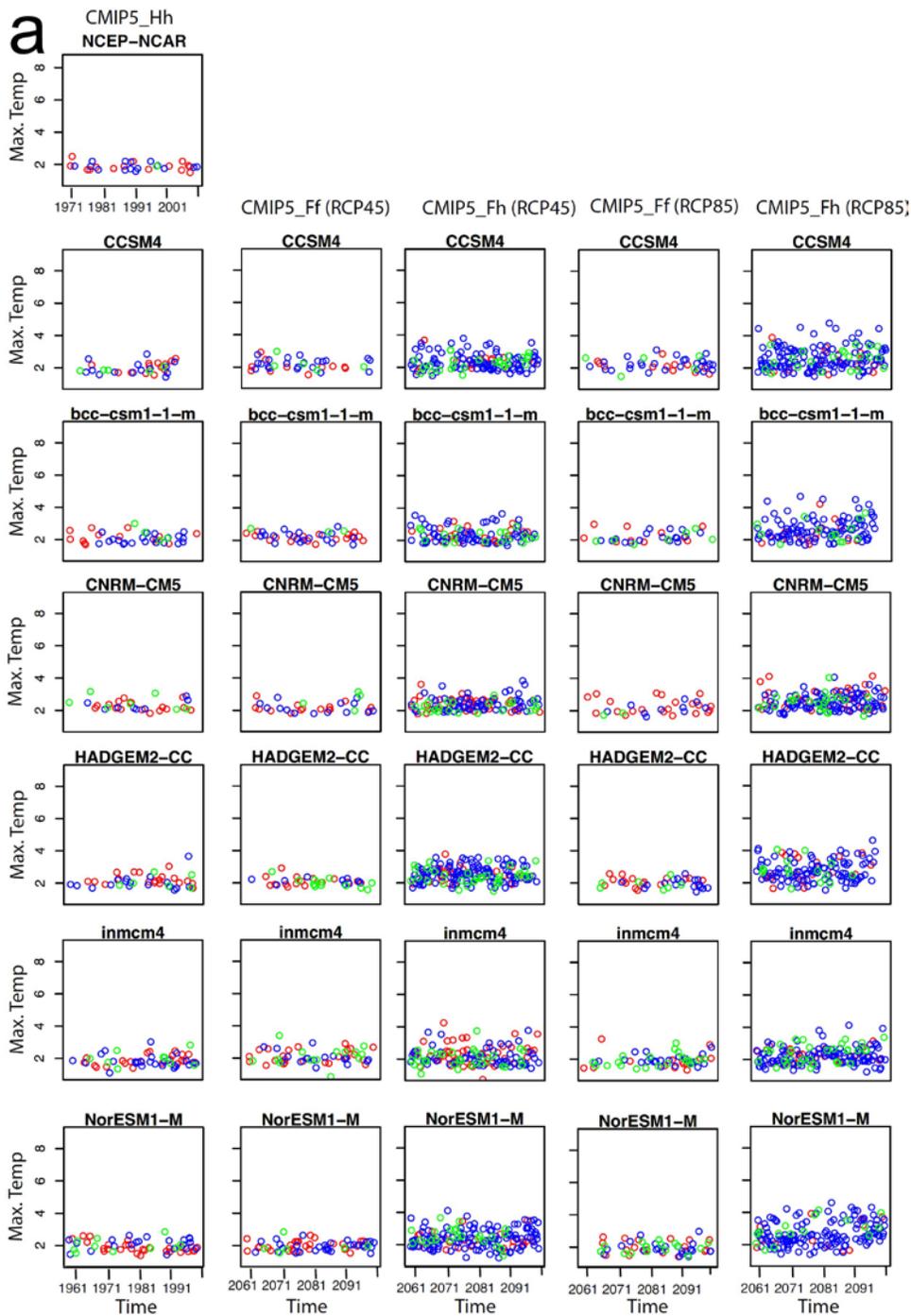


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910 **Figure 2.** Projection coefficients onto each cluster type for all heat waves in the reanalysis and the  
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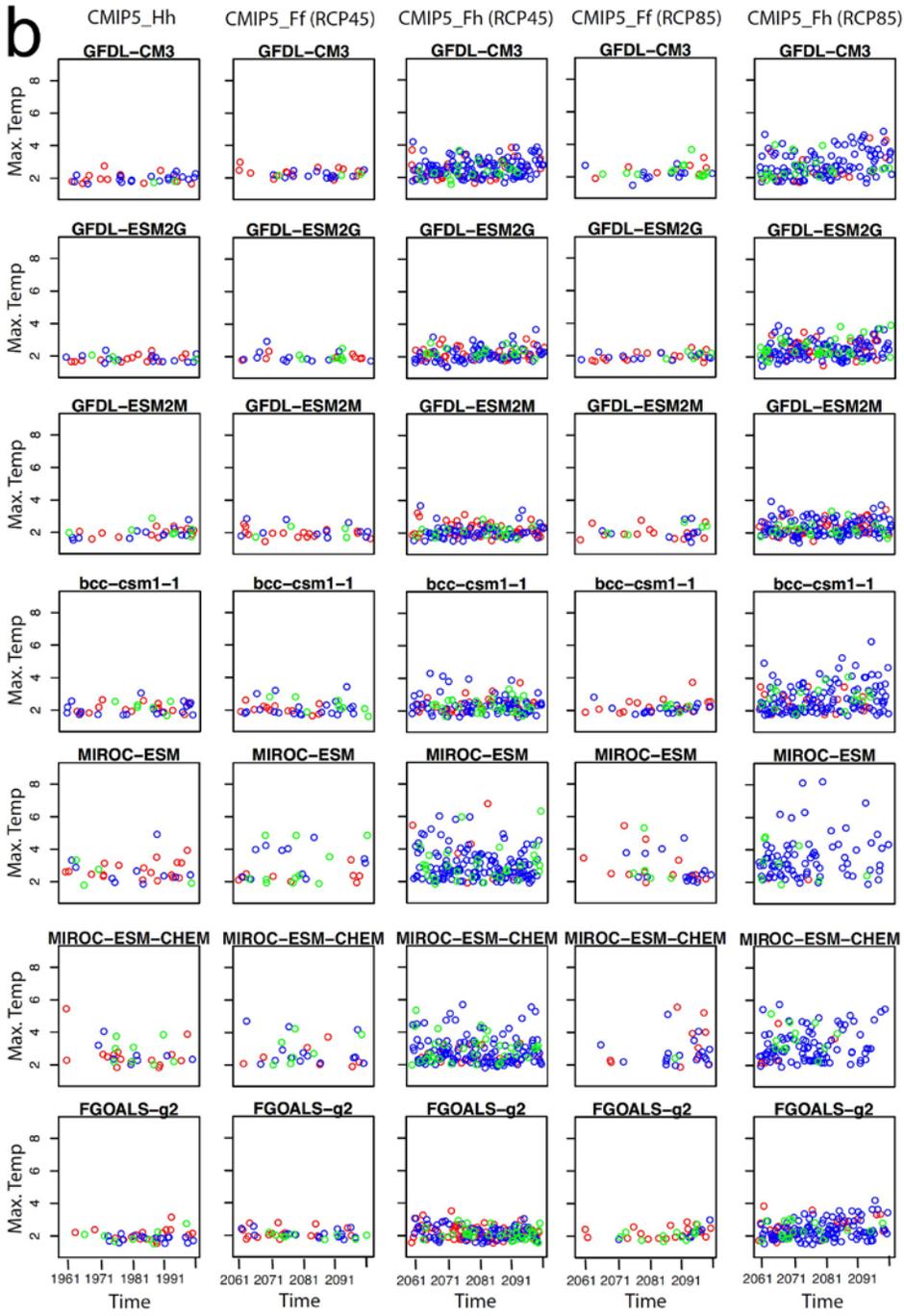


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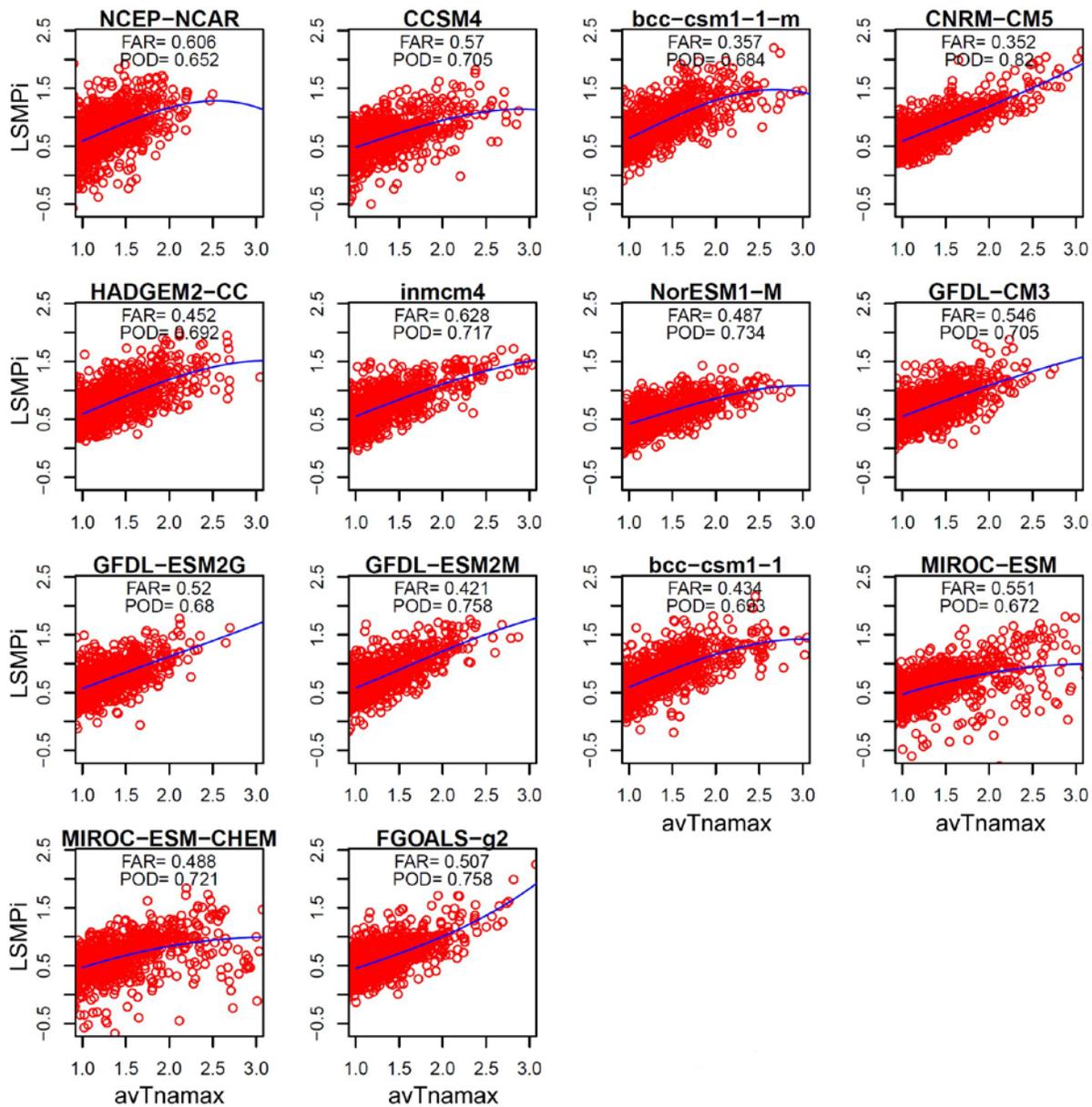
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920 **Figure 3.** Maximum avT<sub>max</sub> temperature during each event as a function of time in each 40-year  
 921 period. The peak value of each event is color-coded such that red circles are cluster type 1, blue  
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 925 each grouping.

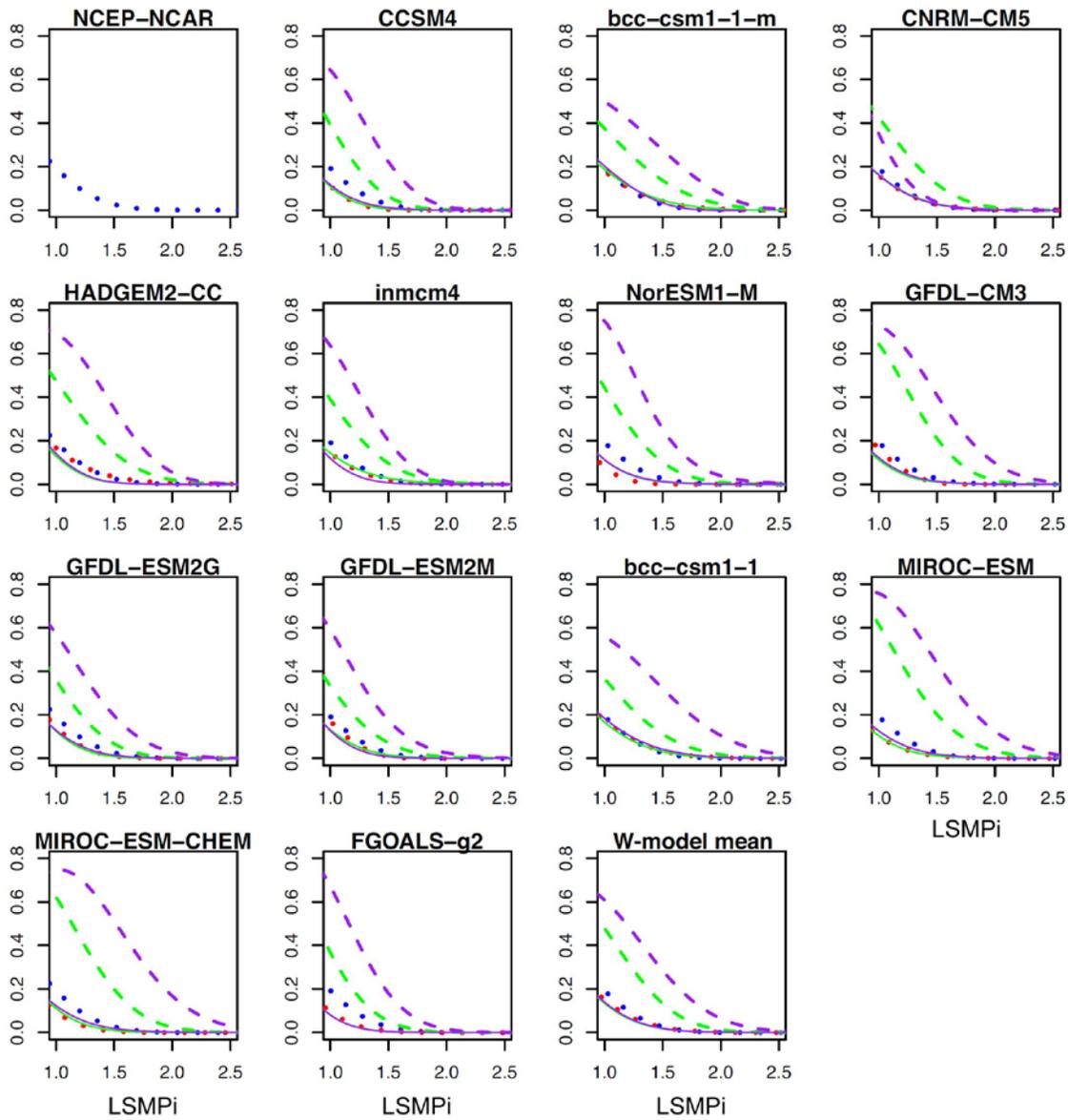
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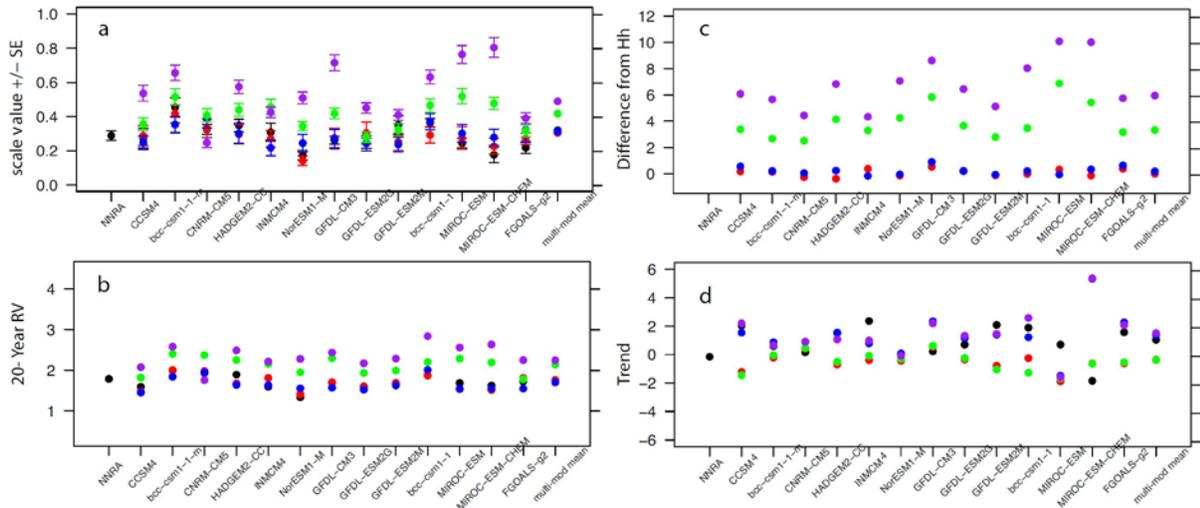


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 932 every day of the CMIP5\_Hh simulations. (For models with ensembles archived, only one ensemble  
 933 run is shown.) The best fit curve uses the points where avTnamax is >1. Also included are the FAR  
 934 (False alarm ratio) and the POD (probability of detection).  
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**Figure 5.** Distribution functions of  $LSMPi > 1$  for all historical (Hh) summer days (June-September). The NCEP-NCAR reanalysis (1971-2010) (blue dotted) curve is on all panels for reference. Model data are shown in a format similar to Figure 2. Red dotted curves are model Hh (1961-2000) data. Future scenarios (2061-2100) use green curves for RCP 4.5 and purple curves for RCP 8.5 data, with solid lines for Ff data and dashed lines for Fh data.



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Table 1. *Metrics of model ability to capture the LSMP anomaly temperature at 850 hPa.*

Model	Ta <sub>850</sub> Bias (K)	Ta <sub>850</sub> Error (%)	Pattern correlation	Projection (95-175W; 20-60N)	Horizontal Resolution (lon x lat)
CCSM4	-0.20	9.8	0.93	0.91	288x192
Bcc-csm1-1-m	0.92	19.2	0.92	1.21	320x160
CNRM-CM5	-0.43	15.6	0.83	0.81	256x128
HADGEM2-CC	-0.24	10.3	0.90	0.85	192x144
INMCM4	-1.11	23.1	0.90	0.70	180x120
NORESM1-M	-1.92	38.5	0.84	0.60	144x96
GFDL-CM3	-0.37	14.5	0.91	0.90	144x90
GFDL-ESM2G	-0.52	16.6	0.92	0.95	144x90
GFDL-ESM2M	-0.15	11.8	0.89	0.83	144x90
BCC-CSM1-1	0.19	17.9	0.91	0.98	128x64
MIROC-ESM	-1.58	34.7	0.85	0.56	128x64
MIROC-ESM-CHEM	-1.35	33.3	0.72	0.54	128x64
FGOALS-G2	-1.05	25.3	0.90	0.71	128x64

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Table 2: *Number of events occurring during 40 year periods for historical (hh; 1961-2000) and future climate (fh; 2061-2100) scenarios for models and the multi-model weights and means. Reanalysis data from 1971-2010 included for comparison.*

Model	CMIP5_hh			CMIP5_fh(RCP8.5)			CMIP5_ff (RCP8.5)			W <sub>m</sub>
	# event	Cluster 1	Cluster 2	# event	Cluster 1	Cluster 2	# event	Cluster 1	Cluster 2	
NCEP-NCAR	32	16	15							
CCSM4	34	15	14	168	17	128	44	12	27	.1109
bcc-csm1-1-m	36.67	13.33	17	126	16	97	41	17	19	.0534
CNRM-CM5	33.33	13.67	12.67	154	33	98	33	21	10	.0935
HadGEM2-	44	20.5	17.5	136	22	99	41	17	17	.0947

CC										
inmcm4	58	23	26	166	28	107	58	14	23	.0168
NorESM1-M	58.67	23	23	162	14	131	59	19	24	.0125
GFDL-CM3	33.33	16	14.33	143	18	103	33	8	12	.2076
GFDL-ESM2G	33.67	14	13	167	35	100	35	17	13	.1059
GFDL-ESM2M	34.33	15.33	13.33	171	43	106	29	14	10	.1047
bcc-csm1-1	41.33	18.67	17.33	159	21	121	41	17	19	.0754
MIROC-ESM	28	12.67	9.67	92	2	81	33	13	15	.0578
MIROC-ESM-CHEM	31	15	8	110	6	92	29	10	18	.0595
FGOALS-g2	41.5	19.5	15.5	161	28	115	38	19	8	.0072
Multi-model weighted average	35.6	15.8	14.2	147.7	22.8	104.5	36.3	14.0	15.6	

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