

Table 1. Annotations of Selected Observational References

| Reference | Field(s) | Data Int./filter | Season | Region | Analysis methods |
|---|--|---|----------------------------|---|---|
| Barnett 1985 Barnett 1988 . | SLP SLP | >18 month >18 month Low pass | n/a n/a | NH +>40S NH +>60S | Complex EOF pattern correlation std dev. Between ENSO & pre ENSO composites |
| Bjerknes 1972 | SLP, Z ₂₀₀ | 1- month | Nov. | Pacific | Composite of 1 ENSO & prev yr. |
| Deser & Wallace 1990 | SST, OLR sfc data | 3-5 m aves (ENSO) | DJF Ju-Nv | Eq. Pac. | OLR-sfc u, v wind corel anomalies composites, |
| Emery & Hamilton 1985 | SLP, SST | 1-month | wint & spring | N. Pac. | Subjctv clas. of 3 patrn. 2-pt SLP diff index dynamics interp. |
| Gershunov & Barnett 1998 | SLP, P | 3 mo. | DJF | A&P, N.Amer. | composites: ENSO vs NPO bins, signif. tests |
| Grotjahn, 1996 | many fields 1000, 500, 200 | 2x day | DJF | NW Pac. | Composites of terms in PE vort. Eqn scale analysis & theoretical |
| Holopainen 1984 | 100-1000 mb ave. | 2.5-6 d 10-90 d | DJF | NH | Devel. “energy method” comp w/ 4 others. e.g. L&H and E-vector |
| Houseago et al 1998 | Z ₅₀₀ , Z ₇₀₀ T ₇₀₀ | month aves | all 4 | SH | composites, Hovmoller |
| Kiladis & Weickmann 1992a,b Kiladis & Weickmann 1997 Kiladis 1998 Mathews & Kiladis 1999 | OLR, Z ₁₀₀₀ Z ₂₀₀ , Z ₈₅₀ OLR, Z ₂₀₀ , Z ₈₅₀ OLR Various levels 200 mb u, v, OLR | 6-14 day 14-30 d 6-30day 3-month 6-30 d 6-30 d (ENSO) | DJF all 4 DJF DJF | Pac & E. Asia Pac N. Pac Pac. | 1-pt lagged corel. (u, v regression) 1-pt lagged corel. (u, v regression) composites Above, plus misc diagnostics: E vctr, Ro wave#. Mass circ., Wk/Str jet composites: OLR variance, Signif tests |
| Lau & Phillips 1986 Lau & Sheu 1991 | OLR, Z ₅₀₀ P, SLP... Misc. | 20-70 day monthly (ENSO) | Nov-March all 4 | NH global | 1-pt lagged corr. complex EOF P EOFs incl. Time series of proj. scatter diagram, Harmonic analysis. |
| Lau and Holopainen, 1984 “L&H” | Z ₁₀₀₀ , Z ₃₀₀ , .. | 2.5-6d 10-90d | DJF | NH | Ht. tend. from vorticity & heat fluxes in QGPV eqn. |
| Liebmann & Hartmann 1984 | OLR, Z ₅₀₀ | 5 & 10 day boxcar aves | winter | NH | lag/lead corr. between 2-d & 1-d flds worked both ways. |
| Livezey & Chen 1983 | SOI vs Z ₇₀₀ | seasonal aves | winter | NH | Develops: Monte Carlo signif. test based on areas. Time between indep samples. |
| Mo & Higgins 1998 . | OLR, SLP, P, Z ₅₀₀ , other flds | lo pass >10d bpss 2.5-6d | JFM | Pac & | Seas. Cycle (=total ave + 1 +0.5 yr cycl) removed. wet-dry. composites w/ signif tests. Lag/lead composites |
| Mo 1999 | | 40d & 22d oscil. | | | Similar, but decomp into two time scales |
| Plumb 1985, 1986 | 500 & 150 500 & 250 | 2.5-6 d & >10 d | mid Nov. to mid March | NH | Devel. 2 variations on EP3 meth. Srce/sink QGPV kept, not eval. |

| | | | | | |
|--|-----------------------|-------------------------|---------------|------------------------|--|
| Quintana & Mechoso 1995 | 300, 500 | >15d & <15d | 4 Seas. | SH: >20S ~60S emph. | EP3 and L&H methods |
| Rasmusson & Carpenter 1982 | sfc data SST | monthly | all 4 | Pac. | ENSO-based Composites, X-spectral anal. |
| Rasmusson 1991 | SLP, V _{div} | (ENSO) | DJF, JJA | Pac | composites, anomalies, Hovmoller |
| Trenberth & Paolino 1981 | SLP | seas & ann aves | all 4 | NH >20N | 1 pt correlation standard dev. Spectra (in time) EOF & EOF proj. |
| Tyrrell et al 1996 | winds @ ~200mb | transient & time ave | DJF | Aus-E.Asia | Rossby wave source |
| van Loon 1986 | SLP, SST | 1-month | wint & spring | ~global | ENSO & pre composites t-test of anomalies |
| van Loon & Shea 1987 Van Loon & Madden 1981 | SLP, SST SLP, Tsfc | 3-month 3-month aves | all 4 DJF | SH NH & SH | ditto 1 pt correlation spectra (in time) |

References:

- Alexander, M.A., 1992: Midlatitude atmosphere-ocean interaction during El Niño. Part I I: The Northern Hemisphere atmosphere. *J. Climate*, **5**, 959-972.
- Barnett, T.P., 1985: Variations in near-global sea-level pressure. *J. Atmos. Sci.*, **42**, 478-501.
- _____, 1988: Variations in near-global sea level pressure: Another view. *J. Climate*, **1**, 225-230.
- Bjerknes, J., 1972: Large-scale atmospheric response to the 1964-65 Pacific equatorial warming. *J. Phys. Oceanogr.*, **2**, 212-217.
- Black, R., 1997: Deducing anomalous wave source regions during the life cycles of persistent flow anomalies. *J. Atmos. Sci.*, **54**, 895-907.
- _____, and R. Dole, 1993: The dynamics of large-scale cyclogenesis over the north Pacific ocean. *J. Atmos. Sci.*, **50**, 421-422.
- Blackmon, M., J. Wallace, N.-C., Lau, and S. Mullen, 1977: An observational study of the Northern Hemisphere wintertime circulation. *J. Atmos. Sci.*, **34**, 1040-1053.
- Castello, C., and R. Grotjahn, 2001: Initial value and eigenvalue QG models for studying cyclogenesis in spherical coordinates. UCD Atmos. Sci. Tech. Rept. 10018. 103 pp.
- Chen, P., 2000. On the origin and seasonality of the subtropical anticyclones and cyclones. Submitted to *J. Atmos. Sci.*
- Cuff, T., and M. Cai, 1995: Interaction between the low- and high-frequency transients in the Southern Hemisphere winter circulation. *Tellus*, **47A**, 331-350.
- Dai, A., I. Y. Fung, and A. D. Del Genio, 1997: Surface observed global land precipitation variations during 1900-1988. *J. Climate*, **10**, 2943-2962.
- Davis, C., 1992: Piecewise potential vorticity inversion. *J. Atmos. Sci.*, **49**, 1397-1411.
- Deser, C., and J.M. Wallace, 1990: Large-scale atmospheric circulation features of Warm and Cold episodes in the tropical Pacific. *J. Climate*, **3**, 1254-1281.
- Emery, W.J., and K. Hamilton, 1985: Atmospheric forcing of interannual variability in the northeast Pacific Ocean: Connections with El Niño. *J. Geophys. Res.*, **90**, 857-868.

- Faure, G., and R. Grotjahn, 2001: Forecast guidance of significant weather events in Sacramento area using historical analogs. UCD Atmos. Sci. Tech. Rept. 10019. 174 pp.
- Gershunov, A., and T. Barnett, 1998: Interdecadal modulation of ENSO teleconnections. *Bul. Amer. Met. Soc.*, **79**, 2715-2725.
- Gill, A., 1980: Some simple solutions for heat-induced tropical circulation. *Quart. J. Roy. Met. Soc.*, **106**, 447-462.
- Grimm, A.M., and P.L. Silva Dias, 1995: Use of barotropic models in the study of the extratropical response to tropical heat sources. *J. Meteor. Soc. Japan*, **73**, 765-779.
- Grotjahn, R., 1993: Global Atmospheric Circulations: Observations and Theories. Oxford, 430pp.
- _____, 1996: Vorticity equation terms for extratropical cyclones. *Mon. Wea. Rev.*, **124**, 2843-2858.
- _____, and C. Castello, 1999: A study of frontal cyclone surface and 300-hPa geostrophic kinetic energy distribution and scale change. *Mon. Wea. Rev.*, **128**, 2865-2874.
- _____, D. Hodyss, 1999: On initial growth using localized atmospheric vortices. Preprint vol. 12th Conf. Atmospheric and Oceanic Fluid Dynamics. AMS, 77-78.
- _____, ____, and C. Castello, 1999: Do frontal cyclones change size? Observed widths of North Pacific lows. *Mon. Wea. Rev.*, **127**, 1089-1095.
- _____, ____, and S. Immel, 2001: On initial growth using localized atmospheric vortices. Submitted to *Quart. J. Roy. Meteor. Soc.*
- Heckley, W. and A. Gill, 1984: Some simple analytical solutions to the problem of forced equatorial long waves. *Quart. J. Roy. Met. Soc.*, **110**, 203-217.
- Held, I., 1983: Stationary and quasi-stationary eddies in the extratropical troposphere: theory. In: Large-Scale Dynamical Processes in the Atmosphere. B. Hoskins and R. Pearce, eds. Academic Press, London, 127-168.
- Hodyss, D., and R. Grotjahn, 1999: Some effects of meridional structure upon the initial growth of a baroclinic wave. Preprint vol. 12th Conf. Atmospheric and Oceanic Fluid Dynamics. AMS, 253-4.
- _____, and _____, 2001a: Diagnosing cyclogenesis by partitioning energy and potential enstrophy in a linear quasi-geostrophic model. *Tellus, in press*.
- _____, and _____, 2001b: Nonmodal and unstable normal mode baroclinic growth of extratropical cyclones at various horizontal scales. Submitted to *Quart. J. Roy. Meteor. Soc.*
- Hoerling, and Kumar, 1997: Why do North American climate anomalies differ from one El Nino event to another? *Geophys. Res. Ltrs.*, **24**, 1059-1062.
- Holopainen, E., 1970: An observational study of the energy balance of the stationary disturbances in the atmosphere. *Quart. J. Roy. Met. Soc.*, **96**, 626-644.
- _____, 1984: Statistical local effect of synoptic-scale transient eddies on the time-mean flow in the northern extratropics in winter. *J. Atmos. Sci.*, **41**, 2505-2515.
- Hoskins, B., 1996: On the existence and strength of the summer subtropical anticyclones. *Bul. Amer. Met. Soc.*, **77**, 1287-1292.
- _____, I. James, and G. White, 1983: The shape, propagation and mean flow interaction of large-scale weather systems. *J. Atmos. Sci.*, **40**, 1595-1612.
- _____, and D. Karoly, 1981: The steady linear response of a spherical atmosphere to thermal and orographic forcing. *J. Atmos. Sci.*, **38**, 1179-1196.
- _____, R. Neale, M. Rodwell, G.-Y. Yang, 1999: Aspects of the large-scale tropical atmospheric circulation. *Tellus*, **51A-B**, 33-44.

- _____, and M. Rodwell, 1995: A model of the Asian summer monsoon. Part I: The global scale. *J. Atmos. Sci.*, **52**, 1329-1340.
- Houseago, R.E., G.R. McGregor, J.C. King, and S.A. Harangozo, 1998: Climate anomaly wave-train patterns linking southern low and high latitudes during South Pacific warm and cold events. *Int. J. Climatol.*, **18**, 1181-1193.
- Huffman, G., R. Adler, P. Arkin, A. Chang, R. Ferraro, A. Gruber, J. Janowiak, R. J. Joyce, A. McNab, B. Rudolf, U. Schneider, and P. Xie, 1997: The Global Precipitation Climatology Project (GPCP) Combined Precipitation Data Set. *Bul. Amer. Met. Soc.*, **78**, 5-20.
- Karoly, D., and D. Vincent, Eds. 1998: *Meteorology of the Southern Hemisphere*. Amer. Met. Soc., Boston, 410 pp.
- Kiladis, G.N., 1998: Observations of Rossby waves linked to convection over the eastern tropical Pacific. *J. Atmos. Sci.*, **55**, 321- 339.
- _____, and K.M. Weickmann, 1992a: Circulation anomalies associated with tropical convection during northern winter. *Mon. Wea. Rev.*, **120**, 1900-1923.
- _____, and _____, 1992b: Extratropical forcing of tropical pacific convection during northern winter. *Mon. Wea. Rev.*, **120**, 1924-1938.
- _____, and _____, 1997: Horizontal structure and seasonality of large-scale circulations associated with submonthly tropical convection. *Mon. Wea. Rev.*, **125**, 1997-2013.
- Kleeman, R., 1989: A modeling study of the effect of the Andes on the summer circulation of tropical South America. *J. Atmos. Sci.*, **46**, 3344-3362.
- Lau, N.-C., and E. Holopainen, 1984: Transient eddy forcing of the time-mean flow as identified by geopotential tendencies. *J. Atmos. Sci.*, **41**, 313-328. **Referred to as “L&H” project description.**
- _____, and P. Sheu, 1991: Teleconnections in global rainfall anomalies: Seasonal to inter-decadal time scales. In: *Teleconnections Linking Worldwide Climate Anomalies*, Cambridge University Press, 227-256.
- Lau, K.M., and T.J. Phillips, 1986: Coherent fluctuations of extratropical geopotential height and tropical convection in intraseasonal time scales. *J. Atmos. Sci.*, **43**, 1164-1181.
- Legates, D., 1991: The effect of domain shape on principal components analyses. *Intl. J. Climatol.*, **11**, 135-146.
- _____, 1993: The effect of domain shape on principal components analyses: A reply. *Intl. J. Climatol.*, **13**, 219-228.
- Liebmann, B., and D.L. Hartmann, 1984: An observational study of tropical-midlatitude interaction on intraseasonal time scales during winter. *J. Atmos. Sci.*, **41**, 3333-3350.
- Livezey, R., and W.-Y. Chen, 1983: Statistical field significance and its determination by Monte Carlo techniques. *Mon. Wea. Rev.*, **111**, 46-59.
- _____, R., M. Masutani, and M. Ji, 1996: SST-forced seasonal simulation and prediction skill for versions of the NCEP/MRF model. *Bul. Amer. Met. Soc.*, **77**, 507-517.
- _____, ____, A. Leetmaa, H. Rui, M. Ji. and A. Kumar, 1997: Teleconnective response of the Pacific-North American region atmosphere to large central equatorial Pacific SST anomalies. *J. Climate*, **10**, 1787-1820.
- Manabe, S., and T. Terpstra, 1974: The effects of mountains on the general circulation of the atmosphere as identified by numerical experiments. *J. Atmos. Sci.*, **31**, 3-42.

- Mo, K.C., 1999: Alternating wet and dry episodes over California and intraseasonal oscillations. *Mon. Wea. Rev.*, **127**, 2759-2776.
- _____, and W. Higgins, 1998: Tropical influences on California precipitation. *J. Clim.*, **11**, 412-430.
- Mathews, A. and G. Kiladis, 1999: Interactions between ENSO, transient circulation, and tropical convection over the Pacific. *J. Clim.*, **12**, 3062-3086.
- Muraki, D., C. Snyder, and R. Rotunno, 1999: The next-order corrections to quasigeostrophic theory. *J. Atmos. Sci.*, **56**, 1547-1560.
- North, G.R. Bell, T.L., Cahalan, R.F. and Moeng, J.J., 1982: Sampling errors in the estimation of empirical orthogonal functions. *Mon. Wea. Rev.* **110**, 699-706.
- Oort, A., and J. Peixoto, 1983: Global angular momentum and energy balance requirements from observations. *Adv. Geophys.*, **25**, 355-490.
- Paegle, J., J.N. Paegle, and F. Lewis, 1983: Large-scale motions of the tropics in observations and theory. *Pageoph.* **121**, 947-982.
- Pfeffer, R., 1981: Wave-mean flow interactions in the atmosphere. *J. Atmos. Sci.*, **38**, 1340-1359.
- Press, W., B. Flannery, S. Teukolsky, and W. Vetterling, 1992: Numerical Recipes, The Art of Scientific Computing. Cambridge, NY, 702 pp.
- Plumb, R., 1985: On the three-dimensional propagation of stationary waves. *J. Atmos. Sci.*, **42**, 217-229.
- _____, 1986: Three-dimensional propagation of transient quasi-geostrophic eddies and its relationship with eddy forcing of the time-mean flow. *J. Atmos. Sci.*, **43**, 1657-1678.
- Quintanar, A., and C. Mechoso, 1995: Quasi-stationary waves in the Southern Hemisphere. Part I: Observational Data. *J. Climate*, **8**, 2659-2672.
- Rasmusson, E.M., 1991: Observational aspects of ENSO cycle teleconnections. In: *Teleconnections Linking Worldwide Climate Anomalies*, Cambridge University Press, 309-343.
- _____, and T. Carpenter, 1982: Variations in tropical sea surface temperature and surface wind fields associated with the Southern Oscillation/El Nino. *Mon. Wea. Rev.*, **110**, 354-384.
- Richman, M., 1986: Rotation of principal components. *Intl. J. Climatol.*, **6**, 293-335.
- _____, 1993: Comments on: 'The effect of domain shape on principal components analyses'. *Intl. J. Climatol.*, **13**, 203-218.
- Rodwell R., and B. Hoskins, 1996: Monsoons and the dynamics of deserts. *Quart. J. Roy. Met. Soc.*, **122**, 1385-1404.
- Sardeshmukh, P. and B. Hoskins, 1988: The generation of global rotational flow by steady idealized tropical divergence. *J. Atmos. Sci.*, **45**, 1228-1251.
- Ting, M. and P. Sardeshmukh, 1993: Factors determining the extratropical response to equatorial diabatic heating anomalies. *J. Atmos. Sci.*, **50**, 907-918.
- Trenberth, K., 1983: What are the seasons? *Bull. Amer. Met. Soc.*, **64**, 1276-1282.
- _____, and D. Paolino Jr., 1981: Characteristic patterns of variability of sea level pressure in the Northern Hemisphere. *Mon. Wea. Rev.*, **109**, 1169-1189.
- _____, and D. Shea, 1987: On the evolution of the southern oscillation. *Mon. Wea. Rev.*, **115**, 3078-3096.
- Tyrrell, G., D. Karoly, and J. McBride, 1996: Links between tropical convection and variations of the extratropical circulation during TOGA COARE. *J. Atmos. Sci.*, **53**, 2735-2748.
- Valdes, P., and B. Hoskins, 1991: Nonlinear orographically forced planetary waves. *J. Atmos. Sci.*, **48**, 2089-2106.
- van Loon, H., 1972: Pressure in the Southern Hemisphere, *Met. Mono.*, **13**, 59-86.

- _____, 1986: The characteristics of sea level pressure and sea surface temperature during the development of a warm event in the southern oscillations. In: *Namias Symposium*, J. Roads, Ed. Scripps Institute of Oceanography, 160-173.
- _____, and R.A. Madden, 1981 The Southern Oscillation. Part I: Global associations with pressure and temperature in northern winter. *Mon. Wea. Rev.*, **109**, 1150-1162.
- _____, and D.J. Shea, 1987: The Southern Oscillation. Part VI: Anomalies of sea level pressure on the Southern Hemisphere and of Pacific sea surface temperature during the development of a warm event. *Mon. Wea. Rev.*, **115**, 370-379.
- Wallace, J.M., 1983: The climatological mean stationary waves: observational evidence In: Large-Scale Dynamical Processes in the Atmosphere. B. Hoskins and R. Pearce, eds. Academic Press, London, 27-53
- Webster, P., 1972: Response of the tropical atmosphere to local, steady forcing. *Mon. Wea. Rev.*, **100**, 518-541.
- Xie, P., and P. Arkin, 1997: Global Precipitation: A 17-year monthly analysis based on gauge observations, satellite estimates, and numerical model outputs. *Bull. Amer. Meteor. Soc.*, **78**, 2539-2558.